

SIGNATURE DOCUMENT
HEALTH AND HUMAN SERVICES COMMISSION
CONTRACT NO. HHS000874100007
AREA AGENCIES ON AGING GRANT PROGRAM

I. PURPOSE

The **Health and Human Services Commission** (“HHSC” or “System Agency”), a pass-through entity, and **Coastal Bend Council of Governments** (“Grantee” or “Contractor”) (each a “Party” and collectively the “Parties”) enter into the following grant agreement to fund services provided under the Older Americans Act of 1965 (“OAA”) (the “Contract”). HHSC has designated Grantee to act as an area agency on aging (“AAA”), thus authorizing Grantee to administer OAA funds.

II. LEGAL AUTHORITY

The Parties enter into this Contract under the authority of the OAA, Chapter 531 of the Texas Government Code, and Chapter 101A of the Texas Human Resources Code. The OAA provides grant funding to states for community programs that serve and support their aging residents. To qualify for these grants, a state must: (1) designate a governmental agency to develop and administer a state plan on aging; (2) divide the state into distinct planning and service areas; and (3) designate an AAA for each planning and service area (“PSA”). The Texas Legislature designated HHSC as the state’s agency for coordinating OAA programs in Section 101A.003 of the Texas Human Resources Code. In keeping with this designation, HHSC recognizes Grantee as an AAA and enters into this Contract for the purpose of distributing grant funds awarded under the OAA.

This Contract, and any resulting subcontracts, are governed by federal, state, and local regulations that include the following, as applicable:

- A. Omnibus Budget Reconciliation Act of 1990 §4360;
- B. Medicare Improvements for Patients and Providers Act of 2008 (“MIPPA”);
- C. 2 CFR Part 300 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards);
- D. 45 CFR Part 75 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards);
- E. 45 CFR Part 1321 (Grants to States and Community Programs on Aging);
- F. 45 CFR Part 1324, Subpart A (State Long-Term Care Ombudsman Program);
- G. Texas Government Code, Chapter 531;
- H. Texas Human Resources Code, Chapter 101A;
- I. Texas Human Resources Code, Chapter 102;

- J. Texas Human Resources Code, Chapter 161;
- K. Texas Local Government Code, Section 394.902;
- L. Texas Administrative Code, Title 40, Chapters 81, 83, and 85;
- M. Texas Administrative Code, Title 26, Chapter 88; and
- N. Texas Uniform Grant Management Standards (“UGMS”).

III. DURATION

The Contract is effective on October 1, 2020 and terminates on September 30, 2022, unless renewed, extended, or terminated pursuant to the terms and conditions of the Contract. HHSC, upon the agreement of both parties, may extend this Contract for any period(s) of time, provided the Contract term, including all extensions or renewals, does not exceed five (5) years. Notwithstanding the limitation in the preceding sentence, HHSC, upon agreement of both parties, also may extend the Contract beyond five (5) years as necessary to ensure continuity of service, for purposes of transition, or as otherwise determined by HHSC to serve the best interest of the State. The extension or renewal must be in writing and subject to all terms and conditions of this Contract.

IV. FUNDING

A. TOTAL CONTRACT AMOUNT

The total amount of this Contract is not to exceed **TOTAL CONTRACT AMOUNT \$9,112,139.00**. The funding allocated for each federal fiscal year (FFY) is not to exceed the following amounts:

1. **FFY 2021 – \$4,444,946.00** for October 1, 2020 through September 30, 2021; and
1. **FFY 2022 – \$4,667,193.00** for October 1, 2021 through September 30, 2022

The total Contract amount is subject to increases or decreases as funds are appropriated throughout the contractual term based on the federal government, Texas Legislature, or both. Throughout the contractual term, Grantee will be notified of the availability of funding through a Notice of Funds Available (NFA) document.

B. INDIRECT COST RATE

Grantee’s acknowledged or approved Indirect Cost Rate (ICR) is stated in **ATTACHMENT K, INDIRECT COST RATE LETTER**.¹

1. If an ICR Letter is required but it is not issued by close of business on the effective date of the Contract, the Parties agree to amend the Contract to include the ICR Letter as **ATTACHMENT K** once the ICR Letter is issued.
2. If the HHSC, at its sole discretion, approves or acknowledges an updated ICR, the new rate, together with the revised ICR Letter, will be included in the revised **ATTACHMENT K**.

¹ The ICR Letter may take the form of an ICR Acknowledgement Letter, an ICR Acknowledgement Letter – Ten Percent de Minimis, or an ICR Agreement Letter.

V. AREA PLAN REQUIREMENTS

No later than the date specified by HHSC, Grantee shall complete and submit an Area Plan that describes in detail the specific services to be provided to older adults residing in a given planning and service area (PSA). Grantee’s approved Area Plan is incorporated by reference.

VI. CONTRACT REPRESENTATIVES

The following persons shall act as the representative authorized to administer activities under this Contract on behalf of their respective Party:

<u>HHSC</u>	<u>Grantee Name</u>
Jane Salazar, Contract Manager Financial and Contract Operations Access and Eligibility Services Health and Human Services Commission 909 W 45th Street (MC 2098) Austin, TX 78751	John Buckner Coastal Bend Council of Governments P.O. Box 9909 Corpus Christi, Texas 78469

VII. LEGAL NOTICES

Any legal notice required under this Contract shall be deemed delivered when deposited by HHSC either in the United States mail, postage paid, certified, return receipt requested; or with a common carrier, overnight, signature required, to the appropriate address below:

<u>HHSC</u>	<u>Grantee Name</u>
Health and Human Services Commission 4900 N. Lamar Boulevard (MC 1100) Austin, TX 78751 Attention: Office of the Chief Counsel	Coastal Bend Council of Governments P.O. Box 9909 Corpus Christi, Texas 78469 Attention: John Buckner

VIII. NOTICE REQUIREMENTS

Notice given by Grantee shall be deemed effective when received by HHSC.

- A. All communication related to Grantee’s performance under this Contract must be submitted in writing to the HHSC AAA Help Desk email address. “Communication” includes, but is not limited to, questions about Statement of Work requirements and deliverables, as well as requests for information.
- B. All communication and notices for which Grantee requests a response to HHSC must be in writing and shall:
 - 1. Include the AAA identification number;
 - 2. Be sent to the HHSC AAA Help Desk at AAA.Help@hhsc.state.tx.us; and
 - 3. Comply with all terms and conditions of the Contract.

- C. The HHSC AAA Help Desk will acknowledge receipt of each inquiry and respond to each one.
- D. Any Party that changes the address it previously provided for receiving Notices must inform the other Party in writing.

IX. ADDITIONAL GRANT INFORMATION

- A. Grantee Data Universal Numbering System (“DUNS”) Number: 832334234
- B. Federal Award Identification Number (“FAIN”): 14AATXMAAA
- C. Catalog of Federal Domestic Assistance (“CFDA”) Name and Number: Medicare Enrollment Assistance Program – 93.071
- D. Name of Federal Awarding Agency: U.S. Department of Health and Human Services

Signature Page to Follow

SIGNATURE PAGE

HHSC CONTRACT NO. HHS000874100007

HEALTH AND HUMAN SERVICES COMMISSION

COASTAL BEND COUNCIL OF GOVERNMENTS

By:
DocuSigned by:
Michelle Alletto
74D324454F774E3...

By:
DocuSigned by:
John Buckner
E84CE1519850440...

Michelle Alletto

John Buckner

Chief Program and Services Officer

Executive Director

September 16, 2020

September 9, 2020

Date of Signature

Date of Signature

THE FOLLOWING DOCUMENTS ARE ATTACHED TO THIS CONTRACT, AND THEIR TERMS ARE HEREBY INCORPORATED BY REFERENCE:

- ATTACHMENT A – Statement of Work**
- ATTACHMENT B – Scope of Services Related to Housing Bond**
- ATTACHMENT C – HHSC Special Conditions**
- ATTACHMENT D – Modifications to HHS Uniform Terms and Conditions (Governmental Entity)**
- ATTACHMENT E – HHS Uniform Terms and Conditions (Governmental Entity)**
- ATTACHMENT F – Contract Affirmations**
- ATTACHMENT G – HHS Data Use Agreement (Local City and County)**
- ATTACHMENT H – Federal Funding Accountability and Transparency Act (FFATA) Certification**
- ATTACHMENT I – Federal Assurances (Non-construction Programs)**
- ATTACHMENT J – Federal Certification Regarding Lobbying**
- ATTACHMENT K – Indirect Cost Rate Letter**

**ATTACHMENT A
STATEMENT OF WORK
HHSC Contract No. HHS000874100007**

GRANTEE: Coastal Bend Council of Governments

HHSC PROGRAM: Area Agencies on Aging

1. Overview

The Area Agencies on Aging (AAA) system provides services to persons 60 years of age or older, their family members, and their caregivers, which enables them to receive the information and assistance they need in locating and accessing community services. At a minimum, AAA services must include:

- 1.1 Information, referral, and assistance;
- 1.2 Legal assistance;
- 1.3 Care coordination;
- 1.4 Caregiver support services;
- 1.5 In-home support services;
- 1.6 Legal awareness;
- 1.7 Nutrition services; and
- 1.8 Long-term Care Ombudsman Program

2. Target Population

Grantee must, in accordance with Section 306((a)(4)(B)(i) and (a)(6)(G)) of the Older Americans Act of 1965 (OAA) and its subsequent amendments, and as addressed in the approved Area Plan, assure HHSC that it will use outreach efforts to identify persons who are eligible for assistance under this Contract, with special emphasis on: (1) older persons residing in rural areas; (2) older persons with greatest economic need, with particular attention to low-income minority and older persons residing in rural areas; (3) older persons who have greatest social need with particular attention to low-income minority persons residing in rural areas; (4) older persons with severe disabilities; (5) older persons with limited English proficiency; (6) older persons with Alzheimer's disease and related disorders with neurological and organic brain dysfunction and the caretakers of such persons; (7) older persons at risk for institutional placement; and (8) older persons who are Native Americans located within the AAA's planning and service area.

3. AAA Infrastructure

3.1 AAA Administrative Requirements

Grantee must comply with Texas Administrative Code (TAC), Title 40, Section 85.201 to ensure that it maintains an organized and efficient system of administration that

demonstrates accountability and compliance with state and federal law and with all terms and conditions of this Contract.

3.2 Data System

Grantee shall:

- 3.2.1** Adequately track, maintain, and protect client referral and services information;
- 3.2.2** Have the capacity to produce the program performance and financial information to reflect the operation and status of the organization and assist the AAA in conducting regular data assessment and analysis to determine if the AAA is meeting performance benchmarks and to complete required performance reports; and
- 3.2.3** Have adequate electronic back-up systems, schedules, and procedures, as well as virus protection software that is continually updated to prevent the loss or corruption of system data.

3.3 Fiscal Management

Grantee must have accounting and internal control systems to ensure proper management of federal and state funds, maximize non-federal resources, and maintain solvency. Grantee's accounting and internal control systems must meet the following requirements:

- 3.3.1** The systems must be appropriate to the size of the organization;
- 3.3.2** The accounting system must consist of source documents, a chart of accounts, journals, ledgers, and routine financial reports;
- 3.3.3** The accounting system must be capable of producing expenditure reports, cost center analyses, budget formats, and automated reports as required by, and without additional support from, HHSC;
- 3.3.4** The accounting and internal control system must safeguard Grantee's assets, produce accurate accounting data, promote efficient operations, and encourage adherence to prescribed accounting policies and procedures; and
- 3.3.5** The accounting and internal control systems must involve a division of responsibility among different employees for a sequence of related functions, clear establishment of each employee's responsibilities and duties, and use of standards such as procurement policies, proofs, checks, and other security measures.

3.4 HHSC Statewide Information Management System

Grantee must use the statewide information management system (IMS) provided by HHSC to support the maintenance and reporting of State Unit on Aging (SUA) consumer data, service delivery information, and service activities that satisfies all applicable state and federal requirements, including reporting for the State Performance Report/Older Americans Act Performance System (SPR/OAAPS), the National Ombudsman Reporting System (NORS) or any successor system, and the State Health Insurance Assistance Program (SHIP).

- 3.4.1 Grantee must be authorized to use the statewide IMS;
- 3.4.2 Grantee must use this system for state and federal reporting as required by HHSC;
- 3.4.3 Grantee acknowledges all data entered into the statewide IMS system is the property of the State of Texas (State); and
- 3.4.4 Grantee must notify HHSC of any changes to its staff or its provider staff with access to the statewide IMS.

4. Provision of Services

4.1 AAA Services

- 4.1.1 Grantee must provide all AAA services and activities necessary to comply with the most current approved Area Plan and with applicable federal and state laws, rules, and regulations and the current Office of Area Agencies on Aging (OAAA) service definitions, and HHS policy;
- 4.1.2 Grantee must provide services using a person-centered approach that promotes individual choice and self-determination;
- 4.1.3 Grantee must provide all services in a culturally, linguistically, and developmentally appropriate manner for persons, family members, and caregivers; and
- 4.1.4 Grantee must establish relationships with key stakeholders that can partner in the provision of AAA services or provide services that are not within the scope of the AAA services.

4.2 State Health Insurance Assistance Program (SHIP) and Medicare Improvements for Patients and Providers Act for Beneficiary Outreach and Assistance (MIPPA)

4.2.1 State Health Insurance Assistance Program (SHIP)

- 4.2.1.1 Grantee must provide services to persons eligible for the SHIP. Grantee must provide education and assistance to empower Medicare-eligible persons, their families, and caregivers to make informed healthcare decisions.
- 4.2.1.2 Grantees must submit all SHIP required documents and reports on the templates provided and in accordance to the instructions and dates established by HHSC.

4.2.2 Medicare Improvements for Patients and Providers Act for Beneficiary Outreach and Assistance (MIPPA)

- 4.2.2.1 Grantee must provide services to persons eligible for MIPPA services. Grantee will provide outreach and assistance to all eligible older adults, persons with disabilities, and their caregivers in applying for special assistance through Medicare.
- 4.2.2.2 Grantees must submit all MIPPA required documents and reports on the templates provided and in accordance to the instructions and dates established by HHSC.

