

**SIGNATURE DOCUMENT FOR
DEPARTMENT OF STATE HEALTH SERVICES
CONTRACT NO. HHS000973900061**

The Department of State Health Services (“System Agency” or “DSHS”), an administrative agency within the executive branch of the state of Texas, and **PATIENT CARE SERVICES PHARMACY CONSULTING, LLC Contractor**”), having its principal office at 2000 N. Central Expy. Ste. 214, Plano, Texas 75074-5487 (each a “Party” and collectively the “Parties”) enter into the following agreement (“Contract”) to provide Coronavirus Disease 2019 (COVID-19) vaccination services through a Vaccine Administration Team(s) (VAT(s)) to residents living in the State of Texas.

I. LEGAL AUTHORITY

This Contract is entered into pursuant to Texas Government Code Sections 531.039 and 2155.144, Texas Health and Safety Code Section 12.051, and Title 1, Texas Administrative Code, §391.205.

II. DURATION

The Contract is effective on the signature date of the latter of the Parties to sign this agreement and terminates on **August 31, 2023**, unless sooner terminated pursuant to the terms and conditions of the Contract.

System Agency, at its sole option and subject to availability of funding, and to the extent permitted by Texas law, may extend the Contract beyond the initial term for up to one (1) year as necessary to ensure continuity of service, to process a new Open Enrollment to award new contract(s), for purposes of transition, or as otherwise determined to serve the best interest of the State of Texas.

III. STATEMENT OF WORK

The Statement of Work to which Contractor is bound is incorporated into and made a part of this Contract for all purposes and included as **Attachment A**.

The Open Enrollment, including all addenda, is incorporated into and made a part of this Contract for all purposes and included as **Attachment J**.

IV. BUDGET

By executing this Contract, Contractor agrees to the contracted rates and budget for the Contract term, including the initial term, and all renewals and extensions exercised, if applicable. However, at System Agency’s sole discretion or by mutual agreement of the Parties as authorized under the Contract, the budget or contract amounts may be amended.

All expenditures under the Contract will be in accordance with **ATTACHMENT E, COVID 19 VACCINATION EVENTS IN TEXAS COMMUNITIES FACILITIES -UNIT COST.**

V. NOTICE TO PROCEED

System Agency will issue a Notice to Proceed (NTP) letter following assignment of COVID-19 Vaccine Administration Teams (VAT) Event(s). The NTP letter will include the not to exceed amount for the Event.

VI. CONTRACT REPRESENTATIVES

The following will act as the representative authorized to administer activities under this Contract on behalf of their respective Party.

System Agency Contract Representative

Department of State Health Services
1100 W. 49th Street
Austin, TX 78756
Attention: Jennifer Boggs
Jennifer.Boggs@dshs.texas.gov

Contractor Contract Representative

Patient Care Services Pharmacy
Consulting, LLC
2000 N. Central Expy. Ste. 214
Plano, Texas 75074-5487
Attention: Frank Sama
Patientcareservices@pcsrconsulting.com

VII. NOTICE REQUIREMENTS

- A. All notices given by Contractor shall be in writing, include the Contract number, comply with all terms and conditions of the Contract, and be delivered to the System Agency's Contract Representative identified above.
- B. Contractor shall send legal notices to System Agency at the address below and provide a copy to the System Agency's Contract Representative:

Department of State Health Services
Attn: Office of General Counsel
4900 N. Lamar Blvd.
Austin, Texas 78751

- C. Notices given by System Agency to Contractor may be emailed, mailed, or sent by common carrier. Email notices shall be deemed delivered when sent by System Agency. Notices sent by mail shall be deemed delivered when deposited by the System Agency in the United States mail, postage paid, certified, return receipt requested. Notices sent by common carrier shall be deemed delivered when deposited by the System Agency with a common carrier, overnight, signature required.
- D. Notices given by Contractor to System Agency shall be deemed delivered when received by System Agency.
- E. Either Party may change its Contract Representative or Legal Notice contact by providing written notice to the other Party.

HHSC Contract # HHS000973900061

Page 2 of 4

VIII. CONTRACT DOCUMENTS

The following documents are incorporated by reference and made a part of this Contract for all purposes.

Unless expressly stated otherwise in this Contract, in the event of conflict, ambiguity, or inconsistency between or among any documents, all System Agency documents take precedence over Contractor's documents and the Data Use Agreement takes precedence over all other Contract documents.

- ATTACHMENT A – STATEMENT OF WORK**
- ATTACHMENT B – CONTRACT AFFIRMATIONS**
- ATTACHMENT C – UNIFORM TERMS AND CONDITIONS**
- ATTACHMENT D – DATA USE AGREEMENT**
- ATTACHMENT E – COVID-19 VACCINATION EVENTS IN TEXAS COMMUNITIES FACILITIES-UNIT COST**
- ATTACHMENT F – DSHS SUPPLEMENTAL & SPECIAL CONDITIONS -VENDOR**
- ATTACHMENT G – FEDERAL ASSURANCES - NON-CONSTRUCTION**
- ATTACHMENT H – CERTIFICATION REGARDING LOBBYING**
- ATTACHMENT I – FFATA CERTIFICATION FORM**
- ATTACHMENT J – DSHS OPEN ENROLLMENT # HHS0009739**
- ATTACHMENT K – CONTRACTOR RESPONSES TO DSHS OPEN ENROLLMENT # HHS0009739**
- ATTACHMENT L – INSURANCE CERTIFICATES**
- ATTACHMENT M – LIST OF TEXAS COUNTIES FOR CONTRACTOR SERVICES**
- ATTACHMENT N – RECOMMENDATIONS FOR PORTABLE VACCINE REFRIGERATORS AND VACCINE FREEZERS**
- ATTACHMENT O – USING STANDING ORDERS FOR ADMINISTERING VACCINES- WHAT YOU SHOULD KNOW**
- ATTACHMENT P - TEXAS HHS DATA USAGE AGREEMENT - ATTACHMENT 2 SECURITY AND PRIVACY INQUIRY (SPI)**

IX. SIGNATURE AUTHORITY

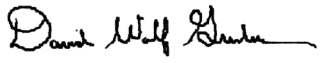
Each Party represents and warrants that the person executing this Contract on its behalf has full power and authority to enter into this Contract. Any Services or Work performed by Contractor before this Contract is effective or after it ceases to be effective are performed at the sole risk of Contractor.

SIGNATURE PAGE FOLLOWS

SIGNATURE PAGE FOR SYSTEM AGENCY CONTRACT NO. HHS000973900061

DEPARTMENT OF STATE HEALTH SERVICES

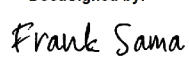
**PATIENT CARE SERVICES PHARMACY
CONSULTING, LLC**

DocuSigned by:

B113A6B1CFEC4CE...

David Gruber

Associate Commissioner for RLHS

Date of execution: March 4, 2021

DocuSigned by:

65B0DB6DFA71450...

Name: Frank Sama

Title: CO-OWNER

Date of execution: March 3, 2021

Attachment A Statement of Work

I. PROJECT OVERVIEW

To achieve the highest level of COVID-19 vaccination coverage among the 29.68 million residents living in the State of Texas, DSHS will be utilizing the services of the Contractor's vaccine administration team(s) (VAT(s)) to administer the COVID-19 vaccines in community or facility settings in one or more counties across the state.

DSHS Public Health Regions will be working in conjunction with local public health entities and other community partners to secure an approved DSHS COVID-19 vaccination clinic organized and facilitated within a DSHS Public Health Region (Event) locations to host COVID-19 vaccination events. DSHS Public Health Regions will also advertise COVID-19 vaccination events and provide a mechanism for individuals to pre-register for these Events.

VATs deployed under this Contract to host a community or facility COVID-19 vaccination event must be comprised of unique individuals serving in the following roles:

- One on-site project manager per COVID-19 vaccination event;
- One supervising physician (licensed in the State of Texas) per COVID-19 vaccination event;
- One vaccinator per 25 clients;
- One vaccinator clinical assistant per 25 clients;
- One administrative team member responsible for greeting/patient flow per 25 clients;
- One administrative team member responsible for documentation per 25 clients;
- One crowd control team member per 100 clients; and
- One security guard per 100 clients.

The supervising physician may also serve in the capacity of a vaccinator, but all other roles must be occupied by separate individuals for the Event. In addition to appropriately assembling the individuals required for the VAT, the Contractor must be equipped to deploy the minimum required supplies and conduct the required activities outlined in section III (below) by the COVID-19 vaccination Event date.

Once pre-registration closes for a specified COVID-19 vaccination event, Contractor will be eligible to provide vaccine administration services for COVID-19 vaccination events that occur within the county(ies) for which it is enrolled to provide services. Once activated, Contractor must be prepared to provide the required activities outlined below by the Event date.

II. DSHS RESPONSIBILITIES

DSHS will be responsible for the following under the contract:

Attachment A Statement of Work

- Identifying the site;
- Establishing agreements with identified site locations;
- Advertising the Event;
- Coordination with Contractor through activation letter regarding established vaccine site;
- Submitting claims to insurance companies for vaccine administration fees;
- Providing the Contractor training and technical support; and
- Checking the vaccines in/out to the Contractor.

III. SERVICES TO BE PROVIDED BY CONTRACTOR

1. Required Activities Prior to the COVID-19 Vaccination Event

A. Develop Event Site Plan.

2. The Contractor must complete the following required event site planning activities prior to the COVID-19 Vaccination Event:

A. Event Site Plan Development and Prior Approval

1. Coordinate with Event facility manager to develop an Event site plan which includes conducting a site survey to determine proper station layout, client flow, and address any potential security issues.
2. Submit the final Event plan to the designated DSHS Public Health Region for the Event location. This Event plan must include:
 - a) Flow diagram for the layout of the specific facility;
 - b) Site security plan;
 - c) Site parking plan (or road flow for drive-through events);
 - d) Staffing plan/roster of Event core staff, including full name, license numbers (where applicable), email addresses, and phone numbers; and
 - e) Plans for the disposal of biohazard materials and used sharps container;
 - f) Methods to encourage physical distancing and provide one-way flow through the clinic or as part of a Vaccination Event.
3. Event plans must be approved by the designated DSHS Public Health Region prior to the Event date.

B. Secure Event Staff

The Contractor must assemble the following team for the COVID-19 Vaccination Event:

- One on-site project manager per COVID-19 vaccination Event;
- One supervising physician (licensed in the State of Texas) per COVID-19 vaccination event;

Attachment A Statement of Work

- One vaccinator per 25 clients;
- One vaccinator clinical assistant per 25 clients;
- One administrative team member responsible for greeting/patient flow per 25 clients;
- One administrative team member responsible for documentation per 25 clients;
- One crowd control team member per 100 clients; and
- One security guard per 100 clients.

The supervising physician may also serve in the capacity of a vaccinator, but all other roles must be occupied by separate individuals for the Event. All other roles must be occupied by separate individuals uniquely serving in a single role on the event date.

In some communities, it may be necessary to ensure that at least one team member speaks a language other than English. Contractor must be prepared to address language barriers through the inclusion of team members who can speak the identified foreign language (as indicated by the designated DSHS Public Health Region) or by using the HHS Language Line (as coordinated by the DSHS Public Health Region).

C. Secure Supplies and Materials

Contractor must secure sufficient supplies to meet the needs of staff and the highest anticipated number of patients (pre-registered clients + 25%). These supplies include the resources outlined below by category.

1. Vaccine Administration Supplies:

- Alcohol Prep Pads, Sterile
- Band-Aids, Adhesive
- Cotton Balls, Sterile
- Needles (as recommended by the designated DSHS Public Health Region; some supplied vaccines may come with appropriate needles)
- Syringes (as recommended by the designated DSHS Public Health Region; some supplied vaccines may come with appropriate syringes)
- Vaccination Record Cards for Clients (as recommended by the designated DSHS Public Health Region; some supplied vaccines may come with appropriate vaccine record cards).

2. Clinical Supplies:

- Biohazard Bucket and Bags
- Cleaning Sprays/Wipes, Sanitizing Products (Using EPA's Registered Antimicrobial Products for Use Against Novel Coronavirus SARS-Cov-2)
- Face Coverings for Clients Who Arrive Without One
- Gauze Pads
- Hand Sanitizer
- Hand Sanitizer Dispensers
- Medical Gloves

Attachment A Statement of Work

- Paper Towels
 - Partition Screens
 - Privacy Screens
 - Sharps Containers
 - Table and Chairs for Client and Vaccinator at Each Vaccinator Station
 - Table Covers, Disposable (i.e. Blue Chux, allowing for changes between clients)
 - Tall Trash Cans and Heavy-Duty Trash Bags
 - 1 Thermometer (Touchless, Infrared) and Thermometer Battery per 25 pre-registered clients
 - Tissues.
3. Medical Emergency Supplies:
- Antihistamines (diphenhydramine [Benadryl], hydroxyzine [Atarax, Vistaril], and syringes if needed)
 - Automated External Defibrillator (AED)
 - Cell Phone or Land Line to Call 911
 - Emergency First Aid Kit
 - Epinephrine in Prefilled Autoinjector Or Prefilled Syringe (various doses), prepackaged syringes, vials, or ampules (Epi-pens).
4. Personal Protective Equipment for ALL Event Staff:
- Face Coverings/Masks
 - Gloves (Multiple Sizes)
 - Face Shields
 - Disposable Gowns.
5. Vaccine Storage and Handling Materials, at a minimum:
- One Digital Data Logger for Each Vaccine Storage Unit/Container
 - One High-Capacity Portable Vaccine Cooler for Frozen Vaccines
 - One High-Capacity Portable Vaccine Cooler for Refrigerated Vaccines
 - Smaller Vaccine Storage Units for Easy Vaccinator Access at Each Vaccination Station.
6. Event Materials:
- Badges for Event Staff IDs
 - Canopies (For Outdoor Events)
 - Chairs for Client Post-Vaccination Waiting Area (For Indoor Events)
 - Chairs for Client Waiting Area (For Indoor Events)
 - Chairs for Event Staff
 - Clip Boards
 - Cones (To encourage physical distancing and provide one-way flow through the clinic)
 - File Boxes

Attachment A Statement of Work

- Hard Plastic Barriers on Greeter Tables
- Internet Connected Laptop or Tablet
- MiFi devices for Internet Connections
- Posts, brackets, or easels for outdoor signs
- Printer
- Printer Ink
- Printer Paper
- Reflective Vests for Event Staff
- Rope Stands for Crowd Control
- Ropes for Crowd Control
- Scissors
- Stapler and Staples
- Table Drapes
- Table(s) for Documentation Area(s)
- Table(s) for Event Greeter(s)
- Table(s) for Vaccine Prep Area(s)
- Tape, Floor Tape to Mark Physically Distanced Positions in Line
- Tape, Masking Tape for Event Signage
- Walkie Talkies for Event Staff Communication.

7. Printed Materials:

- COVID-19 Screening Checklist
- DSHS-supplied Event Materials (Banners, Welcome Signs, Posters, etc.)
- Event Signage
- HIPAA Privacy Statement
- ImmTrac2 Consent Forms
- Emergency Use Authorization (EUA)/Vaccine Information Statement (VIS)
- EUA/VIS Addendum.

8. Plan Event Communication Protocol

- Determine how communication at the event will be accomplished (verbal and/or non-verbal means); and
- Establish communication plan for directing clients through the event.