4.3 The State Long-Term Care Ombudsman Program

4.3.1 Grantee must comply with 26 TAC Chapter 88 regarding the State Long-Term Care Ombudsman Program and ensure that a local ombudsman entity implements the State Long-Term Care Ombudsman Program in Grantee's ombudsman service area in accordance with:

4.3.1.1 the OAA, §711 and §712 (United States Code, Title 42, §3058f and §3058g);

4.3.1.2 45 CFR Part 1324;

4.3.1.3 Texas Human Resources Code, Title 6, Chapter 101A, Subchapter F;

4.3.1.4 State Long-Term Care Ombudsman Program, Ombudsman Policies and Procedures Manual; and

4.3.1.5 26 TAC Chapter 88.

4.3.2 The local ombudsman entity must:

4.3.2.1 be an identifiable unit of:

4.3.2.1.1 Grantee; or

4.3.2.1.2 an identifiable unit of a government entity or nonprofit organization that contracts with Grantee and is approved by the State Ombudsman; and

4.3.2.2 meet the criteria in the definition of "local ombudsman entity" set forth in 26 TAC §88.2 (relating to Definitions).

4.3.3 Grantee is designated by the State Long-Term Care Ombudsman as the local ombudsman entity in the Grantee's ombudsman service area in accordance with 26 TAC §88.104 (relating to Designation of a Local Ombudsman Entity) except as provided in section 4.3.4.

4.3.4 Grantee may contract with a governmental entity or nonprofit organization to be the local ombudsman entity in the Grantee's ombudsman service area if the State Long-Term Care Ombudsman designates, in writing, the entity or organization as the local ombudsman entity in accordance with 26 TAC §88.104.

5. Staffing Requirements

5.1 Criminal Background Check Requirement

Grantee must have a written policy and procedures in place to ensure criminal background checks are performed on all potential staff and volunteers. The policy must cover the kinds of conduct that will disqualify a person from volunteering or being employed to provide AAA services, and the procedures must include the steps Grantee will take to conduct the background check. The policy and procedures applicable to staff and volunteers providing services for the SHIP must comply with the Volunteer Risk and Program Management Policies adopted by the Administration for Community Living.

5.2 Training and Staff Development

- 5.2.1** Grantee must provide staff and volunteers the in-service training needed to perform under the terms and conditions of this Contract. All training must be documented, and the documentation must be maintained on file and available for review by HHSC upon request.
- 5.2.2** Grantee must secure appropriate specialized training and certification for all staff delegated duties that require the specialized training or certification. All training and certifications must be documented, and the documentation must be maintained on file and available for review by HHSC upon request.
- 5.2.3** Grantee must ensure that staff meets the necessary qualifications to conduct specific AAA functions and to provide ongoing training, which will develop and enhance staff capacity.
- 5.2.4** Grantee must maintain adequate personnel documentation in accordance with standard human resources best practices. All employee and volunteer training and certifications must be documented, and the documentation must be maintained on file and available for review by HHSC upon request.

5.3 Alzheimer Disease and Dementia Training

- 5.3.1** Grantee's employees and volunteers must complete Alzheimer disease and dementia training in accordance with the guidance provided in the Area Agency on Aging (AAA) Bulletin 20-01.
- 5.3.2** Grantee must submit an annual training notice of compliance and certification, which attests that all employees and volunteers have completed the pertinent training by the date designated by HHSC. The training notice of compliance and certification must include the following information:
 - 5.3.2.1** The names of each trainee who successfully completed the training during the annual period being reported;
 - 5.3.2.2** The name of the training completed;
 - 5.3.2.3** The date on which the trainee successfully completed the training; and
 - 5.3.2.4** A statement certifying that all trainees have completed or will complete their training within 30 calendar days of their respective start date.

5.4 Cultural Competence

- 5.4.1** Grantee must develop and maintain current policies and procedures that are designed to ensure that service delivery and information gathering are conducted in a respectful, non-threatening, and culturally competent manner.
- 5.4.2** Grantee must provide cultural competency training to all staff and volunteers to ensure that service delivery and information gathering are conducted in a respectful, non-threatening, and culturally competent manner. All employee and volunteer training must be documented, and the documentation must be maintained on file and available for review by HHSC upon request.
- 5.4.3** Grantee must provide all services in a culturally-, linguistically-, and developmentally-appropriate manner for persons, family, and caregivers.

5.5 Additional Trainings

Grantee's staff must participate in additional trainings as deemed necessary by HHSC. Content may be related to new projects, initiatives, performance measures, or additional quality improvement activities.

6. Funding Obligations

- 6.1** Grantee acknowledges that HHSC's obligation for payment, in consideration of full and satisfactory performance of activities described in this Contract, is limited to monies received from the Administration on Aging ("AoA"), the State, and any other funding source.
- 6.2** HHSC will not be liable to Grantee for costs incurred or performance rendered unless such costs and performances are strictly in accordance with the terms and conditions of this Contract, including the terms governing Grantee's promised performance and unit rates or reimbursement capitations specified.
- 6.3** HHSC will not be liable to Grantee for any expenditures, which are not allowable costs under 2 CFR Part 200 and 45 CFR Part 75 or for which expenditures have not been made in accordance with the fiscal guidelines and requirements outlined by HHSC.
- 6.4** HHSC will not be liable to Grantee for expenditures made in violation of regulations promulgated under the OAA or in violation of HHSC's rules, federal and state laws, or this Contract.
- 6.5** Grantee agrees to the de-obligation statement in State Health Insurance Assistance Program (SHIP) Basic Grant Plan, which provides that de-obligation of awards may occur based on year-to-date expenses at the following points:
 - 6.5.1** At six months, 50% of the projected expenses identified in the Budget Expenditure timeline must have been incurred and reported on the Quarterly Performance Report; and
 - 6.5.2** At nine months, 75% of the projected expenses identified in the Budget Expenditures timeline must have been incurred and reported on the Quarterly Performance Report.
- 6.6** If the required amount of expenses has not been incurred at any of the points identified above, the amount of unexpended funds may be de-obligated and made available to AAAs that have met their expenditure projections.

7. Compensation

- 7.1** HHSC agrees to make payment to Grantee in the amounts and under the provisions set forth in Grantee's budget and pursuant to the terms and conditions of this Contract. Grantee agrees to accept such payments as full compensation for services performed under this Contract. All payments will be based on the performance information reported in the approved budget, reimbursement requests, and quarterly fiscal and programmatic reports.

- 7.2 For all services provided to program participants, HHSC will pay Grantee on a reimbursement basis for services rendered whether services are provided directly by Grantee or through a subcontractor or vendor. Grantee may subcontract or purchase services under an “at risk” unit rate or reimbursement methodology, in accordance with HHSC rules and program instructions. Reimbursement for services provided by Grantee, whether directly or through a subcontractor, must not exceed neither the approved budget nor the available OAA and State funds that HHSC awarded.

8. Payment Methodology

- 8.1 Under the terms of this Contract, HHSC has no duty to remit funds for services provided on a reimbursement basis, as shown above in Section 7 (Compensation), until Grantee has provided the services (or secured delivery thereof) and reported that service-delivery in a request for reimbursement. In the absence of a written agreement to the contrary, HHSC will remit funds to Grantee subject to the appropriate administrative procedures and contingent upon receipt of funds by the Department from the Administration for Community Living, from the State, or from any other funding sources.
- 8.2 Grantee must report eligible units of service and actual allowable expenses to HHSC in the frequency and manner prescribed by HHSC, using the forms provided by HHSC’s.
- 8.3 Final payment shall be based on the information contained in the reimbursement system 60 business days following termination of the Contract. This payment provision will apply to final payment whether at completion of the contractual term or in the event of early contract termination.

9. Match Requirements

Grantee must provide a minimum match for administrative activities, as required by the OAA, and must assure the total match for services is enough to meet the requirements of the OAA and HHSC rules.

- 9.1 Match must be in accordance with 40 TAC §85.202(i). All match contributions must be expended for goods and services necessary for and specifically identifiable in the approved Area Plan; Planning Budget; Working Budget, and the Quarterly Performance Reports (QPRs).
- 9.2 Match must conform to the regulations in 45 CFR Part 75 and the HHSC rules regarding match requirements.

10. Program Income

- 10.1 Grantee must earn and expend program income in accordance with UGMS Subpart C _ .25, 45 CFR Part 75, 45 CFR §1321.73, 40 TAC 85.202 (j), and all applicable HHSC rules. Grantee must use all program income and participant contributions collected under the approved Area Plan to promote eligible program outcomes. All program

income and participant contributions collected and expended must be documented and managed according to HHSC rules.

- 10.2** Grantee must account for and deposit program income received as contributions in accordance with the written policies and procedures established by Grantee in accordance with HHSC rules.
- 10.3** Grantee must handle program income collected by service providers in accordance with the HHSC rules.
- 10.4** Program income collected by service providers must consist only of those funds specifically provided by or on behalf of a program participant and directly attributable to the service provided.

11. Contribution Policy

Grantee must provide a voluntary opportunity for each eligible program participant to contribute to the cost of services while protecting the person's privacy. Grantee must safeguard and account for such contributions and use such contributions to expand or enhance program outcomes.

12. Invoicing

- 12.1** Within the timeframes and standards established in the Contract and HHSC policy, Grantee must submit a Request for Payment (RfP) or Request for Adjustment Journal (RfAJ), via email to AES.Invoices@hhsc.state.tx.us.
- 12.2** Grantee must submit invoices to HHSC in accordance with the templates provided by HHSC. Unless otherwise specified in the Contract, Grantee may submit an RfP or RfAJ twice monthly on the second and fourth Monday of each month. However, Grantee must submit one RfP per month, at minimum, and may request only one RfP and one RfAJ per HHSC's established billing schedule. If Grantee has *no* billable activity during a particular month, Grantee must submit an email to the invoice mailbox notifying HHSC that no invoice will be submitted for that month.
- 12.3** At the completion of the contractual term, Grantee shall submit its final request(s) for payment in accordance to the instructions provided to Grantee within the timeframe established by HHSC.

13. Home Delivered Meals

Grantee's "Common Providers" who contract with AAAs to provide meals paid for with Older Americans Act Title III-C2 funds, and who also contract with HHSC to provide meals funded by Title XX, as authorized under the 2020-21 General Appropriations Act, H.B. 1, 86th Legislature, Regular Session, 2019 (Article II, HHSC, Rider 99) must use the rates set by the Texas Legislature at the time that the meals were delivered.

14. Housing Bond Funds

Grantees that accept and receive housing bond funds must comply with the programmatic, financial, and reporting requirements as specified in the Contract as shown in Attachment B – Scope of Services Related to Housing Bonds.

15. Capital Equipment and Controlled Assets

15.1 Grantee must ensure that all controlled assets and capital equipment purchases made using grant funds shall comply with applicable laws and regulations, and applicable HHSC instructions.

15.1.1 Grantee shall establish and maintain, and make available to HHSC upon request, a detailed inventory of capital assets and equipment purchased with HHSC funding.

15.2 The following requirements also shall apply to each controlled asset and capital equipment purchase of \$5,000.00 or more:

15.2.1 When purchasing and disposing of controlled assets and capital equipment, Grantee must follow, and ensure that its subcontractors follow, the provisions of CFR Title 2, Chapter 200. The provisions to which Grantee must adhere include, but are not limited to:

15.2.1.1 Section 200.2 Acquisition cost;

15.2.1.2 Section 200.12 Capital assets;

15.2.1.3 Section 200.13 Capital expenditures;

15.2.1.4 Section 200.48 General purpose equipment;

15.2.1.5 Section 200.89 Special purpose equipment;

15.2.1.6 Section 200.313 Equipment; and

15.2.1.7 Section 200.439 Equipment and other capital expenditures.

15.2.2 All purchases approved by HHSC shall be funded only in the amount shown in quotes or bids.

15.2.3 The disposition of all capital assets and equipment shall require a written agreement from HHSC, which affirms that HHSC will receive its rightful portion of the sale proceeds according to state and federal rules of disposition, to the extent that they apply.

15.2.4 The following requirements pertain specifically to vehicle purchases:

15.2.4.1 Grantee shall conduct a cost/benefit analysis of the costs associated with leasing versus purchasing; and

15.2.4.2 Grantee shall obtain three quotes or bids for each vehicle purchase.

16. Closeout Reconciliation

Grantee must complete and submit a closeout packet and requested documentation in accordance with the instructions and on the date established by HHSC. Grantee must complete and submit all requests for funds on the forms provided by HHSC and by the date designated by HHSC. A final program report shall be submitted to HHSC on or before the date designated by HHSC, with no fewer than 45 business days advance notice to Grantee. The total of all program reports, including the final program report, must be reconciled to all funds received during the Contract period.

Grantees shall submit funding requests to HHSC no later than November 30th for the previous fiscal year, or after the final program report is submitted, unless indicated otherwise by a funding source.

17. Reviews

17.1 Provider Reviews

Grantee must conduct monitoring of the programmatic and fiscal activities performed by subcontracted providers on a regular and systematic basis to ensure compliance with established policies, state and federal rules, and contractual requirements. All monitoring activities must be documented and maintained on file available for HHSC review upon request.

17.2 HHSC Reviews

In the event that HHSC's monitoring or evaluation activities (or those of its agents) reveal deficiencies in Grantee's performance or the performance of its subcontractors or vendors, HHSC will take steps to remedy that non-compliance. HHSC may require Grantee produce a corrective action plan, provide training, or take other actions based on the identified risk(s). Ongoing non-compliance by Grantee, or HHSC's identification of unallowable or disallowable activities or actions or processes, will result in sanctions or penalties or both in accordance with 40 TAC §81.13.

17.3 Contract Monitoring Questionnaire

Grantee must comply with all applicable cost principles, audit requirements, and administrative requirements listed under the terms and conditions of the Contract. To ensure compliance with these requirements, HHSC utilizes a risk-based contract monitoring system. Grantee is required to complete a Contract Monitoring Questionnaire as requested and by the dates designated by HHSC.

18. Performance Measures

18.1 Grantee must meet the performance measures projections, including any amendments approved by HHSC. Approval of Grantee's performance measures will be in accordance with the requirements defined in the Establishing Performance Measures Projections Manual developed by HHSC.

18.2 Grantee must meet all Long-Term Care Ombudsman Program performance measures, including any amendments approved by HHSC's State Long-Term Care Ombudsman. Approval of Grantee's performance measures will be in accordance with the requirements in the Ombudsman Policies and Procedures Manual.

19. Disaster and Emergency Management

19.1 In the event of a disaster, whether man-made, natural, or of a civil defense nature, Grantee may provide and coordinate appropriate resources to federal disaster relief agencies and may provide equipment and resources for temporary shelter, nutrition services, food preparation, transportation, and volunteers.