D. Ensure All Event Staff Complete Required Training

Infection Control Practices Training required for event staff includes the following:

- How to Use Personal Protective Equipment: How to Properly Don (Put On) And Off (Take Off) PPE, And How to Properly Dispose Of PPE;
- Cardiopulmonary Resuscitation (CPR) and Basic Life Support (BLS) for all Vaccinators;
- How to Use the Laptops/Tablets, Digital Thermometers, and Any Other Devices That Will Be Used During the Event;

Attachment A Statement of Work

- The Supervising Physician's Plan for Medical Management of an Adverse Event; Clinical Staff Should Understand Their Roles in Implementing the Plan;
- How to Use the Vaccine Storage Units Expected to Be Used During the Event;
- Vaccine storage, handling, preparation, and administration for ALL the vaccine(s) being offered, using manufacturer instructions for the vaccine and CDC and Advisory Committee on Immunization Practices (ACIP) guidance found in:
 - CDC's Vaccine Storage and Handling Toolkit
 - Vaccine Administration Recommendations and Guidelines Training should include an observation component. Validate staff knowledge of and skills in vaccine administration with a skills checklist.
 - You Call the Shots training programs about specific vaccines
 - Data Logger Training; and
- Required Trainings as directed by the designated DSHS Public Health Region. These include:
 - Training on the DSHS system for documenting vaccine encounters during the event
 - Training on the DSHS system for collecting ImmTrac2 consent forms
 - Training on the DSHS Data Logger you will be issued on the event day by the COVID-19 Vaccine Storage Facility
 - Training on the Vaccine Adverse Event Reporting System (VAERS)
 - Training on How to Prepare All Physical Event Paperwork for Submission to the Appropriate Texas Public Health Entity.

E. Prepare for Vaccine Storage & Handling

- Ensure plans are in place for maintaining vaccine at appropriate temperatures while it is stored and throughout the clinic day based on vaccine storage and handling guidance.
- Identify location on the site plan where appropriate electricity outlets are available.
- A contingency plan should also be in place, in case vaccines are delayed or compromised and need to be replaced.
- Plan to bring any necessary surge protectors and extension cords (with labels to NOT unplug from the outlet).

F. Test and Prepare All Event Day Equipment

- Test connections and operability of any computers, tablets, and printers;
- Test the operability of thermometers;
- Test the operability of vaccine storage units; and
- If mobile vaccine storage units are not in use, they must be conditioned

Attachment A Statement of Work

(powered with regulated temperatures) in anticipation of the Event date.

G. Finalize Clinic Security

- Identify options for on-site security in coordination with the Event facility manager
- Designate a space or system to secure vaccine and protect clinic staff and their valuables

H. Reference Clinic Event Checklists

- CDC Checklist of Best Practices for Vaccination Clinics Held at Satellite, Temporary, or Off-Site Locations. Retrieved from <https://www.izsummitpartners.org/content/uploads/2019/02/off-site-vaccination-clinic-checklist.pdf>
- Immunization Action Coalition Skills Checklist for Vaccine Administration. Retrieved from <https://www.immunize.org/catg.d/p7010.pdf>
- Attachment N: Recommendations for Portable Vaccine Refrigerators and Portable Vaccine Freezers

3. Required Activities on the COVID-19 Vaccination Event Date

A. Vaccine Pick-Up at Assigned COVID-19 Vaccine Storage Facility

Contractor providing services under this Contract will NOT be required to order or store COVID-19 vaccines. Instead, they will be given a pick-up location within 75 miles of the Event location for the collection of the appropriate quantity of COVID-19 vaccine. Vaccines must be picked up and dropped off on the Event date.

At the time of vaccine pick-up, Contractor will be required to:

1. Accept and set up the data logger issued by the assigned COVID-19 Vaccine Storage Facility; issued data loggers must be properly and continuously used from the time vaccines are picked up to the time they are dropped off;
2. Check the expiration dates of vaccines (and diluents, if applicable) during pick-up. (Note: If you are using multidose vials, be sure to review “beyond use dates” (BUD), along with expiration dates;
3. Ensure that vaccines are being kept in proper storage equipment that maintains the manufacturer-recommended temperature range from the time when vaccine is picked up to the time when the vaccine is dropped off (i.e., a portable vaccine refrigerator or qualified container and pack-out specifically designed and tested to maintain correct temperatures when opened and closed during the clinic);
4. Ensure that vaccines are being kept in proper storage equipment that is appropriate for the volume of vaccine being picked up; and
5. Ensure the vaccine arrives at the Event at least 1 hour before the Event start time.

Attachment A Statement of Work

B. Event Setup

To prepare for the Event, Contractor will be required to:

1. Please allow at least 2 hours prior to the Event for setup;
2. Post signage throughout the Event space;
3. Designate a clean area for vaccine preparation and set the area up prior to the clinic;
4. Designate a qualified individual to oversee infection control throughout the Event;
5. Distribute a sufficient supply of PPE to staff, including face masks, gloves, and, if appropriate, eye shields;
6. Place a sufficient supply of face coverings out for visitors and patients who may not have one;
7. Place a sufficient supply of hand sanitizer out for staff and patients to repeatedly practice hand hygiene;
8. Set up additional controls, such as counters and plastic shields, to minimize contact where patients and staff interact (e.g., registration or screening areas);
9. Post signs, barriers, and floor markers to instruct patients to remain 6 feet apart from other patients and clinic staff;
10. Distribute a sufficient supply of thermometers to check patient temperatures prior to entering the vaccination clinic and COVID symptom checklists;
11. Ensure Event staff are wearing identification cards or other identification (vests, shirts, etc.), as appropriate;
12. Designate a team member to provide the vaccinator stations updates and wait times throughout the Event;
13. Distribute cleaning supplies so workspaces can be cleaned regularly;
14. Plan to cleanse and disinfect vaccination stations at a minimum of every hour, between shifts, and if station areas become visibly soiled. Incorporate other CDC/EPA guidance as appropriate for your clinic circumstances;
15. Ensure Event staff is wearing appropriate PPE;
16. Ensure supplies such as tissues, hand sanitizer, and wastebaskets are readily accessible throughout the Event space;
17. Plan to ensure that any gloves worn by those administering vaccine, are changed, and that hand hygiene is performed between patients; and
18. Plan to provide extra cleaning and sanitizing support. Frequently clean and disinfect all patient service counters and patient contact areas, including frequently touched objects and surfaces such as workstations, keyboards, telephones, and doorknobs.

C. On-site Vaccine Storage and Handling

The purpose of the vaccine “cold chain” is to maintain product quality from the time of manufacture until the point of administration by ensuring that vaccines are stored and transported within CDC-recommended temperature ranges. See Attachment N for Recommendations for Portable Vaccine Refrigerators and Portable Vaccine Freezers.

To ensure that the vaccine “cold-chain” is maintained, Contractor will be required to:

Attachment A Statement of Work

1. Monitor and document vaccine temperatures as required throughout the day;
2. Be familiar with CDC's Vaccine Storage and Handling Toolkit, Attachment O which provides guidance on safe and effective vaccine management practices for all health care providers;
3. Review and record vaccine temperatures at least once an hour to ensure they remain at correct temperatures;
4. Ensure the vaccine storage containers are being kept closed as much as possible;
5. Ensure the vaccines are being protected from light;
6. Ensure vaccines are being prepared in a clean, designated medication area, away from any potentially contaminated items;
7. If using reconstituted vaccines, ensure they are being prepared according to the manufacturer's guidelines;
8. Ensure the vaccines are being prepared at the time of administration;
9. If vaccines are pre-drawn from a multidose vial, ensure that only the contents of 1 multidose vial are being drawn up at one time by each staff member administering vaccines (the maximum number of doses per vial is described in the package insert);
10. If using single-dose or multidose vials, ensure the syringes are being labeled with the name of the vaccine; and
11. Once drawn up, ensure that vaccines are being kept in the recommended temperature range (use the manufacturer's guidelines regarding the specific time limits for being out of the recommended temperature range).

D. Vaccination Process

During the vaccination process, Contractor is required to:

1. Ensure the client was screened for eligibility, if vaccination is limited to certain populations;
2. Ensure the client was screened for contraindications and precautions;
3. Distribute Emergency Use Authorization (EUA)/Vaccine Information Statement (VIS) fact sheets prior to vaccine administration;
4. Ensure the client has provided signed consent;
5. Ensure the following happens during vaccine preparation:
 - a. Vaccine is prepared in a designated area;
 - b. The cold chain is maintained until time for administration;
 - c. Staff is safely handling and disposing of needles and syringes; and
 - d. No more than 1 multidose vial or number as indicated by the manufacturer's package insert is drawn up at one time by each vaccinator;
6. Ensure the patient flow is being monitored to avoid drawing up unnecessary doses;
7. Ensure that hand hygiene is being performed before vaccine preparation, between patients, and any time hands become soiled. If gloves are worn, they should be changed, and hand hygiene should be performed between patients;
8. Ensure that vaccinators are following manufacturer instructions and federal vaccine administration guidance related to dose, site, and route. Resources can be found at these sites:
 - a. Epidemiology and Prevention of Vaccine-Preventable Diseases; and
 - b. CDC Vaccine Administration Resource Library;

Attachment A Statement of Work

9. Ensure that each vaccination is being documented and that clients are receiving documentation for their personal records, including information about scheduling a second vaccination appointment, if needed;
10. Ensure that the client is given a record of the vaccines she/he received; and
11. Ensure that clients are observed after vaccination:
 - a. Walk-through clinics: Patients should be observed in a waiting area for 15 minutes after vaccination for syncope (fainting) or other adverse events.
 - b. Curbside or drive-through clinics: Drivers should be directed to a waiting area for 15 minutes and checked before they leave. This is critical at a drive-through immunization clinic because of the potential for injury when the vaccinated person is driving a car.

E. Shutting Down the Clinic/Event

Properly cleaning the vaccination Event space following the Event allows our community and facility partners to know how much we value our partnership with them. Contractor must ensure that/to:

1. Any remaining viable vaccine is appropriately stored and handled to protect the cold chain;
2. All remaining vaccine in syringes is discarded according to protocol;
3. Properly dispose of trash;
4. All biohazardous material is disposed of properly;
5. Wipe down all hard surface supplies & equipment prior to breaking down; and
6. Wipe down exposed skin with body wipes.

F. Documentation & Reporting

Contractor must ensure that:

1. All vaccines administered are properly documented in the DSHS system for documenting vaccine encounters during the Event;
2. Any vaccine administration errors were reported to all appropriate Contractors;
3. Any needlestick injuries were recorded in a sharps injury log and reported to all appropriate Contractors; and
4. Any paper records recorded during the vaccination event are prepared for submission to the appropriate Texas Public Health Entity.

G. Vaccine Drop-Off

Contractor must ensure that:

1. Unused vaccines must be returned on the same day;
2. Viable, unused vaccine is placed back in proper storage equipment that maintains the manufacturer-recommended temperature range at the end of the clinic day; and
3. The Vaccine Storage Facility (where you picked up the vaccines) will accept any excess vaccines not used during the COVID-19 vaccination event and will collect the data loggers that were distributed for use on the Event day;

Attachment A Statement of Work

- Returned vaccines will be placed in quarantine (separated by mobile storage unit) until the data logger data for the mobile storage unit is read and cleared (no temperature excursions identified).

4. Required Activities After the COVID-19 Vaccination Event

Contractor may not retain any Event documentation and will be required to deliver Event-related documentation to the appropriate Texas Public Health Entity within 7 days of the Event date.

5. Prohibition Against the Independent Submission of Health Insurance Claims for COVID-19 Vaccine Administration Fees

Contractor is strictly prohibited from collecting health insurance information from Event participants with the intention of independently submitting health insurance claims for vaccine administration fees. Contractor is strictly prohibited from filing health insurance claims for Event vaccine administration fees.

IV. PERFORMANCE CRITERIA

DSHS will look solely to the Contractor for the performance of all contractual obligations under the contract.

Contractor will not be relieved of its obligations for any nonperformance by its subcontractors. Contractor must ensure that its subcontractors abide by all requirements, terms, and conditions of this Contract. Unless the context clearly indicates otherwise, every requirement and every prohibition set forth in this Contract that applies to a Contractor applies with equal force to its employees, agents, representatives, and subcontractors.

Contractor must ensure credentialing compliance that meets all state and federal requirements for all individuals participating as a member of the VAT(s). Such individuals must hold, and maintain throughout the Contract term, the necessary license, permit, accreditation, and/or certification required by law to engage in the role assigned. DSHS reserves the right to release VAT members who have not met all credentialing or licensing requirements. DSHS will not be responsible to Contractor for any costs or expenses associated with released VAT members.

V. SPECIFIC PERFORMANCE STANDARDS

Contractor shall comply with all obligations and duties under the Contract. In addition, the Contractor shall adhere to the following performance standards:

DSHS will monitor Contractor's performance of the requirements in the Contract and compliance with the Contract's terms and conditions. To determine the effectiveness of Contractor providing

Attachment A Statement of Work

COVID-19 vaccine administration services, DSHS will monitor how Contractor completes the following performance measures:

1. Ensuring that 100% of COVID-19 vaccination events are properly staffed and equipped;
2. Ensuring that 100% of COVID-19 vaccination events begin on time;
3. Ensuring that 100% of unused vaccines are properly dropped off on the day of the Event with an accompanying data logger that was in use from the time the vaccines were picked up;
4. Ensuring that 100% of COVID-19 vaccine administration data is entered in the DSHS system for documenting vaccine encounters within 24 hours of the vaccine administration;
5. Submitting any vaccine administration errors or needlestick injuries to all appropriate Contractors; and
6. Ensuring that any paper records recorded during the vaccination event were timely submitted to the appropriate Texas Public Health Entity.

VI. CONTRACTOR PERSONNEL PERFORMANCE

A. Contractor shall not employ or contract with or permit the employment of unfit or unqualified persons or persons not skilled in the tasks assigned to them.

B. The Contractor shall at all times employ sufficient personnel to carry out functions and services in the manner and time prescribed by the Contract.

C. The Contractor shall be responsible for the acts and omissions of the Contractor's employees, agents (including, but not limited to, lobbyists), and subcontractors and shall enforce strict discipline among the Contractor's employees, agents (including, but not limited to, lobbyists), and subcontractors performing the services under the Contract.

D. DSHS, at its sole discretion, may request in writing the immediate removal of any Contractor personnel or subcontractor personnel from the services being provided under the Contract. Upon such request, Contractor shall immediately remove the subject personnel and submit in writing to DSHS, within 10 calendar days of DSHS's request for removal, confirmation of the removal and assurance of continued, compliant Contract performance.

HEALTH AND HUMAN SERVICES
CONTRACT NO. HHS000973900061
Attachment B CONTRACT AFFIRMATIONS

For purposes of these Contract Affirmations, HHS includes both the Health and Human Services Commission (HHSC) and the Department of State Health Services (DSHS). System Agency refers to HHSC, DSHS, or both, that will be a party to this Contract. These Contract Affirmations apply to all Contractors regardless of their business form (e.g., individual, partnership, corporation).

By entering into this Contract, Contractor affirms, without exception, understands, and agrees to comply with the following items through the life of the Contract:

1. Contractor represents and warrants that these Contract Affirmations apply to Contractor and all of Contractor's principals, officers, directors, shareholders, partners, owners, agents, employees, subcontractors, independent contractors, and any other representatives who may provide services under, who have a financial interest in, or otherwise are interested in this Contract and any related Solicitation.

2. Complete and Accurate Information

Contractor represents and warrants that all statements and information provided to HHS are current, complete, and accurate. This includes all statements and information in this Contract and any related Solicitation Response.

3. Public Information Act

Contractor understands that HHS will comply with the Texas Public Information Act (Chapter 552 of the Texas Government Code) as interpreted by judicial rulings and opinions of the Attorney General of the State of Texas. Information, documentation, and other material prepared and submitted in connection with this Contract or any related Solicitation may be subject to public disclosure pursuant to the Texas Public Information Act. In accordance with Section 2252.907 of the Texas Government Code, Contractor is required to make any information created or exchanged with the State pursuant to the Contract, and not otherwise excepted from disclosure under the Texas Public Information Act, available in a format that is accessible by the public at no additional charge to the State.

4. Contracting Information Requirements

Contractor represents and warrants that it will comply with the requirements of Section 552.372(a) of the Texas Government Code. Except as provided by Section 552.374(c) of the Texas Government Code, the requirements of Subchapter J (Additional Provisions Related to Contracting Information), Chapter 552 of the Government Code, may apply to the Contract and the Contractor agrees that the Contract can be terminated if the Contractor knowingly or intentionally fails to comply with a requirement of that subchapter.

5. Assignment

- A. Contractor shall not assign its rights under the contract or delegate the performance of its duties under the contract without prior written approval from System Agency. Any attempted assignment in violation of this provision is void and without effect.
- B. Contractor understands and agrees the System Agency may in one or more transactions assign, pledge, or transfer the Contract. Upon receipt of System Agency's notice of assignment, pledge, or transfer, Contractor shall cooperate with System Agency in giving effect to such assignment, pledge, or transfer, at no cost to System Agency or to the recipient entity.