19.2 Grantee's coordination of disaster and emergency efforts and continuity of services to program participants must be aligned with the disaster plan information provided in the approved Area Plan. Grantee must abide by its approved Area Plan, including plans for continuity of services to program participants and coordination with the Council of Government (COG) or parent agency, and designated emergency management coordinator as specified under Texas Government Code, Section 418.1015.

20. Reporting Requirements

20.1 Grantee shall submit all required fiscal and programmatic reports in accordance with the instructions, report due dates, and templates established by HHSC. All Required Reports and Deliverables must be submitted to the HHSC AAA Help Desk at AAA.Help@hhsc.state.tx.us.

20.2 The fiscal records that Grantee maintains in support of its reimbursement claims must conform to the procedures established by HHSC. Grantee must continue to submit all fiscal and programmatic reports throughout the entire contractual term, even if no additional services may be reimbursable under this Contract.

20.3 Grantee must meet the performance measures and projections set forth not only in approved Area Plans but also in approved amendments to the Area Plan. Additionally, Grantee must complete and submit all reports and data elements by the due dates designated by HHSC.

20.4 Required Reports and Documents:

20.4.1 Submissions to the HHSC AAA Help Mailbox must be emailed to AAA.Help@hhsc.state.tx.us.

20.4.2 Submissions to the AES Invoice Mailbox must be emailed to AES.Invoices@hhsc.state.tx.us.

AAA REQUIRED REPORTS AND DOCUMENTS

Deliverable		Report Requirement Details			Submission	
ID	Type	Report/Document	Frequency	Form Location		
AAA D-01	Financial	Fiscal Year Area Plan Planning Budget	Once Per Budget Cycle	AAA FTP	HHSC AAA Help Desk Mailbox	
AAA D-02	Performance	SPR Agency Report	Annually	SRT Website	HHSC AAA Help Desk Mailbox	
AAA D-03	Performance	2-Year Comparison of Report & Explanation of Variances	Annually	SRT Website	HHSC AAA Help Desk Mailbox	
AAA D-04	Performance	Housing Bond Report	Annually	AAA FTP Site	HHSC AAA Help Desk Mailbox	
AAA D-05	Closeout	Closeout Reconciliation	Annually	AAA FTP Site	HHSC AAA Help Desk Mailbox	

Deliverable ID	Deliverable Type	Report/Document	Report Requirement Details	Frequency	Form Location	Submission
AAA D-06	Performance	Quarterly Performance Reports	Grantee must complete and submit Quarterly Performance Reports (QPRs) in accordance with the instructions and QPR template provided by the due date established by HHSC.	Quarterly in December, March, June, and September	AAA FTP Site	HHSC AAA Help Desk Mailbox
AAA D-07	Planning	MIPPA Planning Documents	Grantee must complete and submit the MIPPA Semi-Annual Planning Documents in accordance to the instructions and templates provided by the due date established by HHSC.	Annually	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-08	Performance	MIPPA Semi-Annual Report	Grantee must complete and submit the MIPPA Semi-Annual Report in accordance to the instructions and template provided by the due date established by HHSC.	Semi-Annually	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-09	Performance	MIPPA Final Report	Grantee must complete and submit the MIPPA Final Report, at the end of the grant period. The MIPPA Final Report must be completed in accordance to the instructions and templates provided by the due date established by HHSC.	At the End of the Grant Cycle	Release Via Email	HHSC AAA Help Desk Mailbox

Deliverable ID	Deliverable Type	Report/Document	Report Requirement Details	Frequency	Form Location	Submission
AAA D-10	Planning	Area Plan	Grantee must submit the Area Plan in accordance to the instructions and template provided by the due date established by HHSC.	Once Per Planning Cycle	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-11	Planning	SHIP Planning Documents	Grantee must complete and submit the SHIP Semi-Annual Planning Documents in accordance to the instructions and templates provided by the due date established by HHSC.	Annually	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-12	Performance	SHIP Semi-Annual Report	Grantee must complete and submit the SHIP Semi-Annual Report in accordance to the instructions and template provided by the due date established HHSC.	Semi-Annually	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-13	Performance	SHIP Final Report	Grantee must complete and submit the SHIP Final Report, at the end of the grant period. The SHIP Final Report must be completed in accordance to the instructions and templates provided by the due date established HHSC.	Annually	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-14	Financial	Working Budget preparation following NSOP meal certification and receipt of NOA	Grantee must submit the Fiscal Year Working Budget in accordance to the instructions and template provided by the due date established by HHSC.	Once Per Planning Cycle	AAA FTP Site	HHSC AAA Help Desk Mailbox

Deliverable ID	Deliverable Type	Report/Document	Report Requirement Details	Frequency	Form Location	Submission
AAA D-15	Financial	Budget Amendment for Categorical Transfers	Grantee must submit the Budget Amendment for Categorical Transfers in accordance to the instructions and templates provided by the due date established by HHSC.	Once Per Planning Cycle	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-16	Ombudsman Performance	Ombudsman: Contract & Legislative Budget Board Performance Reports	Grantee must submit quarterly Ombudsman Contract & Legislative Budget Board Performance Reports. The Contract & Legislative Budget Board Report must be completed on the templates provided and in accordance to the instructions and due dates established by HHSC. (December; March; June; and September)	Quarterly in December, March, June, and September	N/A	AgingNetwork.com: Ombudsman Manager
AAA D-17	Financial	Request for Payment & Request for Adjustment Journal	Grantee must submit the Request for Payment and Request for Adjustment Journal in accordance to the instructions and due dates established by HHSC. (10:00 A.M. every 2 nd and 4 th Monday of each Month)	Semi-Monthly	AAA FTP Site	AES Invoices Mailbox
AAA D-18	Programmatic	Alzheimer Disease and Dementia Training Notice of Compliance and Certification	Grantee must submit an annual notice of compliance and certification certifying that all required employees have completed the training in accordance to the guidance in	Annually	N/A	HHSC AAA Help Desk Mailbox

Deliverable ID	Deliverable Type	Report/Document	Report Requirement Details	Frequency	Form Location	Submission
AAA D-19	Monitoring	Contract Monitoring Questionnaire (CMQ)	Area Agency on Aging (AAA) Bulletin 20-01 by the due date established by HHSC. Grantee must submit the initial CMQ on the template provided by HHSC	Within 30 calendar days after Contract execution; and annually thereafter within 60 calendar days before the end of each state fiscal year.	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-20	Staffing	Annual Staff & Activity Allocation List	Grantee must submit the Annual Staff & Activity Allocation List in accordance to the instructions and template provided and due dates established by HHSC.	Within 15 calendar days after the execution of the Contract.	Release Via Email	HHSC AAA Help Desk Mailbox
AAA D-21	AAA Inventory	AAA Inventory List	Grantee must submit an Inventory in accordance to the instructions and template provided and due dates established by HHSC.	Annually	Release Via Email	HHSC AAA Help Desk Mailbox

ATTACHMENT B
SCOPE OF SERVICES RELATED TO HOUSING BONDS

HHSC Contract No. HHS000874100007

GRANTEE: Coastal Bend Council of Governments

HHSC PROGRAM: Area Agencies on Aging

1. BACKGROUND

Chapter 394 of the Texas Local Government Code, commonly referred to as the Texas Housing Finance Corporation Act (the “Act”), provides “. . . a means to finance the cost of residential ownership and development that will provide decent, safe, and sanitary housing at affordable prices for residents of local governments.” See Section 394.002 of the Act.

Housing finance corporations are created under the Act and are public, nonprofit corporations. Under Section 394.902(b), housing finance corporations may collect a fee equal to one-tenth percent of the total principal amount of a loan made for multi-housing developments to pay to the Texas Health and Human Services Commission (“HHSC”). The fee is paid in lieu of a five percent housing unit set-a-side for elderly persons of low to moderate income, including veterans, homeless, and heads of households. The Government Code directs the funds to be used to assist in obtaining housing for an elderly person or families in which an elderly person is the head of a household. Section 394.003(4) defines “elderly person” as a person who is 60 years of age or older. For the purpose of this Contract, the terms “elderly person” and “older person” will be used interchangeably.

2. GOAL

The goal of this Attachment is to provide certain housing bond funds to be utilized by Grantee to expand service capacity, which will help eligible individuals to obtain not only housing but also assistance with residential repair and renovation projects designed to ensure the health, safety, security, and independence of elderly persons.

3. TARGET POPULATION

Grantee must use housing bond funds to obtain housing for elderly persons or families of low or moderate income in which an elderly person is the head of the household. An elderly person who receives assistance must be:

- 3.1** homeless;
- 3.2** a veteran;
- 3.3** a head of household;
- 3.4** an individual with limited English proficiency;

- 3.5 an individual with the greatest economic or social need, with particular attention to low-income minority individuals and older individuals residing in rural areas;
- 3.6 an individual at risk for institutional placement or transitioning from a long-term care facility back to the community;
- 3.7 an individual deemed to be at high risk, or is a suspected victim, of elder abuse; or
- 3.8 an individual receiving services from Adult Protective Services.

An individual who meets the criteria for the target population may be referred to in this Attachment as an “eligible individual.”

4. GRANTEE RESPONSIBILITIES

- 4.1 Grantee must use housing bond funds to assist eligible individuals with obtaining housing. No more than \$2,500 may be awarded to an eligible individual’s household during a 12-month period.
 - 4.1.1 Housing bond funds utilized for the purpose of assisting eligible individuals may be used to:
 - 4.1.1.1 Provide temporary shelter for an eligible individual in emergency situations, such as natural disasters;
 - 4.1.1.2 Relocate an eligible individual to permanent housing within the community for emergency, safety, or security reason *or* to allow an eligible individual to transition to more affordable housing or from long-term care to the community; or
 - 4.1.1.3 Make housing repairs, which allow eligible individuals to remain in their current residences. Home repairs or modifications may not be made for cosmetic reasons or on rental properties.
 - 4.1.2 To serve the purposes listed in Section 4 Grantee Responsibilities (4.1)(4.1.1) above, Grantee may use housing bond funds to pay for the following expenses:
 - 4.1.2.1 Costs associated with moving the eligible individual’s belongings;
 - 4.1.2.2 Two months’ rent or basic utility costs, or both, necessary to prevent eviction, to relocate within the community, to relocate from a long-term care setting to a community setting, or to avoid uninhabitable housing conditions, such as lacking water, electricity, or heat;
 - 4.1.2.3 Purchase of furnishings, including refrigerator and stove, if not supplied by the landlord;
 - 4.1.2.4 Purchase of essential equipment and supplies necessary to sustain a healthy environment and independent living; and

- 4.1.2.5** Repairs and modifications to, or supplies for, an eligible individual's home, excluding rental properties, for the following purposes:
 - 4.1.2.5.1** Accessibility: To make structural adaptations to a dwelling that give eligible individuals, who have disabling conditions, free movement within their residence;
 - 4.1.2.5.2** Safety and Security: To modify, treat, or remove safety hazards; prevent accidents and fires to or intrusion into a dwelling;
 - 4.1.2.5.3** Structural Repairs: To renovate or remediate the structure of a dwelling, as needed to ensure health and safety;
 - 4.1.2.5.4** Weatherization: To conserve energy, provide alternative energy sources to cool and heat a dwelling, and protect the eligible individual from exposure to weather;
 - 4.1.2.5.5** Electrical Repairs: To replace, repair, or install essential electrical wiring or fixtures in a dwelling, including that which is needed for communications; or
 - 4.1.2.5.6** Plumbing Repairs: To replace, repair, or install essential plumbing lines or fixtures in a dwelling.
- 4.2** Grantee may request approval for housing bond funds to be used in a manner not included in Section 4 (4.1). To request approval for alternate allowable use of funds, Grantee must submit a written request to the HHSC AAA Help Desk at AAA.Help@hhsc.state.tx.us. The written request must demonstrate how the alternate use is essential toward obtaining housing for the eligible individual. HHSC must give Grantee written approval in response before Grantee may spend the funds as it has requested. HHSC will not approve awarding more than \$2,500 to an eligible individual's household during a 12-month period.
- 4.3** Grantee may also enter into subrecipient agreements with applicable housing or home repair providers to deliver the services within the scope outlined above in Section 4 (4.1).
- 4.4** Grantees that receive housing bond funds must complete and submit an annual Housing Bond Report to HHSC. The annual Housing Bond Report must be completed as instructed on the form provided by HHSC and submitted by HHSC's designated deadline.

ATTACHMENT C



TEXAS
Health and Human Services

Health and Human Services Commission

Special Conditions

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HHSC SPECIAL CONDITIONS

The terms and conditions of these Special Conditions are incorporated into and made a part of the Contract. Capitalized items used in these Special Conditions and not otherwise defined have the meanings assigned to them in HHSC Grantee Uniform Terms and Conditions.

Article I. Special Definitions

"Conflict of Interest" means a set of facts or circumstances, a relationship, or other situation under which Grantee, a Subcontractor, or individual has past, present, or currently planned personal or financial activities or interests that either directly or indirectly: (1) impairs or diminishes the Grantee's, or Subcontractor's ability to render impartial or objective assistance or advice to the HHSC; or (2) provides the Grantee or Subcontractor an unfair competitive advantage in future HHSC procurements.

"Grantee Agents" means Grantee's representatives, employees, officers, as well as any contractor or subgrantee's employees, contractors, officers, principals and agents.

"Data Use Agreement" means the agreement incorporated into the Contract to facilitate creation, receipt, maintenance, use, disclosure or access to Confidential Information.

"Item of Noncompliance" means Grantee's acts or omissions that: (1) violate a provision of the Contract; (2) fail to ensure adequate performance of the Project; (3) represent a failure of Grantee to be responsive to a request of HHSC relating to the Project under the Contract.

"Minor Administrative Change" refers to a change to the Contract that does not increase the fees or term and done in accordance with Section **Error! Reference source not found.** of these Special Conditions.

"Confidential System Information" means any communication or record (whether oral, written, electronically stored or transmitted, or in any other form) provided to or made available to Grantee; or that Grantee may create, receive, maintain, use, disclose or have access to on behalf of HHSC or through performance of the Project, which is not designated as Confidential Information in a Data Use Agreement.

"State" means the State of Texas and, unless otherwise indicated or appropriate, will be interpreted to mean HHSC and other agencies of the State of Texas that may participate in the administration of HHSC Programs; provided, however, that no provision will be interpreted to include any entity other than HHSC as the contracting agency.

"Software" means all operating system and applications software used or created by Grantee to perform the work under the Contract.