6. Terms and Conditions

Contractor accepts the Solicitation terms and conditions unless specifically noted by exceptions advanced in the form and manner directed in the Solicitation, if any, under which this Contract was awarded. Contractor agrees that all exceptions to the Solicitation, as well as terms and conditions advanced by Contractor that differ in any manner from HHS' terms and conditions, if any, are rejected unless expressly accepted by System Agency in writing.

7. HHS Right to Use

Contractor agrees that HHS has the right to use, produce, and distribute copies of and to disclose to HHS employees, agents, and contractors and other governmental entities all or part of this Contract or any related Solicitation Response as HHS deems necessary to complete the procurement process or comply with state or federal laws.

8. Release from Liability

Contractor generally releases from liability and waives all claims against any party providing information about the Contractor at the request of System Agency.

9. Dealings with Public Servants

Contractor has not given, has not offered to give, and does not intend to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with this Contract or any related Solicitation, or related Solicitation Response.

10. Financial Participation Prohibited

Under Section 2155.004, Texas Government Code (relating to financial participation in preparing solicitations), Contractor certifies that the individual or business entity named in this Contract and any related Solicitation Response is not ineligible to receive this Contract and acknowledges that this Contract may be terminated and payment withheld if this certification is inaccurate.

11. Prior Disaster Relief Contract Violation

Under Sections 2155.006 and 2261.053 of the Texas Government Code (relating to convictions and penalties regarding Hurricane Rita, Hurricane Katrina, and other disasters), the Contractor certifies that the individual or business entity named in this Contract and any related Solicitation Response is not ineligible to receive this Contract and

acknowledges that this Contract may be terminated and payment withheld if this certification is inaccurate.

12. Child Support Obligation

Under Section 231.006(d) of the Texas Family Code regarding child support, Contractor certifies that the individual or business entity named in this Contract and any related Solicitation Response is not ineligible to receive the specified payment and acknowledges that the Contract may be terminated and payment may be withheld if this certification is inaccurate.

13. Suspension and Debarment

Contractor certifies that it and its principals are not suspended or debarred from doing business with the state or federal government as listed on the *State of Texas Debarred Vendor List* maintained by the Texas Comptroller of Public Accounts and the *System for Award Management (SAM)* maintained by the General Services Administration. This certification is made pursuant to the regulations implementing Executive Order 12549 and Executive Order 12689, Debarment and Suspension, 2 C.F.R. Part 376, and any relevant regulations promulgated by the Department or Agency funding this project. This provision shall be included in its entirety in Contractor's subcontracts, if any, if payment in whole or in part is from federal funds.

14. Excluded Parties

Contractor certifies that it is not listed in the prohibited vendors list authorized by Executive Order 13224, "*Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism*," published by the United States Department of the Treasury, Office of Foreign Assets Control.'

15. Foreign Terrorist Organizations

Contractor represents and warrants that it is not engaged in business with Iran, Sudan, or a foreign terrorist organization, as prohibited by Section 2252.152 of the Texas Government Code.

16. Executive Head of a State Agency

In accordance with Section 669.003 of the Texas Government Code, relating to contracting with the executive head of a state agency, Contractor certifies that it is not (1) the executive head of an HHS agency, (2) a person who at any time during the four years before the date of this Contract was the executive head of an HHS agency, or (3) a person who employs a current or former executive head of an HHS agency.

17. Human Trafficking Prohibition

Under Section 2155.0061 of the Texas Government Code, Contractor certifies that the individual or business entity named in this Contract is not ineligible to receive this contract and acknowledges that this Contract may be terminated and payment withheld if this certification is inaccurate.

18. Franchise Tax Status

Contractor represents and warrants that it is not currently delinquent in the payment of any franchise taxes owed the State of Texas under Chapter 171 of the Texas Tax Code.

19. Debts and Delinquencies

Contractor agrees that any payments due under this Contract shall be applied towards any debt or delinquency that is owed to the State of Texas.

20. Lobbying Prohibition

Contractor represents and warrants that payments to Contractor and Contractor's receipt of appropriated or other funds under this Contract or any related Solicitation are not prohibited by Sections 556.005, 556.0055, or 556.008 of the Texas Government Code (relating to use of appropriated money or state funds to employ or pay lobbyists, lobbying expenses, or influence legislation).

21. Buy Texas

Contractor agrees to comply with Section 2155.4441 of the Texas Government Code, requiring the purchase of products and materials produced in the State of Texas in performing service contracts.

22. Disaster Recovery Plan

Contractor agrees that upon request of System Agency, Contractor shall provide copies of its most recent business continuity and disaster recovery plans.

23. Computer Equipment Recycling Program

If this Contract is for the purchase or lease of computer equipment, then Contractor certifies that it is in compliance with Subchapter Y, Chapter 361 of the Texas Health and Safety Code related to the Computer Equipment Recycling Program and the Texas Commission on Environmental Quality rules in 30 TAC Chapter 328.

24. Television Equipment Recycling Program

If this Contract is for the purchase or lease of covered television equipment, then Contractor certifies that it is compliance with Subchapter Z, Chapter 361 of the Texas Health and Safety Code related to the Television Equipment Recycling Program.

25. Cybersecurity Training

- A. Contractor represents and warrants that it will comply with the requirements of Section 2054.5192 of the Texas Government Code relating to cybersecurity training and required verification of completion of the training program.
- B. Contractor represents and warrants that if Contractor or Subcontractors, officers, or employees of Contractor have access to any state computer system or database, the Contractor, Subcontractors, officers, and employees of Contractor shall complete cybersecurity training pursuant to and in accordance with Government Code, Section 2054.5192.

26. Restricted Employment for Certain State Personnel

Contractor acknowledges that, pursuant to Section 572.069 of the Texas Government Code, a former state officer or employee of a state agency who during the period of state service or employment participated on behalf of a state agency in a procurement or contract negotiation involving Contractor may not accept employment from Contractor before the second anniversary of the date the Contract is signed or the procurement is terminated or withdrawn.

27. No Conflicts of Interest

- A. Contractor represents and warrants that it has no actual or potential conflicts of interest in providing the requested goods or services to System Agency under this Contract or any related Solicitation and that Contractor's provision of the requested goods and/or services under this Contract and any related Solicitation will not constitute an actual or potential conflict of interest or reasonably create an appearance of impropriety.
- B. Contractor agrees that, if after execution of the Contract, Contractor discovers or is made aware of a Conflict of Interest, Contractor will immediately and fully disclose such interest in writing to System Agency. In addition, Contractor will promptly and fully disclose any relationship that might be perceived or represented as a conflict after its discovery by Contractor or by System Agency as a potential conflict. System Agency reserves the right to make a final determination regarding the existence of Conflicts of Interest, and Contractor agrees to abide by System Agency's decision.

28. Fraud, Waste, and Abuse

Contractor understands that HHS does not tolerate any type of fraud, waste, or abuse. Violations of law, agency policies, or standards of ethical conduct will be investigated, and appropriate actions will be taken. Pursuant to Texas Government Code, Section 321.022, if the administrative head of a department or entity that is subject to audit by the state auditor has reasonable cause to believe that money received from the state by the department or entity or by a client or contractor of the department or entity may have been lost, misappropriated, or misused, or that other fraudulent or unlawful conduct has occurred in relation to the operation of the department or entity, the administrative head shall report the reason and basis for the belief to the Texas State Auditor's Office (SAO). All employees or contractors who have reasonable cause to believe that fraud, waste, or abuse has occurred (including misconduct by any HHS employee, Grantee officer, agent, employee, or subcontractor that would constitute fraud, waste, or abuse) are required to immediately report the questioned activity to the Health and Human Services Commission's Office of Inspector General. Contractor agrees to comply with all applicable laws, rules, regulations, and System Agency policies regarding fraud, waste, and abuse including, but not limited to, HHS Circular C-027.

A report to the SAO must be made through one of the following avenues:

- SAO Toll Free Hotline: 1-800-TX-AUDIT
- SAO website: <http://sao.fraud.state.tx.us/>

All reports made to the OIG must be made through one of the following avenues:

- OIG Toll Free Hotline 1-800-436-6184

- [OIG Website: ReportTexasFraud.com](http://ReportTexasFraud.com)
- [Internal Affairs Email: InternalAffairsReferral@hhsc.state.tx.us](mailto:InternalAffairsReferral@hhsc.state.tx.us)
- [OIG Hotline Email: OIGFraudHotline@hhsc.state.tx.us](mailto:OIGFraudHotline@hhsc.state.tx.us).
- **OIG Mailing Address: Office of Inspector General**
Attn: Fraud Hotline
MC 1300
P.O. Box 85200
Austin, Texas 78708-5200

29. Antitrust

The undersigned affirms under penalty of perjury of the laws of the State of Texas that:

- A. in connection with this Contract and any related Solicitation Response, neither I nor any representative of the Contractor has violated any provision of the Texas Free Enterprise and Antitrust Act, Tex. Bus. & Comm. Code Chapter 15;
- B. in connection with this Contract and any related Solicitation Response, neither I nor any representative of the Contractor has violated any federal antitrust law; and
- C. neither I nor any representative of the Contractor has directly or indirectly communicated any of the contents of this Contract and any related Solicitation Response to a competitor of the Contractor or any other company, corporation, firm, partnership or individual engaged in the same line of business as the Contractor.

30. Legal and Regulatory Actions

Contractor represents and warrants that it is not aware of and has received no notice of any court or governmental agency proceeding, investigation, or other action pending or threatened against Contractor or any of the individuals or entities included in numbered paragraph 1 of these Contract Affirmations within the five (5) calendar years immediately preceding execution of this Contract or the submission of any related Solicitation Response that would or could impair Contractor's performance under this Contract, relate to the contracted or similar goods or services, or otherwise be relevant to System Agency's consideration of entering into this Contract. If Contractor is unable to make the preceding representation and warranty, then Contractor instead represents and warrants that it has provided to System Agency a complete, detailed disclosure of any such court or governmental agency proceeding, investigation, or other action that would or could impair Contractor's performance under this Contract, relate to the contracted or similar goods or services, or otherwise be relevant to System Agency's consideration of entering into this Contract. In addition, Contractor acknowledges this is a continuing disclosure requirement. Contractor represents and warrants that Contractor shall notify System Agency in writing within five (5) business days of any changes to the representations or warranties in this clause and understands that failure to so timely update System Agency shall constitute breach of contract and may result in immediate contract termination.

31. No Felony Criminal Convictions

Contractor represents that neither Contractor nor any of its employees, agents, or representatives, including any subcontractors and employees, agents, or representative of such subcontractors, have been convicted of a felony criminal offense or that if such a conviction has occurred Contractor has fully advised System Agency in writing of the facts and circumstances surrounding the convictions.

32. Unfair Business Practices

Contractor represents and warrants that it has not been the subject of allegations of Deceptive Trade Practices violations under Chapter 17 of the Texas Business and Commerce Code, or allegations of any unfair business practice in any administrative hearing or court suit and that Contractor has not been found to be liable for such practices in such proceedings. Contractor certifies that it has no officers who have served as officers of other entities who have been the subject of allegations of Deceptive Trade Practices violations or allegations of any unfair business practices in an administrative hearing or court suit and that such officers have not been found to be liable for such practices in such proceedings.

33. Entities that Boycott Israel

Contractor represents and warrants that (1) it does not, and shall not for the duration of the Contract, boycott Israel or (2) the verification required by Section 2271.002 of the Texas Government Code does not apply to the Contract. If circumstances relevant to this provision change during the course of the contract, Contractor shall promptly notify System Agency.

34. E-Verify

Contractor certifies that for contracts for services, Contractor shall utilize the U.S. Department of Homeland Security's E-Verify system during the term of this Contract to determine the eligibility of:

1. all persons employed by Contractor to perform duties within Texas; and
2. all persons, including subcontractors, assigned by Contractor to perform work pursuant to this Contract within the United States of America.

35. Former Agency Employees – Certain Contracts

If this Contract is an employment contract, a professional services contract under Chapter 2254 of the Texas Government Code, or a consulting services contract under Chapter 2254 of the Texas Government Code, in accordance with Section 2252.901 of the Texas Government Code, Contractor represents and warrants that neither Contractor nor any of Contractor's employees including, but not limited to, those authorized to provide services under the contract, were former employees of an HHS Agency during the twelve (12) month period immediately prior to the date of the execution of the contract.

36. Disclosure of Prior State Employment – Consulting Services

If this Contract is for consulting services,

- A. In accordance with Section 2254.033 of the Texas Government Code, a Contractor providing consulting services who has been employed by, or employs an individual who has been employed by, System Agency or another State of Texas agency at any time during the two years preceding the submission of Contractor’s offer to provide services must disclose the following information in its offer to provide services. Contractor hereby certifies that this information was provided and remains true, correct, and complete:
1. Name of individual(s) (Contractor or employee(s));
 2. Status;
 3. The nature of the previous employment with HHSC or the other State of Texas agency;
 4. The date the employment was terminated and the reason for the termination; and
 5. The annual rate of compensation for the employment at the time of its termination.
- B. If no information was provided in response to Section A above, Contractor certifies that neither Contractor nor any individual employed by Contractor was employed by System Agency or any other State of Texas agency at any time during the two years preceding the submission of Contractor’s offer to provide services.

37. Abortion Funding Limitation

Contractor understands, acknowledges, and agrees that, pursuant to Article IX, Section 6.25 of the General Appropriations Act (the Act), to the extent allowed by federal and state law, money appropriated by the Texas Legislature may not be distributed to any individual or entity that, during the period for which funds are appropriated under the Act:

1. performs an abortion procedure that is not reimbursable under the state’s Medicaid program;
2. is commonly owned, managed, or controlled by an entity that performs an abortion procedure that is not reimbursable under the state’s Medicaid program; or
3. is a franchise or affiliate of an entity that performs an abortion procedure that is not reimbursable under the state’s Medicaid program. The provision does not apply to a hospital licensed under Chapter 241, Health and Safety Code, or an office exempt under Section 245.004(2), Health and Safety Code. Contractor represents and warrants that it is not ineligible, nor will it be ineligible during the term of this Contract, to receive appropriated funding pursuant to Article IX, Section 6.25.

38. Funding Eligibility

Contractor understands, acknowledges, and agrees that, pursuant to Chapter 2272 of the Texas Government Code, except as exempted under that Chapter, HHSC cannot contract with an abortion provider or an affiliate of an abortion provider. Contractor certifies that it is not ineligible to contract with HHSC under the terms of Chapter 2272 of the Texas Government Code.

39. Prohibition on Certain Telecommunications and Video Surveillance Services or Equipment (2 CFR 200.216)

Contractor certifies that the individual or business entity named in this Response or contract is not ineligible to receive the specified contract or funding pursuant to 2 CFR 200.216.

40. False Representation

Contractor understands, acknowledges, and agrees that any false representation or any failure to comply with a representation, warranty, or certification made by Contractor is subject to all civil and criminal consequences provided at law or in equity including, but not limited to, immediate termination of this Contract.

41. False Statements

Contractor represents and warrants that all statements and information prepared and submitted by Contractor in this Contract and any related Solicitation Response are current, complete, true, and accurate. Contractor acknowledges any false statement or material misrepresentation made by Contractor during the performance of this Contract or any related Solicitation is a material breach of contract and may void this Contract. Further, Contractor understands, acknowledges, and agrees that any false representation or any failure to comply with a representation, warranty, or certification made by Contractor is subject to all civil and criminal consequences provided at law or in equity including, but not limited to, immediate termination of this Contract.

42. Permits and License

Contractor represents and warrants that it will comply with all applicable laws and maintain all permits and licenses required by applicable city, county, state, and federal rules, regulations, statutes, codes, and other laws that pertain to this Contract.

43. Drug-Free Workplace

Contractor represents and warrants that it shall comply with the applicable provisions of the Drug-Free Work Place Act of 1988 (41 U.S.C. §701 et seq.) and maintain a drug-free work environment.

44. Equal Employment Opportunity

Contractor represents and warrants its compliance with all applicable duly enacted state and federal laws governing equal employment opportunities.