"Third Party Software" refers to software programs or plug-ins developed by companies or individuals other than Grantee which are used in performance of the Project. It does not include items which are ancillary to the performance of the Project, such as internal systems of Grantee which were deployed by Grantee prior to the Contract and not procured to perform the Project.

"UTC" means HHSC's Uniform Terms and Conditions --Grantee Version.

Article II. Grantees Personnel and Subcontractors

Section 2.01 Contracts with Subcontractors

Grantee shall:

- a. Grantee may enter into contracts with subcontractors unless restricted or otherwise prohibited in the Contract.
- b. Grantees are prohibited from subcontracting with for-profit organizations under this Contract.
- c. Prior to entering into a subcontract agreement equaling or exceeding \$100,000, Grantee will obtain written approval from the System Agency.
- d. Obtain written approval before modifying any subcontract agreement to cause the agreement to exceed \$100,000.
- e. Establish written policies and procedures for competitive procurement and monitoring of subcontracts and will produce a subcontracting monitoring plan.
- f. Monitor subcontractors for both financial and programmatic performance and will maintain pertinent records.
- g. Submit quarterly monitoring reports to the System Agency in a format determined by the System Agency.
- h. Ensure that subcontracts are fully aware of the requirements placed upon them by state/federal statutes, rules, and regulations and by the provisions of this Contract.
- i. Ensure all subcontracts, must be in writing and include the following:
 1. Name and address of all parties and the subcontractor's Vendor Identification Number (VIN) or Employee Identification Number (EIN);
 2. Detailed description of the services to be provided;
 3. Measurable method and rate of payment and total not-to-exceed amount of the contract;

4. Clearly defined and executable termination clause; and
 5. Beginning and ending dates that coincide with the dates of the Contract.
- j. Ensure and be responsible for the performance of the subcontractor(s).
- k. Not contract with a subcontractor, at any tier, that is debarred, suspended, or excluded from or ineligible for participation in federal assistance programs or if the subcontractor would be otherwise ineligible to abide by the terms of this Contract.

Section 2.02 Status of Subcontractors

Grantees shall require that all subcontractors certify that they are/have:

- a. In good standing with all state and federal funding and regulatory agencies;
- b. Not currently debarred, suspended or otherwise excluded from participation in federal grant programs;
- c. Not delinquent on any repayment agreements;
- d. Not had a required license or certification revoked;
- e. Not ineligible under the terms of the Contract; and
- f. Not had a contract terminated by the Department.
- g. Not voluntarily surrendered within the past three years any license issued by HHSC.

Section 2.03 Incorporation of Terms in Subcontracts

- a. Grantee shall include in all its contracts with subrecipient subcontractors and solicitations for subrecipient subcontracts, without modification (except as required to make applicable to the subcontract):
 1. Statement of Work
 2. Uniform Terms and Conditions
 3. Supplemental and Special Conditions
 4. Federal Assurances and Certifications
 5. Non-Exclusive List of Applicable Laws
 6. A provision granting to the System Agency, State Auditor's Office (SAO), Office of Inspector General (OIG), and the Comptroller General of the United States, and any of their representatives, the right of access to inspect the work and the premises on which any work is performed, and the right to audit the subcontractor.
- b. Grantee will ensure that all written agreements with subcontractors incorporate the terms of this Contract so that all terms, conditions, provisions, requirements, duties

and liabilities under this Contract applicable to the services provided or activities conducted by a subcontractor are passed down to that subcontractor.

- c. No provision of this Contract creates privity of contract between the System Agency and any subcontractor of Grantee.

Section 2.04 Unilateral Amendment

The System Agency reserves the right to amend this Contract through execution of a unilateral amendment signed by the contract manager for this Contract and provided to the Contractor with ten days notice prior to execution of the amendment under the following circumstances to:

- a. To comply with a court order or judgment
- b. Incorporate new or revised federal or state laws, regulations, rules or policies
- c. Correct an obvious clerical error in this Contract;
- d. Change the name of the Contractor in order to reflect the Contractor's name as recorded by the Texas Secretary of State.
- e. To correct the name, mailing address, or contact information for persons named in the Contract;
- f. To update service descriptions or rates (if applicable);
- g. To revise budget category or service type funding amounts without increasing or decreasing the contract funding amount or
- h. To change the state fiscal year funding amount, based on utilization of funds or availability of funds.

Article III Confidentiality

Section 3.01 Confidential System Information

- a. HHSC prohibits the unauthorized disclosure of Other Confidential Information. Grantee and all Grantee Agents will not disclose or use any Other Confidential Information in any manner except as is necessary for the Project or the proper discharge of obligations and securing of rights under the Contract. Grantee will have a system in effect to protect Other Confidential Information. Any disclosure or transfer of Other Confidential Information by Grantee, including information requested to do so by HHSC, will be in accordance with the Contract. If Grantee receives a request for Other Confidential Information, Grantee will immediately

notify HHSC of the request, and will make reasonable efforts to protect the Other Confidential Information from disclosure until further instructed by the HHSC.

- b. Grantee will notify HHSC promptly of any unauthorized possession, use, knowledge, or attempt thereof, of any Other Confidential Information by any person or entity that may become known to Grantee. Grantee will furnish to HHSC all known details of the unauthorized possession, use, or knowledge, or attempt thereof, and use reasonable efforts to assist HHSC in investigating or preventing the reoccurrence of any unauthorized possession, use, or knowledge, or attempt thereof, of Other Confidential Information.
- c. HHSC will have the right to recover from Grantee all damages and liabilities caused by or arising from Grantee or Grantee Agents' failure to protect HHSC's Confidential Information as required by this section.
- d. IN COORDINATION WITH THE INDEMNITY PROVISIONS CONTAINED IN THE UTC, GRANTEE WILL INDEMNIFY AND HOLD HARMLESS HHSC FROM ALL DAMAGES, COSTS, LIABILITIES, AND EXPENSES (INCLUDING WITHOUT LIMITATION REASONABLE ATTORNEYS' FEES AND COSTS) CAUSED BY OR ARISING FROM GRANTEE OR GRANTEE AGENTS FAILURE TO PROTECT OTHER CONFIDENTIAL INFORMATION. GRANTEE WILL FULFILL THIS PROVISION WITH COUNSEL APPROVED BY HHSC.

Article IV. Miscellaneous Provisions

Section 4.01 Minor Administrative Changes

HHSC's designee, referred to as the Contract Manager, Project Sponsor, or other equivalent, in the Contract, is authorized to provide written approval of mutually agreed upon Minor Administrative Changes to the Project or the Contract that do not increase the fees or term.

Changes that increase the fees or term must be accomplished through the formal amendment procedure, as set forth in the UTC. Upon approval of a Minor Administrative Change, HHSC and Grantee will maintain written notice that the change has been accepted in their Contract files.

Section 4.02 Conflicts of Interest

Grantee warrants to the best of its knowledge and belief, except to the extent already disclosed to HHSC, there are no facts or circumstances that could give rise to a Conflict of Interest and further that Grantee or Grantee Agents have no interest and will not acquire any direct or indirect interest that would conflict in any manner or degree with their

performance under the Contract. Grantee will, and require Grantee Agents, to establish safeguards to prohibit Contract Agents from using their positions for a purpose that constitutes or presents the appearance of personal or organizational Conflict of Interest, or for personal gain. Grantee and Grantee Agents will operate with complete independence and objectivity without actual, potential or apparent Conflict of Interest with respect to the activities conducted under the Contract.

Grantee agrees that, if after Grantee's execution of the Contract, Grantee discovers or is made aware of a Conflict of Interest, Grantee will immediately and fully disclose such interest in writing to HHSC. In addition, Grantee will promptly and fully disclose any relationship that might be perceived or represented as a conflict after its discovery by Grantee or by HHSC as a potential conflict. HHSC reserves the right to make a final determination regarding the existence of Conflicts of Interest, and Grantee agrees to abide by HHSC's decision.

If HHSC determines that Grantee was aware of a Conflict of Interest and did not disclose the conflict to HHSC, such nondisclosure will be considered a material breach of the Contract. Furthermore, such breach may be submitted to the Office of the Attorney General, Texas Ethics Commission, or appropriate State or federal law enforcement officials for further action.

Section 4.03 Flow Down Provisions

Grantee must include any applicable provisions of the Contract in all subcontracts based on the scope and magnitude of work to be performed by such Subcontractor. Any necessary terms will be modified appropriately to preserve the State's rights under the Contract.

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ATTACHMENT D
MODIFICATIONS TO HHS UNIFORM TERMS AND CONDITIONS
(GOVERNMENTAL ENTITY)

HHSC Contract No. HHS000874100007

1. Article III, Section 3.4, relating to “Refunds and Overpayments,” is hereby deleted.
2. Article VII, relating to “Record Retention, Audit, and Confidentiality,” is hereby deleted
3. Article VIII, relating to “Contract Remedies and Early Termination,” is hereby deleted.
4. Article IX, Section 9.2, relating to “Insurance,” is hereby deleted.
5. Article IX, Section 9.3(C)(iii), relating to “Limitation on Authority,” is hereby deleted.
6. Article IX, Section 9.8, relating to “Subcontractors,” is hereby amended and restated as follows:

Subcontracts, if any, entered into by the Performing Agency shall be in writing and be subject to the requirements of the Contract. Should Performing Agency subcontract any of the services required in the Contract, Performing Agency expressly understands and acknowledges that in entering into such subcontract(s), System Agency is in no manner liable to any subcontractor(s) of Performing Agency. In no event shall this provision relieve Performing Agency of the responsibility for ensuring that the services performed under all subcontracts are rendered in compliance with the Contract.

7. Article IX, Section 9.14, relating to “Dispute Resolution,” is hereby deleted.
8. Article IX, Section 9.16, relating to “Media Releases,” is hereby deleted.
9. Article IX, Section 9.17, relating to “No Marketing Activities,” is hereby deleted.
- 1.

ATTACHMENT E



TEXAS
Health and Human Services

Health and Human Services (HHS)

**Uniform Terms and Conditions -
Governmental Entity**

Version 3.2

Published and Effective - May 2020

Responsible Office: Chief Counsel

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ARTICLE I. DEFINITIONS AND INTERPRETIVE PROVISIONS

1.1 DEFINITIONS

As used in this Contract, unless the context clearly indicates otherwise, the following terms and conditions have the meanings assigned below:

“Amendment” means a written agreement, signed by the Parties, which documents changes to the Contract.

“Contract” means the Signature Document, these Uniform Terms and Conditions, along with any attachments, and any Amendments, purchase orders, and Work Orders that may be issued by the System Agency.

“Deliverables” means the goods, services, Work, and Work Product to be provided to System Agency under the Contract.

“DSHS” means the Department of State Health Services.

“Effective Date” means the date on which the Contract takes effect.

“Federal Fiscal Year” means the period beginning October 1 and ending September 30 each year, which is the annual accounting period for the United States government.

“GAAP” means Generally Accepted Accounting Principles.

“GASB” means the Governmental Accounting Standards Board.

“HHSC” means the Health and Human Services Commission.

“Health and Human Services” or “HHS” includes HHSC and DSHS.

“HUB” means Historically Underutilized Business, as defined by Chapter 2161 of the Texas Government Code.

“Intellectual Property Rights” means the worldwide proprietary rights or interests, including patent, copyright, trade secret, and trademark rights, as such rights may be evidenced by or embodied in:

- i. any idea, design, concept, personality right, method, process, technique, apparatus, invention, discovery, or improvement;
- ii. any work of authorship, including any compilation, computer code, website or web page design, literary work, pictorial work, or graphic work;
- iii. any trademark, service mark, trade dress, trade name, branding, or other indicia of source or origin;
- iv. domain name registrations; and
- v. any other proprietary or similar rights. The Intellectual Property Rights of a Party include all worldwide proprietary rights or interests that the Party may have acquired by assignment, by exclusive license, or by license with the right to grant sublicenses.

“Local Government” means a Texas governmental unit defined under and authorized to enter this contract by Texas Government Code, Chapter 791.

“Parties” means the System Agency and Performing Agency, collectively.

“Party” means either the System Agency or Performing Agency, individually.

“Performing Agency” means the State Agency or Local Government providing the goods or services defined in this Contract.

“Receiving Agency” means HHSC or DSHS, as applicable, Agency receiving the benefit of the goods or services provided under this Contract.

“Signature Document” means the document executed by both Parties that sets forth all the documents that constitute the Contract.

“Solicitation” means the document, if any, issued by the System Agency (including any published addenda, exhibits, and attachments) under which the goods or services provided under the Contract were initially requested, which is incorporated by reference for all purposes in its entirety.

“Solicitation Response” means Performing Agency’s full and complete response (including any attachments and addenda) to the Solicitation, which is incorporated by reference for all purposes in its entirety.

“State Agency” means a Texas “Agency” as defined under Texas Government Code, Chapter 771.

“State Fiscal Year” means the period beginning September 1 and ending August 31 each year, which is the annual accounting period for the State of Texas.

“State of Texas Textravel” means the Texas Comptroller of Public Accounts’ state travel laws, rules, and policies.

“System Agency” means HHSC or DSHS, as applicable.

“Third Party IP” means the Intellectual Property Rights of any third party that is not a party to this Contract, and that is not a subcontractor.

“Work” means all services to be performed, goods to be delivered, and any appurtenant actions performed, and items produced, conceived, or developed, including Deliverables.

“Work Order” means an individually negotiated document that is executed by both Parties and which authorizes a Project, if any, in an indefinite quantity Contract.

“Work Product” means any and all works, including work papers, notes, materials, approaches, designs, specifications, systems, innovations, improvements, inventions, software, programs, source code, documentation, training materials, audio or audiovisual recordings, methodologies, concepts, studies, reports, whether finished or unfinished, and whether or not included in the Deliverables, that are developed, produced, generated, or provided by Performing Agency in connection with Performing Agency’s performance of its duties under the Contract or through use of any funding provided under this Contract.

1.2 INTERPRETIVE PROVISIONS

- A. The meanings of defined terms include the singular and plural forms.
- B. The words “hereof,” “herein,” “hereunder,” and similar words refer to this Contract as a

whole and not to any particular provision, section, attachment, or schedule of this Contract unless otherwise specified.