45. Federal Occupational Safety and Health Law

Contractor represents and warrants that all articles and services shall meet or exceed the safety standards established and promulgated under the Federal Occupational Safety and Health Act of 1970, as amended (29 U.S.C. Chapter 15).

46. Signature Authority

Contractor represents and warrants that the individual signing this Contract Affirmations document is authorized to sign on behalf of Contractor and to bind the Contractor.

Authorized representative on behalf of Contractor must complete and sign the following:

FRANK SAMA

Legal Name of Contractor

Assumed Business Name of Contractor, if applicable (d/b/a or 'doing business as')

Texas County(s) for Assumed Business Name (d/b/a or 'doing business as')

Attach Assumed Name Certificate(s) filed with the Texas Secretary of State and Assumed Name Certificate(s), if any, for each Texas County Where Assumed Name Certificate(s) has been filed

DocuSigned by:

Frank Sama

65B0DB6DFA71450...

Signature of Authorized Representative

Frank Sama

**Printed Name of Authorized Representative
First, Middle Name or Initial, and Last Name**

FRANK T. SAMA

Physical Street Address

Mailing Address, if different

2000 N. CENTRAL EXPY STE 214

Phone Number

214-440-2689

Email Address

PATIENTCARESERVICES@PCSRXCONSULTING.COM

Federal Employer Identification Number

85-2032296

Texas Franchise Tax Number

March 3, 2021

Date Signed

CO-OWNER

Title of Authorized Representative

CO-OWNER

City, State, Zip Code

City, State, Zip Code

PLANO, TX 75074

Fax Number

972-474-8634

DUNS Number

117906538

Texas Payee ID No. - 11 digits

32074923601

**Texas Secretary of State Filing
Number**



Health and Human Services (HHS)

Uniform Terms and Conditions - Vendor Version 3.1

Published and Effective - November 23, 2020

Responsible Office: Chief Counsel

Table of Contents

ARTICLE I. DEFINITIONS AND INTERPRETIVE PROVISIONS	5
1.1 DEFINITIONS	5
1.2 INTERPRETIVE PROVISIONS	7
ARTICLE II. PAYMENT PROVISIONS	8
2.1 PROMPT PAYMENT	8
2.2 ANCILLARY AND TRAVEL EXPENSES	8
2.3 NO QUANTITY GUARANTEES	8
2.4 TAXES	8
ARTICLE III. STATE AND FEDERAL FUNDING	8
3.1 EXCESS OBLIGATIONS PROHIBITED	8
3.2 NO DEBT AGAINST THE STATE	8
3.3 DEBT AND DELINQUENCIES	9
3.4 REFUNDS AND OVERPAYMENTS	9
ARTICLE IV. WARRANTY, AFFIRMATIONS, ASSURANCES, AND CERTIFICATIONS.....	9
4.1 WARRANTY	9
4.2 GENERAL AFFIRMATIONS	10
4.3 FEDERAL ASSURANCES.....	10
4.4 FEDERAL CERTIFICATIONS.....	10
ARTICLE V. INTELLECTUAL PROPERTY	10
5.1 OWNERSHIP OF WORK PRODUCT	10
5.2 CONTRACTOR’S PRE-EXISTING WORKS.....	11
5.3 THIRD PARTY IP.....	11
5.4 AGREEMENTS WITH EMPLOYEES AND SUBCONTRACTORS	11
5.5 DELIVERY UPON TERMINATION OR EXPIRATION	11
5.6 SURVIVAL	12
5.7 SYSTEM AGENCY DATA	12
ARTICLE VI. PROPERTY.....	12
6.1 USE OF STATE PROPERTY	12
6.2 DAMAGE TO GOVERNMENT PROPERTY	13
6.3 PROPERTY RIGHTS UPON TERMINATION OR EXPIRATION OF CONTRACT	13
ARTICLE VII. WORK ORDERS	13
7.1 WORK ORDERS.....	13
7.2 PROPOSALS	13
7.3 RESPONSIBILITY	13

7.4 TERMINATION..... 13

ARTICLE VIII. RECORD RETENTION, AUDIT, AND CONFIDENTIALITY 14

8.1 RECORD MAINTENANCE AND RETENTION..... 14

8.2 AGENCY’S RIGHT TO AUDIT 14

8.3 RESPONSE/COMPLIANCE WITH AUDIT OR INSPECTION FINDINGS 15

8.4 STATE AUDITOR’S RIGHT TO AUDIT 15

8.5 CONFIDENTIALITY 15

ARTICLE IX. CONTRACT REMEDIES AND EARLY TERMINATION..... 15

9.1 CONTRACT REMEDIES 15

9.2 TERMINATION FOR CONVENIENCE..... 16

9.3 TERMINATION FOR CAUSE 16

9.4 CONTRACTOR RESPONSIBILITY FOR SYSTEM AGENCY’S TERMINATION COSTS 16

ARTICLE X. INDEMNITY..... 16

10.1 GENERAL INDEMNITY..... 16

10.2 INTELLECTUAL PROPERTY..... 17

10.3 ADDITIONAL INDEMNITY PROVISIONS..... 17

ARTICLE XI. GENERAL PROVISIONS 18

11.1 AMENDMENT 18

11.2 INSURANCE..... 18

11.3 LIMITATION ON AUTHORITY..... 18

11.4 LEGAL OBLIGATIONS..... 19

11.5 CHANGE IN LAWS AND COMPLIANCE WITH LAWS 19

11.6 E-VERIFY PROGRAM..... 19

11.7 PERMITTING AND LICENSURE 19

11.8 SUBCONTRACTORS..... 19

11.9 INDEPENDENT CONTRACTOR 20

11.10 GOVERNING LAW AND VENUE 20

11.11 SEVERABILITY 20

11.12 SURVIVABILITY 20

11.13 FORCE MAJEURE..... 20

11.14 DISPUTE RESOLUTION..... 21

11.15 NO IMPLIED WAIVER OF PROVISIONS..... 21

11.16 MEDIA RELEASES..... 21

11.17 NO MARKETING ACTIVITIES 22

11.18 PROHIBITION ON NON-COMPETE RESTRICTIONS 22

11.19 SOVEREIGN IMMUNITY..... 22

11.20 ENTIRE CONTRACT AND MODIFICATION 22

11.21	COUNTERPARTS	22
11.22	CIVIL RIGHTS	22
11.23	ENTERPRISE INFORMATION MANAGEMENT STANDARDS.....	24
11.24	DISCLOSURE OF LITIGATION	24
11.25	NO THIRD-PARTY BENEFICIARIES.....	24
11.26	BINDING EFFECT	24

ARTICLE I. DEFINITIONS AND INTERPRETIVE PROVISIONS

1.1 DEFINITIONS

As used in this Contract, unless the context clearly indicates otherwise, the following terms and conditions have the meanings assigned below:

“Amendment” means a written agreement, signed by the Parties, which documents changes to the Contract other than those permitted by Work Orders.

“Attachment” means documents, terms, conditions, or information added to this Contract following the Signature Document or included by reference and made a part of this Contract.

“Contract” means the Signature Document, these Uniform Terms and Conditions, along with any Attachments, and any Amendments, purchase orders, or Work Orders that may be issued by the System Agency, to be incorporated by reference for all purposes.

“Contractor” means the Party selected to provide the goods or Services to the State under this Contract.

“Deliverable” means a Work Product(s), including all reports and project documentation, prepared, developed, or procured by Contractor as part of the Services under the Contract for the use or benefit of the System Agency or the State of Texas.

“Effective Date” means the date agreed to by the Parties as the date on which the Contract takes effect.

“Federal Fiscal Year” means the period beginning October 1 and ending September 30 each year, which is the annual accounting period for the United States government.

“GAAP” means Generally Accepted Accounting Principles.

“GASB” means the Governmental Accounting Standards Board.

“Goods” means supplies, materials, or equipment.

“Health and Human Services Commission” or “HHSC” means the administrative agency established under Chapter 531, Texas Government Code, or its designee.

“Health and Human Services” or “HHS” includes the Department of State Health Services (DSHS), in addition to the Health and Human Services Commission.

“HUB” means Historically Underutilized Business, as defined by Chapter 2161 of the Texas Government Code.