- C. The term “including” is not limiting and means “including without limitation” and, unless otherwise expressly provided in this Contract, (i) references to contracts (including this Contract) and other contractual instruments shall be deemed to include all subsequent Amendments and other modifications, but only to the extent that such Amendments and other modifications are not prohibited by the terms of this Contract, and (ii) references to any statute, rule, or regulation are to be construed as including all statutory and regulatory provisions consolidating, amending, replacing, or supplementing the statute or regulation.
- D. The captions and headings of this Contract are for convenience of reference only and do not affect the interpretation of this Contract.
- E. All attachments, including those incorporated by reference, and any Amendments are considered part of the terms of this Contract.
- F. This Contract may use several different limitations, regulations, or policies to regulate the same or similar matters. All such limitations, regulations, and policies are cumulative.
- G. Unless otherwise expressly provided, reference to any action of the System Agency or by the System Agency by way of consent, approval, or waiver will be deemed modified by the phrase “in its sole discretion.”
- H. Time is of the essence in this Contract.

ARTICLE II. PAYMENT PROVISIONS

2.1 PAYMENT

Payment shall be made in accordance with Government Code, Chapter 771, Government Code, Chapter 791, or Government Code, Chapter 2251.051, as applicable.

2.2 ANCILLARY AND TRAVEL EXPENSES

- A. Except as otherwise provided in the Contract, no ancillary expenses incurred by the Performing Agency in connection with its provision of the services or Deliverables will be reimbursed by the System Agency. Ancillary expenses include, but are not limited to costs associated with transportation, delivery, and insurance for each Deliverable.
- B. When the reimbursement of travel expenses is authorized by the Contract, all such expenses will be reimbursed in accordance with the rates set by the Texas Comptroller of Public Accounts’ *Textravel* accessible at the Texas Comptroller of Public Accounts website.

2.3 NO QUANTITY GUARANTEES

The System Agency makes no guarantee of volume or usage of Work under this Contract. All Work requested may be on an irregular and as needed basis throughout the Contract term.

2.4 TAXES

Purchases made for State of Texas use are exempt from the State Sales Tax and Federal Excise Tax. Performing Agency represents and warrants that it shall pay all taxes or similar amounts resulting from the Contract, including, but not limited to, any federal, State, or local income, sales or excise taxes of Performing Agency or its employees. System Agency shall not be liable for any taxes resulting from the contract.

ARTICLE III. STATE AND FEDERAL FUNDING

3.1 EXCESS OBLIGATIONS PROHIBITED

The Contract is subject to termination or cancellation, without penalty to the System Agency, either in whole or in part, subject to the availability of state funds. System Agency is a state agency whose authority and appropriations are subject to actions of the Texas Legislature. If System Agency becomes subject to a legislative change, revocation of statutory authority, or lack of appropriated funds that would render either System Agency's or Performing Agency's delivery or performance under the Contract impossible or unnecessary, the Contract will be terminated or cancelled and be deemed null and void. In the event of a termination or cancellation under this Section, System Agency will not be liable to Performing Agency for any damages that are caused or associated with such termination, or cancellation, and System Agency will not be required to give prior notice.

3.2 NO DEBT AGAINST THE STATE

This Contract will not be construed as creating any debt by or on behalf of the State of Texas.

3.3 DEBT AND DELINQUENCIES

Performing Agency agrees that any payments due under the Contract shall be directly applied towards eliminating any debt or delinquency it has to the State of Texas including, but not limited to, delinquent taxes, delinquent student loan payments, and delinquent child support.

3.4 REFUNDS AND OVERPAYMENTS

- A. At its sole discretion, the System Agency may:
- i. withhold all or part of any payments to Performing Agency to offset overpayments, unallowable or ineligible costs made to the Performing Agency, or if any required financial status report(s) is not submitted by the due date(s); or,
 - ii. require Performing Agency to promptly refund or credit - within thirty (30) calendar days of written notice - any funds erroneously paid by System Agency which are not expressly authorized under the Contract.
- B. "Overpayments," as used in this Section, include payments:
- i. made by the System Agency that exceed the maximum allowable rates;
 - ii. that are not allowed under applicable laws, rules, or regulations; or,
 - iii. that are otherwise inconsistent with this Contract, including any unapproved expenditures. Performing Agency understands and agrees that it will be liable to the System Agency for any costs disallowed pursuant to financial and compliance audit(s) of funds received under this Contract. Performing Agency further understands and agrees that reimbursement of such disallowed costs shall be paid by Performing Agency from funds which were not provided or otherwise made available to Performing Agency under this Contract.

ARTICLE IV. WARRANTY, AFFIRMATIONS, ASSURANCES, AND CERTIFICATIONS

4.1 WARRANTY

Performing Agency warrants that all Work under this Contract shall be completed in a manner consistent with standards under the terms of this Contract, in the applicable trade, profession, or industry; shall conform to or exceed the specifications set forth in the Contract; and all Deliverables shall be fit for ordinary use, of good quality, and with no material defects. If System Agency, in its sole discretion, determines Performing Agency has failed to complete Work timely or to perform satisfactorily under conditions required by this Contract, the System Agency may require Performing Agency, at its sole expense, to:

- i. Repair or replace all defective or damaged Work;
- ii. Refund any payment Performing Agency received from System Agency for all defective or damaged Work and, in conjunction therewith, require Performing Agency to accept the return of such Work; and,
- iii. Take necessary action to ensure that Performing Agency's future performance and Work conform to the Contract requirements.

4.2 CONTRACT AFFIRMATIONS

Performing Agency certifies that, to the extent Contract Affirmations are incorporated into the Contract under the Signature Document, the Performing Agency has reviewed the Contract Affirmations and that Performing Agency is in compliance with all requirements.

4.3 FEDERAL ASSURANCES

Performing Agency certifies that, to the extent federal assurances are incorporated into the Contract under the Signature Document, the Performing Agency has reviewed the federal assurances and that Performing Agency is in compliance with all requirements.

4.4 FEDERAL CERTIFICATIONS

Performing Agency certifies that, to the extent federal certifications are incorporated into the Contract under the Signature Document, the Performing Agency has reviewed the federal certifications and that Performing Agency is in compliance with all requirements. In addition, Performing Agency certifies that it is and shall remain in compliance with all applicable federal laws, rules, and regulations, as they may pertain to this Contract.

ARTICLE V. INTELLECTUAL PROPERTY

5.1 OWNERSHIP OF WORK PRODUCT

- A. All right, title, and interest in the Work Product, including all Intellectual Property Rights therein, is exclusively owned by System Agency. Performing Agency and Performing Agency's employees will have no rights in or ownership of the Work Product or any other property of System Agency.
- B. Any and all Work Product that is copyrightable under United States copyright law is deemed to be "work made for hire" owned by System Agency, as provided by Title 17 of the United States Code. To the extent that Work Product does not qualify as a "work made for hire" under applicable federal law, Performing Agency hereby irrevocably assigns and

transfers to System Agency, its successors and assigns, the entire right, title, and interest in and to the Work Product, including any and all Intellectual Property Rights embodied therein or associated therewith, and in and to all works based upon, derived from, or incorporating the Work Product, and in and to all income, royalties, damages, claims and payments now or hereafter due or payable with respect thereto, and in and to all causes of action, either in law or in equity for past, present or future infringement based on the copyrights, and in and to all rights corresponding to the foregoing.

- C. Performing Agency agrees to execute all papers and to perform such other acts as System Agency may deem necessary to secure for System Agency or its designee the rights herein assigned.
- D. In the event that Performing Agency has any rights in and to the Work Product that cannot be assigned to System Agency, Performing Agency hereby grants to System Agency an exclusive, worldwide, royalty-free, transferable, irrevocable, and perpetual license, with the right to sublicense, to reproduce, distribute, modify, create derivative works of, publicly perform and publicly display, make, have made, use, sell and offer for sale the Work Product and any products developed by practicing such rights.
- E. The foregoing does not apply to Incorporated Pre-existing Works or Third Party IP that are incorporated in the Work Product by Performing Agency. Performing Agency shall provide System Agency access during normal business hours to all Vendor materials, premises, and computer files containing the Work Product.

5.2 PERFORMING AGENCY'S PRE-EXISTING WORKS

- A. To the extent that Performing Agency incorporates into the Work Product any works of Performing Agency that were created by Performing Agency or that Performing Agency acquired rights in prior to the Effective Date of this Contract ("**Incorporated Pre-existing Works**"), Performing Agency retains ownership of such Incorporated Pre-existing Works.
- B. Performing Agency hereby grants to System Agency an irrevocable, perpetual, non-exclusive, royalty-free, transferable, worldwide right and license, with the right to sublicense, to use, reproduce, modify, copy, create derivative works of, publish, publicly perform and display, sell, offer to sell, make and have made, the Incorporated Pre-existing Works, in any medium, with or without the associated Work Product.
- C. Performing Agency represents, warrants, and covenants to System Agency that Performing Agency has all necessary right and authority to grant the foregoing license in the Incorporated Pre-existing Works to System Agency.

5.3 THIRD PARTY IP

- A. To the extent that any Third Party IP is included or incorporated in the Work Product by Performing Agency, Performing Agency hereby grants to System Agency, or shall obtain from the applicable third party for System Agency's benefit, the irrevocable, perpetual, non-exclusive, worldwide, royalty-free right and license, for System Agency's internal business purposes only,
 - i. to use, reproduce, display, perform, distribute copies of, and prepare derivative works based upon such Third Party IP and any derivative works thereof embodied in or delivered to System Agency in conjunction with the Work Product, and
 - ii. to authorize others to do any or all of the foregoing.
- B. Performing Agency shall obtain System Agency's advance written approval prior to incorporating any Third Party IP into the Work Product, and Performing Agency shall

notify System Agency on delivery of the Work Product if such materials include any Third Party IP.

- C. Performing Agency shall provide System Agency all supporting documentation demonstrating Performing Agency's compliance with this **Section 5.3**, including without limitation documentation indicating a third party's written approval for Performing Agency to use any Third Party IP that may be incorporated in the Work Product.

5.4 AGREEMENTS WITH EMPLOYEES AND SUBCONTRACTORS

Performing Agency shall have written, binding agreements with its employees and subcontractors that include provisions sufficient to give effect to and enable Performing Agency's compliance with Performing Agency's obligations under this **Article V**.

5.5 DELIVERY UPON TERMINATION OR EXPIRATION

No later than the first calendar day after the termination or expiration of the Contract or upon System Agency's request, Performing Agency shall deliver to System Agency all completed, or partially completed, Work Product, including any Incorporated Pre-existing Works, and any and all versions thereof. Performing Agency's failure to timely deliver such Work Product is a material breach of the Contract. Performing Agency will not retain any copies of the Work Product or any documentation or other products or results of Performing Agency's activities under the Contract without the prior written consent of System Agency.

5.6 SURVIVAL

The provisions and obligations of this **Article V** survive any termination or expiration of the Contract.

5.7 SYSTEM AGENCY DATA

- A. As between the Parties, all data and information acquired, accessed, or made available to Performing Agency by, through, or on behalf of System Agency or System Agency contractors, including all electronic data generated, processed, transmitted, or stored by Performing Agency in the course of providing data processing services in connection with Performing Agency's performance hereunder (the "**System Agency Data**"), is owned solely by System Agency.
- B. Performing Agency has no right or license to use, analyze, aggregate, transmit, create derivatives of, copy, disclose, or process the System Agency Data except as required for Performing Agency to fulfill its obligations under the Contract or as authorized in advance in writing by System Agency.
- C. For the avoidance of doubt, Performing Agency is expressly prohibited from using, and from permitting any third party to use, System Agency Data for marketing, research, or other non-governmental or commercial purposes, without the prior written consent of System Agency.
- D. Performing Agency shall make System Agency Data available to System Agency, including to System Agency's designated vendors, as directed in writing by System Agency. The foregoing shall be at no cost to System Agency.
- E. Furthermore, the proprietary nature of Performing Agency's systems that process, store, collect, and/or transmit the System Agency Data shall not excuse Performing Agency's performance of its obligations hereunder.

ARTICLE VI. PROPERTY

6.1 USE OF STATE PROPERTY

- A. Performing Agency is prohibited from using State Property for any purpose other than performing services authorized under the Contract.
- B. State Property includes, but is not limited to, System Agency's office space, identification badges, System Agency information technology equipment and networks (*e.g.*, laptops, portable printers, cell phones, iPads or tablets, external hard drives, data storage devices, any System Agency-issued software, and the System Agency Virtual Private Network (VPN client)), and any other resources of System Agency.
- C. Performing Agency shall not remove State Property from the continental United States. In addition, Performing Agency may not use any computing device to access System Agency's network or e-mail while outside of the continental United States.
- D. Performing Agency shall not perform any maintenance services on State Property unless the Contract expressly authorizes such services.
- E. During the time that State Property is in the possession of Performing Agency, Performing Agency shall be responsible for:
 - i. all repair and replacement charges incurred by State Agency that are associated with loss of State Property or damage beyond normal wear and tear, and
 - ii. all charges attributable to Performing Agency's use of State Property that exceeds the Contract scope. Performing Agency shall fully reimburse such charges to System Agency within ten (10) calendar days of Performing Agency's receipt of System Agency's notice of amount due. Use of State Property for a purpose not authorized by the Contract shall constitute breach of contract and may result in termination of the Contract and the pursuit of other remedies available to System Agency under contract, at law, or in equity.

6.2 DAMAGE TO GOVERNMENT PROPERTY

- A. In the event of loss, destruction, or damage to any System Agency or State of Texas owned, leased, or occupied property or equipment by Performing Agency or Performing Agency's employees, agents, Subcontractors, and suppliers, Performing Agency shall be liable to System Agency and the State of Texas for the full cost of repair, reconstruction, or replacement of the lost, destroyed, or damaged property.
- B. Performing Agency shall notify System Agency of the loss, destruction, or damage of equipment or property within one (1) business day. Performing Agency shall reimburse System Agency and the State of Texas for such property damage within 10 calendar days after Performing Agency's receipt of System Agency's notice of amount due.

6.3 PROPERTY RIGHTS UPON TERMINATION OR EXPIRATION OF CONTRACT

In the event the Contract is terminated for any reason, or upon its expiration State Property remains the property of the System Agency and must be returned to the System Agency by the end date of the Contract or upon System Agency's request.

ARTICLE VII. RECORD RETENTION, AUDIT, AND CONFIDENTIALITY

7.1 RECORD MAINTENANCE AND RETENTION

- A. Performing Agency shall keep and maintain under GAAP or GASB, as applicable, full, true, and complete records necessary to fully disclose to the System Agency, the Texas State Auditor's Office, the United States Government, and their authorized representatives sufficient information to determine compliance with the terms and conditions of this Contract and all state and federal rules, regulations, and statutes.
- B. Performing Agency shall maintain and retain legible copies of this Contract and all records relating to the performance of the Contract including supporting fiscal documents adequate to ensure that claims for contract funds are in accordance with applicable State of Texas requirements. These records shall be maintained and retained by Performing Agency for a minimum of seven (7) years after the Contract expiration date or seven (7) years after the completion of all audit, claim, litigation, or dispute matters involving the Contract are resolved, whichever is later.

7.2 AGENCY'S RIGHT TO AUDIT

- A. Performing Agency shall make available at reasonable times and upon reasonable notice, and for reasonable periods, work papers, reports, books, records, supporting documents kept current by Performing Agency pertaining to the Contract for purposes of inspecting, monitoring, auditing, or evaluating by System Agency and the State of Texas.
- B. In addition to any right of access arising by operation of law, Performing Agency and any of Performing Agency's affiliate or subsidiary organizations, or subcontractors shall permit the System Agency or any of its duly authorized representatives, as well as duly authorized federal, state or local authorities, unrestricted access to and the right to examine any site where business is conducted or services are performed, and all records, which includes but is not limited to financial, client and patient records, books, papers or documents related to this Contract. If the Contract includes federal funds, federal agencies that shall have a right of access to records as described in this section include: the federal agency providing the funds, the Comptroller General of the United States, the General Accounting Office, the Office of the Inspector General, and any of their authorized representatives. In addition, agencies of the State of Texas that shall have a right of access to records as described in this section include: the System Agency, HHSC, HHSC's contracted examiners, the State Auditor's Office, the Texas Attorney General's Office, and any successor agencies. Each of these entities may be a duly authorized authority.
- C. If deemed necessary by the System Agency or any duly authorized authority, for the purpose of investigation or hearing, Performing Agency shall produce original documents related to this Contract.
- D. The System Agency and any duly authorized authority shall have the right to audit billings both before and after payment, and all documentation that substantiates the billings.
- E. Performing Agency shall include this provision concerning the right of access to, and examination of, sites and information related to this Contract in any subcontract it awards.

7.3 RESPONSE/COMPLIANCE WITH AUDIT OR INSPECTION FINDINGS

- A. Performing Agency must act to ensure its and its subcontractors' compliance with all corrections necessary to address any finding of noncompliance with any law, regulation, audit requirement, or generally accepted accounting principle, or any other deficiency identified in any audit, review, or inspection of the Contract and the services and Deliverables provided. Any such correction will be at Performing Agency's or its Subcontractor's sole expense. Whether Performing Agency's action corrects the noncompliance shall be solely the decision of the System Agency.
- B. As part of the services, Performing Agency must provide to System Agency upon request a copy of those portions of Performing Agency's and its subcontractors' internal audit reports relating to the services and Deliverables provided to the State under the Contract.

7.4 STATE AUDITOR'S RIGHT TO AUDIT

- A. The state auditor may conduct an audit or investigation of any entity receiving funds from the state directly under the Contract or indirectly through a subcontract under the Contract. The acceptance of funds directly under the Contract or indirectly through a subcontract under the Contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, an entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit.
- B. The Performing Agency shall comply with any rules and procedures of the state auditor in the implementation and enforcement of Section 2262.154 of the Texas Government Code.

7.5 CONFIDENTIALITY

Performing Agency shall maintain as confidential and shall not disclose to third parties without System Agency's prior written consent, any System Agency information including but not limited to System Agency Data, System Agency's business activities, practices, systems, conditions and services. This section will survive termination or expiration of this Contract. The obligations of Performing Agency under this section will survive termination or expiration of this Contract. This requirement must be included in all subcontracts awarded by Performing Agency.

ARTICLE VIII. CONTRACT REMEDIES AND EARLY TERMINATION

8.1 CONTRACT REMEDIES

To ensure Performing Agency's full performance of the Contract and compliance with applicable law, the System Agency reserves the right to hold Performing Agency accountable for breach of contract or substandard performance and may take remedial or corrective actions, including, but not limited to:

- i. suspending all or part of the Contract;
- ii. requiring the Performing Agency to take specific actions in order to remain in compliance with the Contract;
- iii. recouping payments made by the System Agency to the Performing Agency found to be in error;

- iv. suspending, limiting, or placing conditions on the Performing Agency's continued performance of Work; or
- v. imposing any other remedies, sanctions, or penalties authorized under this Contract or permitted by federal or state law.

8.2 TERMINATION FOR CONVENIENCE

The System Agency may terminate the Contract, in whole or in part, at any time when, in its sole discretion, the System Agency determines that termination is in the best interests of the State of Texas. The termination will be effective on the date specified in the System Agency's notice of termination.

8.3 TERMINATION FOR CAUSE

Except as otherwise provided by the U.S. Bankruptcy Code, or any successor law, the System Agency may terminate the Contract, in whole or in part, upon either of the following conditions:

i. Material Breach

The System Agency will have the right to terminate the Contract in whole or in part if the System Agency determines, in its sole discretion, that Performing Agency has materially breached the Contract or has failed to adhere to any laws, ordinances, rules, regulations or orders of any public authority having jurisdiction and such violation prevents or substantially impairs performance of Performing Agency's duties under the Contract. Performing Agency's misrepresentation in any aspect of Performing Agency's Solicitation Response, if any, or Performing Agency's addition to the System for Award Management (SAM) exclusion list will also constitute a material breach of the Contract.

ii. Failure to Maintain Financial Viability

The System Agency may terminate the Contract if, in its sole discretion, the System Agency has a good faith belief that Performing Agency no longer maintains the financial viability required to complete the Work, or otherwise fully perform its responsibilities under the Contract.

8.4 PERFORMING AGENCY RESPONSIBILITY FOR SYSTEM AGENCY'S TERMINATION COSTS

If the System Agency terminates the Contract for cause, the Performing Agency shall be responsible to the System Agency for all costs incurred by the System Agency and the State of Texas to replace the Performing Agency. These costs include, but are not limited to, the costs of procuring a substitute vendor and the cost of any claim or litigation attributable to Performing Agency's failure to perform any Work in accordance with the terms of the Contract.

ARTICLE IX. GENERAL PROVISIONS

9.1 AMENDMENT

The Contract may only be amended by an Amendment executed by both Parties.

9.2 INSURANCE

A. Unless otherwise specified in this Contract, Performing Agency shall acquire and maintain, for the duration of this Contract, insurance coverage necessary to ensure proper

fulfillment of this Contract and potential liabilities thereunder with financially sound and reputable insurers licensed by the Texas Department of Insurance, in the type and amount customarily carried within the industry as determined by the System Agency. Performing Agency shall provide evidence of insurance as required under this Contract, including a schedule of coverage or underwriter's schedules establishing to the satisfaction of the System Agency the nature and extent of coverage granted by each such policy, upon request by the System Agency. In the event that any policy is determined by the System Agency to be deficient to comply with the terms of this Contract, Performing Agency shall secure such additional policies or coverage as the System Agency may reasonably request or that are required by law or regulation. If coverage expires during the term of this Contract, Performing Agency must produce renewal certificates for each type of coverage.

- B. These and all other insurance requirements under the Contract apply to both Performing Agency and its subcontractors, if any. Performing Agency is responsible for ensuring its subcontractors' compliance with all requirements.

9.3 LIMITATION ON AUTHORITY

- A. The authority granted to Performing Agency by the System Agency is limited to the terms of the Contract.
- B. Performing Agency shall not have any authority to act for or on behalf of the System Agency or the State of Texas except as expressly provided for in the Contract; no other authority, power, or use is granted or implied. Performing Agency may not incur any debt, obligation, expense, or liability of any kind on behalf of System Agency or the State of Texas.
- C. Performing Agency may not rely upon implied authority and is not granted authority under the Contract to:
- i. Make public policy on behalf of the System Agency;
 - ii. Promulgate, amend, or disregard administrative regulations or program policy decisions made by State and federal agencies responsible for administration of a System Agency program; or
 - iii. Unilaterally communicate or negotiate with any federal or state agency or the Texas Legislature on behalf of the System Agency regarding System Agency programs or the Contract. However, upon System Agency request and with reasonable notice from System Agency to the Performing Agency, the Performing Agency shall assist the System Agency in communications and negotiations regarding the Work under the Contract with state and federal governments.

9.4 LEGAL OBLIGATIONS

Performing Agency shall comply with all applicable federal, state, and local laws, ordinances, and regulations, including all federal and state accessibility laws relating to direct and indirect use of information and communication technology. Performing Agency shall be deemed to have knowledge of all applicable laws and regulations and be deemed to understand them.

9.5 CHANGE IN LAWS AND COMPLIANCE WITH LAWS

Performing Agency shall comply with all laws, regulations, requirements and guidelines applicable to a vendor providing services and products required by the Contract to the State of Texas, as these laws, regulations, requirements and guidelines currently exist and as amended

throughout the term of the Contract. System Agency reserves the right, in its sole discretion, to unilaterally amend the Contract to incorporate any modifications necessary for System Agency's compliance, as an agency of the State of Texas, with all applicable state and federal laws, regulations, requirements and guidelines.

9.6 E-VERIFY PROGRAM

Performing Agency certifies that for contracts for services, Performing Agency shall utilize the U.S. Department of Homeland Security's E-Verify system during the term of the Contract to determine the eligibility of:

- i. all persons employed by Performing Agency to perform duties within Texas; and
- ii. all persons, including subcontractors, assigned by the Performing Agency to perform Work pursuant to the Contract within the United States of America.

9.7 PERMITTING AND LICENSURE

At Performing Agency's sole expense, Performing Agency shall procure and maintain for the duration of this Contract any state, county, city, or federal license, authorization, insurance, waiver, permit, qualification or certification required by statute, ordinance, law, or regulation to be held by Performing Agency to provide the goods or services required by this Contract. Performing Agency shall be responsible for payment of all taxes, assessments, fees, premiums, permits, and licenses required by law. Performing Agency shall be responsible for payment of any such government obligations not paid by its subcontractors during performance of this Contract.

9.8 SUBCONTRACTORS

Performing Agency may not subcontract any or all of the Work and/or obligations under the Contract without prior written approval of the System Agency. Subcontracts, if any, entered into by the Performing Agency shall be in writing and be subject to the requirements of the Contract. Should Performing Agency subcontract any of the services required in the Contract, Performing Agency expressly understands and acknowledges that in entering into such subcontract(s), System Agency is in no manner liable to any subcontractor(s) of Performing Agency. In no event shall this provision relieve Performing Agency of the responsibility for ensuring that the services performed under all subcontracts are rendered in compliance with the Contract.

9.9 INDEPENDENT PERFORMING AGENCY

Performing Agency and Performing Agency's employees, representatives, agents, subcontractors, suppliers, and third-party service providers shall serve as independent contractors in providing the services under the Contract. Neither Performing Agency nor System Agency is an agent of the other and neither may make any commitments on the other party's behalf. Performing Agency shall have no claim against System Agency for vacation pay, sick leave, retirement benefits, social security, worker's compensation, health or disability benefits, unemployment insurance benefits, or employee benefits of any kind. The Contract shall not create any joint venture, partnership, agency, or employment relationship between Performing Agency and System Agency.

9.10 GOVERNING LAW AND VENUE

This Contract shall be governed by and construed in accordance with the laws of the State of Texas, without regard to the conflicts of law provisions. The venue of any suit arising under the Contract is fixed in any court of competent jurisdiction of Travis County, Texas, unless the specific venue is otherwise identified in a statute which directly names or otherwise identifies its applicability to the System Agency.

9.11 SEVERABILITY

If any provision of the Contract is held to be illegal, invalid or unenforceable by a court of law or equity, such construction will not affect the legality, validity or enforceability of any other provision or provisions of this Contract. It is the intent and agreement of the Parties this Contract shall be deemed amended by modifying such provision to the extent necessary to render it valid, legal and enforceable while preserving its intent or, if such modification is not possible, by substituting another provision that is valid, legal and enforceable and that achieves the same objective. All other provisions of this Contract will continue in full force and effect.

9.12 SURVIVABILITY

Expiration or termination of the Contract for any reason does not release Performing Agency from any liability or obligation set forth in the Contract that is expressly stated to survive any such expiration or termination, that by its nature would be intended to be applicable following any such expiration or termination, or that is necessary to fulfill the essential purpose of the Contract, including without limitation the provisions regarding warranty, indemnification, confidentiality, and rights and remedies upon termination.

9.13 FORCE MAJEURE

Neither Party shall be liable to the other for any delay in, or failure of performance of, any requirement included in the Contract caused by force majeure. The existence of such causes of delay or failure shall extend the period of performance until after the causes of delay or failure have been removed provided the non-performing party exercises all reasonable due diligence to perform. Force majeure is defined as acts of God, war, fires, explosions, hurricanes, floods, failure of transportation, or other causes that are beyond the reasonable control of either party and that by exercise of due foresight such party could not reasonably have been expected to avoid, and which, by the exercise of all reasonable due diligence, such party is unable to overcome.

9.14 DISPUTE RESOLUTION

A. The dispute resolution process provided for in Chapter 2260 of the Texas Government Code must be used to attempt to resolve any dispute arising under the Contract. If the Performing Agency's claim for breach of contract cannot be resolved informally with the System Agency, the claim shall be submitted to the negotiation process provided in Chapter 2260. To initiate the process, the Performing Agency shall submit written notice, as required by Chapter 2260, to the individual identified in the Contract for receipt of notices. Any informal resolution efforts shall in no way modify the requirements or toll the timing of the formal written notice of a claim for breach of contract required under §2260.051 of the Texas Government Code. Compliance by the Performing Agency with Chapter 2260 is a condition precedent to the filing of a contested case proceeding under Chapter 2260.

- B. The contested case process provided in Chapter 2260 is the Performing Agency's sole and exclusive process for seeking a remedy for an alleged breach of contract by the System Agency if the Parties are unable to resolve their disputes as described above.
- C. Notwithstanding any other provision of the Contract to the contrary, unless otherwise requested or approved in writing by the System Agency, the Performing Agency shall continue performance and shall not be excused from performance during the period of any breach of contract claim or while the dispute is pending. However, the Performing Agency may suspend performance during the pendency of such claim or dispute if the Performing Agency has complied with all provisions of Section 2251.051, Texas Government Code, and such suspension of performance is expressly applicable and authorized under that law.

9.15 NO IMPLIED WAIVER OF PROVISIONS

The failure of the System Agency to object to or to take affirmative action with respect to any conduct of the Performing Agency which is in violation or breach of the terms of the Contract shall not be construed as a waiver of the violation or breach, or of any future violation or breach.

9.16 MEDIA RELEASES

- A. Performing Agency shall not use System Agency's name, logo, or other likeness in any press release, marketing material, or other announcement without System Agency's prior written approval. System Agency does not endorse any vendor, commodity, or service. Performing Agency is not authorized to make or participate in any media releases or public announcements pertaining to this Contract or the services to which they relate without System Agency's prior written consent, and then only in accordance with explicit written instruction from System Agency.
- B. Performing Agency may publish, at its sole expense, results of Performing Agency performance under the Contract with the System Agency's prior review and approval, which the System Agency may exercise at its sole discretion. Any publication (written, visual, or sound) will acknowledge the support received from the System Agency and any Federal agency, as appropriate.

9.17 NO MARKETING ACTIVITIES

Performing Agency is prohibited from using the Work for any Performing Agency or third-party marketing, advertising, or promotional activities, without the prior written consent of System Agency. The foregoing prohibition includes, without limitation, the placement of banners, pop-up ads, or other advertisements promoting Performing Agency's or a third party's products, services, workshops, trainings, or other commercial offerings on any website portal or internet-based service or software application hosted or managed by Performing Agency as part of the Work.

9.18 PROHIBITION ON NON-COMPETE RESTRICTIONS

Performing Agency shall not require any employees or subcontractors to agree to any conditions, such as non-compete clauses or other contractual arrangements that would limit or restrict such persons or entities from employment or contracting with the State of Texas.

9.19 SOVEREIGN IMMUNITY

Nothing in the Contract shall be construed as a waiver of the System Agency's or the State's sovereign immunity. This Contract shall not constitute or be construed as a waiver of any of the privileges, rights, defenses, remedies, or immunities available to the System Agency or the State of Texas. The failure to enforce, or any delay in the enforcement of, any privileges, rights, defenses, remedies, or immunities available to the System Agency or the State of Texas under the Contract or under applicable law shall not constitute a waiver of such privileges, rights, defenses, remedies, or immunities or be considered as a basis for estoppel. System Agency does not waive any privileges, rights, defenses, or immunities available to System Agency by entering into the Contract or by its conduct prior to or subsequent to entering into the Contract.

9.20 ENTIRE CONTRACT AND MODIFICATION

This Contract constitutes the entire agreement of the Parties and is intended as a complete and exclusive statement of the promises, representations, negotiations, discussions, and other agreements that may have been made in connection with the subject matter hereof. Any additional or conflicting terms in any future document incorporated into the Contract will be harmonized with this Contract to the extent possible.

9.21 COUNTERPARTS

This Contract may be executed in any number of counterparts, each of which will be an original, and all such counterparts will together constitute but one and the same Contract.

9.22 CIVIL RIGHTS

- A. Performing Agency shall comply with all applicable state and federal anti-discrimination laws, including:
 - i. Title VI of the Civil Rights Act of 1964 (42 U.S.C. §2000d, *et seq.*);
 - ii. Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. §794);
 - iii. Americans with Disabilities Act of 1990 (42 U.S.C. §12101, *et seq.*);
 - iv. Age Discrimination Act of 1975 (42 U.S.C. §6101, *et seq.*);
 - v. Title IX of the Education Amendments of 1972 (20 U.S.C. §1681, *et seq.*);
 - vi. Food and Nutrition Act of 2008 (7 U.S.C. §2011, *et seq.*); and
 - vii. The System Agency's administrative rules, as set forth in the Texas Administrative Code, to the extent applicable to this Agreement.
- B. Performing Agency shall comply with all amendments to these laws, and all requirements imposed by the regulations issued pursuant to these laws. These laws provide in part that no persons in the United States may, on the grounds of race, color, national origin, sex, age, disability, political beliefs, or religion, be excluded from participation in or denied any service or other benefit provided by Federal or State funding, or otherwise be subjected to discrimination.
- C. Performing Agency shall comply with Title VI of the Civil Rights Act of 1964, and its implementing regulations at 45 C.F.R. Part 80 or 7 C.F.R. Part 15, prohibiting a Performing Agency from adopting and implementing policies and procedures that exclude or have the effect of excluding or limiting the participation of clients in its programs, benefits, or activities on the basis of national origin. Civil rights laws require Performing Agency to provide alternative methods for ensuring access to services for applicants and recipients who cannot express themselves fluently in English. Performing Agency shall take

reasonable steps to provide services and information, both orally and in writing and electronically, in appropriate languages other than English, to ensure that persons with limited English proficiency are effectively informed and can have meaningful access to programs, benefits, and activities.

- D. Performing Agency shall post applicable civil rights posters in areas open to the public informing clients of their civil rights and including contact information for the HHS Civil Rights Office. The posters are available on the HHS website at: <http://hhscx.hhsc.texas.gov/system-support-services/civil-rights/publications>
- E. Performing Agency shall comply with Section 504 of the Rehabilitation Act of 1973 and its implementing regulations at 28 CFR Subpart G § 42.503, and Americans with Disabilities Act of 1990 and its implementing regulations at 28 CFR Subpart B §35.130 which includes requiring Performing Agency to make reasonable modifications in policies, practices, or procedures when the modifications are necessary to avoid discrimination on the basis of disability, unless the Performing Agency can demonstrate that making the modifications would fundamentally alter the nature of the service, program, or activity.
- F. Performing Agency shall comply with federal regulations regarding equal treatment for faith-based organizations under 45 C.F.R. Part 87 or 7 C.F.R. Part 16, as applicable. Performing Agency shall not discriminate against clients or prospective clients on the basis of religion or religious belief, and shall provide written notice to beneficiaries of their rights.
- G. Upon request, Performing Agency shall provide the HHSC Civil Rights Office with copies of the Performing Agency's civil rights policies and procedures.
- H. Performing Agency must notify HHSC's Civil Rights Office of any civil rights complaints received relating to its performance under this Contract. This notice must be delivered no more than ten (10) calendar days after receipt of a complaint. This notice must be directed to:

HHSC Civil Rights Office
 701 W. 51st Street, Mail Code W206
 Austin, Texas 78751
 Phone Toll Free: (888) 388-6332
 Phone: (512) 438-4313
 Fax: (512) 438-5885.

9.23 ENTERPRISE INFORMATION MANAGEMENT STANDARDS

Performing Agency shall conform to HHS standards for data management as described by the policies of the HHS Chief Data and Analytics Officer. These include, but are not limited to, standards for documentation and communication of data models, metadata, and other data definition methods that are required by HHS for ongoing data governance, strategic portfolio analysis, interoperability planning, and valuation of HHS System data assets.

9.24 DISCLOSURE OF LITIGATION

- A. The Performing Agency must disclose in writing to the contract manager assigned to this Contract any material civil or criminal litigation or indictment either threatened or pending involving the Performing Agency. "Threatened litigation" as used herein shall include governmental investigations and civil investigative demands. "Litigation" as used herein shall include administrative enforcement actions brought by governmental agencies. The Performing Agency must also disclose any material litigation threatened or pending

involving subcontractors, consultants, and/or lobbyists. For purposes of this section, “material” refers, but is not limited, to any action or pending action that a reasonable person knowledgeable in the applicable industry would consider relevant to the Work under the Contract or any development such a person would want to be aware of in order to stay fully apprised of the total mix of information relevant to the Work, together with any litigation threatened or pending that may result in a substantial change in the Performing Agency’s financial condition.

- B. This is a continuing disclosure requirement; any litigation commencing after Contract Award must be disclosed in a written statement to the assigned contract manager within seven calendar days of its occurrence.

9.25 NO THIRD-PARTY BENEFICIARIES

The Contract is made solely and specifically among and for the benefit of the Parties named herein and their respective successors and assigns, and no other person shall have any right, interest, or claims hereunder or be entitled to any benefits pursuant to or on account of the Contract as a third-party beneficiary or otherwise.

9.26 BINDING EFFECT

The Contract shall inure to the benefit of, be binding upon, and be enforceable against, each Party and their respective permitted successors, assigns, transferees, and delegates.

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ATTACHMENT F
HEALTH AND HUMAN SERVICES
CONTRACT AFFIRMATIONS

The term “System Agency” used in these affirmations means HHS or any of the agencies of the State of Texas that are overseen by HHSC under authority granted under Texas law and the officers, employees, authorized representatives, and designees of those agencies. These agencies include: HHSC and the Department of State Health Services.

By entering into this Contract, Contractor affirms, without exception, understands, and agrees to comply with the following items through the life of the Contract:

1. Contractor represents and warrants that these Contract Affirmations apply to Contractor and all of Contractor's principals, officers, directors, shareholders, partners, owners, agents, employees, subcontractors, independent contractors, and any other representatives who may provide services under, who have a financial interest in, or otherwise are interested in this Contract and any related Solicitation.

2. Complete and Accurate Information

Contractor represents and warrants that all statements and information provided to System Agency are current, complete, and accurate. This includes all statements and information in this Contract and any related Solicitation Response.

3. Public Information Act

Contractor understands that System Agency will comply with the Texas Public Information Act (Chapter 552 of the Texas Government Code) as interpreted by judicial rulings and opinions of the Attorney General of the State of Texas. Information, documentation, and other material prepared and submitted in connection with this Contract or any related Solicitation may be subject to public disclosure pursuant to the Texas Public Information Act. In accordance with Section 2252.907 of the Texas Government Code, Contractor is required to make any information created or exchanged with the State pursuant to the Contract, and not otherwise excepted from disclosure under the Texas Public Information Act, available in a format that is accessible by the public at no additional charge to the State.

4. Contracting Information Requirements

Contractor represents and warrants that it will comply with the requirements of Section 552.372(a) of the Texas Government Code. Except as provided by Section 552.374(c) of the Texas Government Code, the requirements of Subchapter J (Additional Provisions Related to Contracting Information), Chapter 552 of the Government Code, may apply to the Contract and the Contractor agrees that the Contract can be terminated if the Contractor knowingly or intentionally fails to comply with a requirement of that subchapter.

5. Assignment

- A. Contractor shall not assign its rights under the contract or delegate the performance of its duties under the contract without prior written approval from HHSC. Any attempted assignment in violation of this provision is void and without effect.
- B. Contractor understands and agrees the System Agency may in one or more transactions assign, pledge, or transfer the Contract. This assignment will only be made to another State agency or a non-state agency that is contracted to perform agency support. Upon receipt of System Agency's notice of assignment, pledge, or transfer, Contractor shall cooperate with System Agency in giving effect to such assignment, pledge, or transfer, at no cost to System Agency or to the recipient entity

6. Terms and Conditions Attached to Response

Contractor accepts the Solicitation terms and conditions unless specifically noted by exceptions advanced in the form and manner directed in the Solicitation, if any, under which this Contract was awarded. Contractor agrees that all exceptions to the Solicitation, as well as terms and conditions advanced by Contractor that differ in any manner from System Agency's terms and conditions, if any, are rejected unless expressly accepted by System Agency in writing.

7. System Agency Right to Use

Contractor agrees that System Agency has the right to use, produce, and distribute copies of and to disclose to System Agency employees, agents, and contractors and other governmental entities all or part of this Contract or any related Solicitation Response as System Agency deems necessary to complete the procurement process or comply with state or federal laws.

8. Release from Liability

Contractor generally releases from liability and waives all claims against any party providing information about the Contractor at the request of System Agency.

9. Dealings with Public Servants

Contractor has not given, has not offered to give, and does not intend to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with this Contract or any related Solicitation, or related Solicitation Response.

10. Financial Participation Prohibited

Under Section 2155.004, Texas Government Code (relating to financial participation in preparing solicitations), Contractor certifies that the individual or business entity named in this Contract and any related Solicitation Response is not ineligible to receive this Contract and acknowledges that this Contract may be terminated and payment withheld if this certification is inaccurate.

11. Prior Disaster Relief Contract Violation

Under Sections 2155.006 and 2261.053 of the Texas Government Code (relating to convictions and penalties regarding Hurricane Rita, Hurricane Katrina, and other disasters), the Contractor certifies that the individual or business entity named in this Contract and any related Solicitation Response is not ineligible to receive this Contract and acknowledges that this Contract may be terminated and payment withheld if this certification is inaccurate.

12. Child Support Obligation

Under Section 231.006(d) of the Texas Family Code regarding child support, Contractor certifies that the individual or business entity named in this Contract and any related Solicitation Response is not ineligible to receive the specified payment and acknowledges that the Contract may be terminated and payment may be withheld if this certification is inaccurate.

13. Suspension and Debarment

Contractor certifies that it and its principals are not suspended or debarred from doing business with the state or federal government as listed on the *State of Texas Debarred Vendor List* maintained by the Texas Comptroller of Public Accounts and the *System for Award Management (SAM)* maintained by the General Services Administration. This certification is made pursuant to the regulations implementing Executive Order 12549 and Executive Order 12689, Debarment and Suspension, 2 C.F.R. Part 376, and any relevant regulations promulgated by the Department or Agency funding this project. This provision shall be included in its entirety in Contractor's subcontracts, if any, if payment in whole or in part is from federal funds.

14. Excluded Parties

Contractor certifies that it is not listed in the prohibited vendors list authorized by Executive Order 13224, "*Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism*," published by the United States Department of the Treasury, Office of Foreign Assets Control.'

15. Foreign Terrorists Organizations

Contractor represents and warrants that it is not engaged in business with Iran, Sudan, or a foreign terrorist organization, as prohibited by Section 2252.152 of the Texas Government Code.

16. Executive Head of a State Agency

In accordance with Section 669.003 of the Texas Government Code, relating to contracting with the executive head of a state agency, Contractor certifies that it is not (1) the executive head of an HHS agency, (2) a person who at any time during the four years before the date of this Contract was the executive head of an HHS agency, or (3) a person who employs a current or former executive head of an HHS agency.

17. Human Trafficking Prohibition

Under Section 2155.0061 of the Texas Government Code, Contractor certifies that the individual or business entity named in this Contract is not ineligible to receive this contract and acknowledges that this Contract may be terminated and payment withheld if this certification is inaccurate.

18. Franchise Tax Status

Contractor represents and warrants that it is not currently delinquent in the payment of any franchise taxes owed the State of Texas under Chapter 171 of the Texas Tax Code.

19. Debts and Delinquencies

Contractor agrees that any payments due under this Contract shall be applied towards any debt or delinquency that is owed to the State of Texas.

20. Lobbying Prohibition

Contractor represents and warrants that payments to Contractor and Contractor's receipt of appropriated or other funds under this Contract or any related Solicitation are not prohibited by Sections 556.005, 556.0055, or 556.008 of the Texas Government Code (relating to use of appropriated money or state funds to employ or pay lobbyists, lobbying expenses, or influence legislation).

21. Buy Texas

Contractor agrees to comply with Section 2155.4441 of the Texas Government Code, requiring the purchase of products and materials produced in the State of Texas in performing service contracts.

22. Disaster Recovery Plan

Contractor agrees that upon request of System Agency, Contractor shall provide copies of its most recent business continuity and disaster recovery plans.

23. Technology Access

- A. Contractor expressly acknowledges that state funds may not be expended in connection with the purchase of an automated information system unless that system meets certain statutory requirements relating to accessibility by persons with visual impairments. Accordingly, Contractor represents and warrants to System Agency that the technology provided to System Agency for purchase (if applicable under this Contract or any related Solicitation) is capable, either by virtue of features included within the technology or because it is readily adaptable by use with other technology, of:
- i. providing equivalent access for effective use by both visual and non-visual means;
 - ii. presenting information, including prompts used for interactive communications, in formats intended for non-visual use; and
 - iii. being integrated into networks for obtaining, retrieving, and disseminating information used by individuals who are not blind or visually impaired.
- B. For purposes of this Section, the phrase "equivalent access" means a substantially similar ability to communicate with or make use of the technology, either directly by features incorporated within the technology or by other reasonable means such as

assistive devices or services which would constitute reasonable accommodations under the Americans With Disabilities Act or similar state or federal laws. Examples of methods by which equivalent access may be provided include, but are not limited to, keyboard alternatives to mouse commands and other means of navigating graphical displays, and customizable display appearance.

- C. In accordance with Section 2157.005 of the Texas Government Code, the Technology Access Clause contract provision remains in effect for any contract entered into before September 1, 2006.

24. Computer Equipment Recycling Program

If this Contract is for the purchase or lease of computer equipment, then Contractor certifies that it is in compliance with Subchapter Y, Chapter 361 of the Texas Health and Safety Code related to the Computer Equipment Recycling Program and the Texas Commission on Environmental Quality rules in 30 TAC Chapter 328.

25. Television Equipment Recycling

If this Contract is for the purchase or lease of covered television equipment, then Contractor certifies that it is compliance with Subchapter Z, Chapter 361 of the Texas Health and Safety Code related to the Television Equipment Recycling Program.

26. Cybersecurity Training

- A. Contractor represents and warrants that it will comply with the requirements of Section 2054.5192 of the Texas Government Code relating to cybersecurity training and required verification of completion of the training program.
- B. Contractor represents and warrants that if Contractor or Subcontractors, officers, or employees of Contractor have access to any state computer system or database, the Contractor, Subcontractors, officers, and employees of Contractor shall complete cybersecurity training pursuant to and in accordance with Government Code, Section 2054.5192.

27. Restricted Employment for Certain State Personnel

Contractor acknowledges that, pursuant to Section 572.069 of the Texas Government Code, a former state officer or employee of a state agency who during the period of state service or employment participated on behalf of a state agency in a procurement or contract negotiation involving Contractor may not accept employment from Contractor before the second anniversary of the date the Contract is signed or the procurement is terminated or withdrawn.

28. Disclosure of Prior State Employment

If this Contract is for consulting services under Chapter 2254 of the Texas Government Code, in accordance with Section 2254.033 of the Texas Government Code, Contractor certifies that it does not employ an individual who was employed by System Agency or another agency at any time during the two years preceding the submission of any related

Solicitation Response related to this Contract or, in the alternative, Contractor has disclosed in any related Solicitation Response the following:

- i. the nature of the previous employment with System Agency or the other agency;
- ii. the date the employment was terminated; and
- iii. the annual rate of compensation at the time of the employment was terminated.

29. No Conflicts of Interest

- A. Contractor represents and warrants that it has no actual or potential conflicts of interest in providing the requested goods or services to System Agency under this Contract or any related Solicitation and that Contractor's provision of the requested goods and/or services under this Contract and any related Solicitation will not constitute an actual or potential conflict of interest or reasonably create an appearance of impropriety.
- B. Contractor agrees that, if after execution of the Contract, Contractor discovers or is made aware of a Conflict of Interest, Contractor will immediately and fully disclose such interest in writing to HHSC. In addition, Contractor will promptly and fully disclose any relationship that might be perceived or represented as a conflict after its discovery by Contractor or by HHSC as a potential conflict. HHSC reserves the right to make a final determination regarding the existence of Conflicts of Interest, and Contractor agrees to abide by HHSC's decision.

30. Fraud, Waste, and Abuse

Contractor understands that System Agency does not tolerate any type of fraud. The agency's policy is to promote consistent, legal, and ethical organizational behavior by assigning responsibilities and providing guidelines to enforce controls. Violations of law, agency policies, or standards of ethical conduct will be investigated, and appropriate actions will be taken. All employees or contractors who suspect fraud, waste or abuse (including employee misconduct that would constitute fraud, waste, or abuse) are required to immediately report the questionable activity to both the Health and Human Services Commission's Office of the Inspector General at 1-800-436-6184 and the State Auditor's Office. Contractor agrees to comply with all applicable laws, rules, regulations, and System Agency policies regarding fraud including, but not limited to, HHS Circular C-027.

31. Antitrust

The undersigned affirms under penalty of perjury of the laws of the State of Texas that:

- A. in connection with this Contract and any related Solicitation Response, neither I nor any representative of the Contractor has violated any provision of the Texas Free Enterprise and Antitrust Act, Tex. Bus. & Comm. Code Chapter 15;
- B. in connection with this Contract and any related Solicitation Response, neither I nor any representative of the Contractor has violated any federal antitrust law; and
- C. neither I nor any representative of the Contractor has directly or indirectly communicated any of the contents of this Contract and any related Solicitation Response to a competitor of the Contractor or any other company, corporation, firm, partnership or individual engaged in the same line of business as the Contractor.

32. Legal and Regulatory Actions

Contractor represents and warrants that it is not aware of and has received no notice of any court or governmental agency proceeding, investigation, or other action pending or threatened against Contractor or any of the individuals or entities included in numbered paragraph 1 of these Contract Affirmations within the five (5) calendar years immediately preceding execution of this Contract or the submission of any related Solicitation Response that would or could impair Contractor's performance under this Contract, relate to the contracted or similar goods or services, or otherwise be relevant to System Agency's consideration of entering into this Contract. If Contractor is unable to make the preceding representation and warranty, then Contractor instead represents and warrants that it has provided to System Agency a complete, detailed disclosure of any such court or governmental agency proceeding, investigation, or other action that would or could impair Contractor's performance under this Contract, relate to the contracted or similar goods or services, or otherwise be relevant to System Agency's consideration of entering into this Contract. In addition, Contractor acknowledges this is a continuing disclosure requirement. Contractor represents and warrants that Contractor shall notify System Agency in writing within five (5) business days of any changes to the representations or warranties in this clause and understands that failure to so timely update System Agency shall constitute breach of contract and may result in immediate contract termination.

33. No Felony Criminal Convictions

Contractor represents that neither Contractor nor any of its employees, agents, or representatives, including any subcontractors and employees, agents, or representative of such subcontractors, have been convicted of a felony criminal offense or that if such a conviction has occurred Contractor has fully advised System Agency in writing of the facts and circumstances surrounding the convictions.

34. Unfair Business Practices

Contractor represents and warrants that it has not been the subject of allegations of Deceptive Trade Practices violations under Chapter 17 of the Texas Business and Commerce Code, or allegations of any unfair business practice in any administrative hearing or court suit and that Contractor has not been found to be liable for such practices in such proceedings. Contractor certifies that it has no officers who have served as officers of other entities who have been the subject of allegations of Deceptive Trade Practices violations or allegations of any unfair business practices in an administrative hearing or court suit and that such officers have not been found to be liable for such practices in such proceedings.

35. Entities that Boycott Israel

Pursuant to Section 2271.002 of the Texas Government Code, Contractor certifies that either:

- i. it meets an exemption criteria under Section 2271.002; or
- ii. it does not boycott Israel and will not boycott Israel during the term of the contract resulting from this Solicitation. If Contractor refuses to make that certification,

Contractor shall state here any facts that make it exempt from the boycott certification:

36. E-Verify Program

Contractor certifies that for contracts for services, Contractor shall utilize the U.S. Department of Homeland Security’s E-Verify system during the term of this Contract to determine the eligibility of:

- i. all persons employed by Contractor to perform duties within Texas; and
- ii. all persons, including subcontractors, assigned by Contractor to perform work pursuant to this Contract within the United States of America.

37. Professional or Consulting Contract

If this Contract is an employment contract, a professional services contract under Chapter 2254 of the Texas Government Code, or a consulting services contract under Chapter 2254 of the Texas Government Code, Contractor represents and warrants that neither Contractor nor any of Contractor’s employees including, but not limited to, those authorized to provide services under the contract, were former employees of an HHS Agency during the twelve (12) month period immediately prior to the date of the execution of the contract.

38. Former Agency Employees

Contractor represents and warrants, during the twelve (12) month period immediately prior to the date of the execution of this Contract, none of its employees including, but not limited to those who will provide services under the Contract, was an employee of an HHS Agency. Pursuant to Section 2252.901, Texas Government Code (relating to prohibitions regarding contracts with and involving former and retired state agency employees), Contractor will not allow any former employee of the System Agency to perform services under this Contract during the twelve (12) month period immediately following the employee’s last date of employment at the System Agency.

39. Disclosure of Prior State Employment

If this Contract is for consulting services,

- A. In accordance with Section 2254.033 of the Texas Government Code, a Contractor providing consulting services who has been employed by, or employs an individual who has been employed by, HHSC or another State of Texas agency at any time during the two years preceding the submission of Contractor’s offer to provide services must disclose the following information in its offer to provide services. Contractor hereby certifies that this information was provided and remains true, correct, and complete:
 - i. Name of individual(s) (Respondent or employee(s));
 - ii. Status;
 - iii. The nature of the previous employment with HHSC or the other State of Texas agency;

- iv. The date the employment was terminated and the reason for the termination; and
 - v. The annual rate of compensation for the employment at the time of its termination.
- B. If no information was provided in response to Section A above, Contractor certifies that neither Contractor nor any individual employed by Contractor was employed by HHSC or any other State of Texas agency at any time during the two years preceding the submission of Contractor's offer to provide services.

40. Abortion Funding Limitation

Contractor understands, acknowledges, and agrees that, pursuant to Article IX, Section 6.25 of the General Appropriations Act (the Act), to the extent allowed by federal and state law, money appropriated by the Texas Legislature may not be distributed to any individual or entity that, during the period for which funds are appropriated under the Act:

- i. performs an abortion procedure that is not reimbursable under the state's Medicaid program;
- ii. is commonly owned, managed, or controlled by an entity that performs an abortion procedure that is not reimbursable under the state's Medicaid program; or
- iii. is a franchise or affiliate of an entity that performs an abortion procedure that is not reimbursable under the state's Medicaid program. The provision does not apply to a hospital licensed under Chapter 241, Health and Safety Code, or an office exempt under Section 245.004(2), Health and Safety Code. Contractor represents and warrants that it is not ineligible, nor will it be ineligible during the term of this Contract, to receive appropriated funding pursuant to Article IX, Section 6.25.

41. Funding Eligibility

Contractor understands, acknowledges, and agrees that, pursuant to Chapter 2272 of the Texas Government Code, except as exempted under that Chapter, HHSC cannot contract with an abortion provider or an affiliate of an abortion provider. Contractor certifies that it is not ineligible to contract with HHSC under the terms of Chapter 2272 of the Texas Government Code. If Contractor refuses to make that certification, Contractor shall state here any facts that make it exempt from the certification:

42. False Representation

Contractor understands, acknowledges, and agrees that any false representation or any failure to comply with a representation, warranty, or certification made by Contractor is subject to all civil and criminal consequences provided at law or in equity including, but not limited to, immediate termination of this Contract.

43. False Statements

Contractor represents and warrants that all statements and information prepared and submitted by Contractor in this Contract and any related Solicitation Response are current, complete, true, and accurate. Contractor acknowledges any false statement or material

misrepresentation made by Contractor during the performance of this Contract or any related Solicitation is a material breach of contract and may void this Contract. Further, Contractor understands, acknowledges, and agrees that any false representation or any failure to comply with a representation, warranty, or certification made by Contractor is subject to all civil and criminal consequences provided at law or in equity including, but not limited to, immediate termination of this Contract.

44. Permits and License

Contractor represents and warrants that it will comply with all applicable laws and maintain all permits and licenses required by applicable city, county, state, and federal rules, regulations, statutes, codes, and other laws that pertain to this Contract.

45. Drug-Free Workplace

Contractor represents and warrants that it shall comply with the applicable provisions of the Drug-Free Work Place Act of 1988 (41 U.S.C. §701 et seq.) and maintain a drug-free work environment.

46. Equal Employment Opportunity

Contractor represents and warrants its compliance with all applicable duly enacted state and federal laws governing equal employment opportunities.

47. Federal Occupational Safety and Health Law

Contractor represents and warrants that all articles and services shall meet or exceed the safety standards established and promulgated under the Federal Occupational Safety and Health Act of 1970, as amended (29 U.S.C. Chapter 15).

48. Signature Authority

Contractor represents and warrants that the individual signing this Contract Affirmations document is authorized to sign on behalf of Contractor and to bind the Contractor.

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Authorized representative on behalf of Contractor must complete and sign the following:

Coastal Bend Council of Governments

Legal Name of Contractor

Assumed Business Name of Contractor, if applicable (D.B.A. or 'doing business as')

Texas County(s) for Assumed Business Name (D.B.A. or 'doing business as')
Attach Assumed Name Certificate(s) for each County

DocuSigned by:

E84CE1519850440...
Signature of Authorized Representative
John P. Buckner

September 9, 2020
Date Signed
Executive Director

Printed Name of Authorized Representative
First, Middle Name or Initial, and Last Name

2910 Leopard Street
Corpus Christi, TX 78408

Title of Authorized Representative

P.O. Box 9909
Corpus Christi, TX 78469

Physical Street Address

City, State, Zip Code

Mailing Address, if different
P.O. Box 9909
Corpus Christi, TX 78469

City, State, Zip Code

Phone Number

john@cbcog98.org

Fax Number
361-883-5749

Email Address
john@cbcog98.org

DUNS Number
832334234

Federal Employer Identification Number
741586230

Texas Payee ID No. – 11 digits
17415862303

Texas Franchise Tax Number

Texas Secretary of State Filing Number