“Intellectual Property Rights” means the worldwide proprietary rights or interests, including patent, copyright, trade secret, and trademark rights, as such rights may be evidenced by or embodied in:

- i. any idea, design, concept, personality right, method, process, technique, apparatus, invention, discovery, or improvement;

- ii. any work of authorship, including any compilation, computer code, website or web page design, literary work, pictorial work, or graphic work;
- iii. any trademark, service mark, trade dress, trade name, branding, or other indicia of source or origin;
- iv. domain name registrations; and
- v. any other proprietary or similar rights. The Intellectual Property Rights of a Party include all worldwide proprietary rights or interests that the Party may have acquired by assignment, by exclusive license, or by license with the right to grant sublicenses.

“Parties” means the System Agency and Contractor, collectively.

“Party” means either the System Agency or Contractor, individually.

“Project” means the goods or Services described in the Signature Document or a Work Order of this Contract.

“Scope of Work” means the description of Services and Deliverables specified in the Contract and as may be amended.

“Services” means the tasks, functions, and responsibilities assigned and delegated to Contractor under the Contract.

“Signature Document” means the document executed by both Parties that specifically sets forth all of the documents that constitute the Contract.

“Solicitation” means the document issued by the System Agency (including any published addenda, exhibits, and Attachments) under which the goods or Services provided under the Contract were initially requested, which is incorporated by reference for all purposes in its entirety.

“Solicitation Response” means Contractor’s full and complete response (including any Attachments and addenda) to the Solicitation, which is incorporated by reference for all purposes in its entirety.

“State Fiscal Year” means the period beginning September 1 and ending August 31 each year, which is the annual accounting period for the State of Texas.

“State of Texas Textravel” means the State Travel Management Program through the Texas Comptroller of Public Accounts website and Texas Administrative Code, Title 34, Part 1, Chapter 5, Subchapter C, Section 5.22, relative to travel reimbursements under this Contract, if any.

“Subcontract” means any written agreement between Contractor and a third party to fulfill the requirements of the Contract. All Subcontracts are required to be in writing.

“Subcontractor” means any individual or entity that enters a contract with the Contractor to perform part or all of the obligations of Contractor under this Contract.

“System Agency” means HHSC or any of the agencies of the State of Texas that are overseen by HHSC under authority granted under state law and the officers, employees, authorized representatives, and designees of those agencies. These agencies include: HHSC and the Department of State Health Services.

“Third Party IP” means the Intellectual Property Rights of any third party that is not a party to this Contract, and that is not a Subcontractor.

“Work” means all Services to be performed, goods to be delivered, and any appurtenant actions performed, and items produced, conceived, or developed, including Deliverables.

“Work Order” means an individually negotiated document that is executed by both Parties and which authorizes a Project, if any, in an indefinite quantity Contract.

“Work Product” means any and all works, including work papers, notes, materials, approaches, designs, specifications, systems, innovations, improvements, inventions, software, programs, source code, documentation, training materials, audio or audiovisual recordings, methodologies, concepts, studies, reports, whether finished or unfinished, and whether or not included in the Deliverables, that are developed, produced, generated, or provided by Contractor in connection with Contractor’s performance of its duties under the Contract or through use of any funding provided under this Contract.

1.2 INTERPRETIVE PROVISIONS

- A. The meanings of defined terms include the singular and plural forms.
- B. The words “hereof,” “herein,” “hereunder,” and similar words refer to this Contract as a whole and not to any particular provision, section, Attachment, or schedule of this Contract unless otherwise specified.
- C. The term “including” is not limiting and means “including without limitation” and, unless otherwise expressly provided in this Contract, (i) references to contracts (including this Contract) and other contractual instruments shall be deemed to include all subsequent Amendments and other modifications, but only to the extent that such Amendments and other modifications are not prohibited by the terms of this Contract, and (ii) references to any statute or regulation are to be construed as including all statutory and regulatory provisions consolidating, amending, replacing, supplementing, or interpreting the statute or regulation.
- D. Any references to “sections,” “appendices,” or “attachments” are references to sections, appendices, or attachments of the Contract.
- E. Any references to agreements, contracts, statutes, or administrative rules or regulations in the Contract are references to these documents as amended, modified, or supplemented from time to time during the term of the Contract.
- F. The captions and headings of this Contract are for convenience of reference only and do not affect the interpretation of this Contract.
- G. All Attachments, including those incorporated by reference, and any Amendments are considered part of the terms of this Contract.
- H. This Contract may use several different limitations, regulations, or policies to regulate the same or similar matters. All such limitations, regulations, and policies are cumulative and each will be performed in accordance with its terms.
- I. Unless otherwise expressly provided, reference to any action of the System Agency or by the System Agency by way of consent, approval, or waiver will be deemed modified by the phrase “in its sole discretion.”
- J. Time is of the essence in this Contract.

ARTICLE II. PAYMENT PROVISIONS

2.1 PROMPT PAYMENT

Payment shall be made in accordance with Chapter 2251 of the Texas Government Code, commonly known as the Texas Prompt Payment Act. Chapter 2251 of the Texas Government Code shall govern remittance of payment and remedies for late payment and non-payment.

2.2 ANCILLARY AND TRAVEL EXPENSES

- A. Except as otherwise provided in the Contract, no ancillary expenses incurred by the Contractor in connection with its provision of the Services or Deliverables will be reimbursed by the System Agency. Ancillary expenses include, but are not limited to costs associated with transportation, delivery, and insurance for each Deliverable.
- B. When the reimbursement of travel expenses is authorized by the Contract, all such expenses will be reimbursed in accordance with the rates set by the State of Texas *Textravel* available at the Texas Comptroller of Public Accounts State Travel Management Program website.

2.3 NO QUANTITY GUARANTEES

The System Agency makes no guarantee of volume or usage of work under this Contract. All Work requested may be on an irregular and as needed basis throughout the Contract term.

2.4 TAXES

Purchases made for State of Texas use are exempt from the State Sales Tax and Federal Excise Tax. Contractor represents and warrants that it shall pay all taxes or similar amounts resulting from the Contract, including, but not limited to, any federal, State, or local income, sales or excise taxes of Contractor or its employees. System Agency shall not be liable for any taxes resulting from the contract.

ARTICLE III. STATE AND FEDERAL FUNDING

3.1 EXCESS OBLIGATIONS PROHIBITED

The Contract is subject to termination or cancellation, without penalty to the System Agency, either in whole or in part, subject to the availability of state funds. System Agency is a state agency whose authority and appropriations are subject to actions of the Texas Legislature. If System Agency becomes subject to a legislative change, revocation of statutory authority, or lack of appropriated funds that would render either System Agency's or Contractor's delivery or performance under the Contract impossible or unnecessary, the Contract will be terminated or cancelled and be deemed null and void. In the event of a termination or cancellation under this Section, System Agency will not be liable to Contractor for any damages that are caused or associated with such termination, or cancellation, and System Agency will not be required to give prior notice.

3.2 NO DEBT AGAINST THE STATE

This Contract will not be construed as creating any debt by or on behalf of the State of Texas.

3.3 DEBT AND DELINQUENCIES

Contractor agrees that any payments due under the Contract shall be directly applied towards eliminating any debt or delinquency it has to the State of Texas including, but not limited to, delinquent taxes, delinquent student loan payments, and delinquent child support.

3.4 REFUNDS AND OVERPAYMENTS

- A. At its sole discretion, the System Agency may:
 - i. withhold all or part of any payments to Contractor to offset overpayments, unallowable or ineligible costs made to the Contractor, or if any required financial status report(s) is not submitted by the due date(s); or,
 - ii. require Contractor to promptly refund or credit - within thirty (30) calendar days of written notice - any funds erroneously paid by System Agency which are not expressly authorized under the Contract.
- B. "Overpayments," as used in this Section, include payments:
 - i. made by the System Agency that exceed the maximum allowable rates;
 - ii. that are not allowed under applicable laws, rules, or regulations; or,
 - iii. that are otherwise inconsistent with this Contract, including any unapproved expenditures. Contractor understands and agrees that it will be liable to the System Agency for any costs disallowed pursuant to financial and compliance audit(s) of funds received under this Contract. Contractor further understands and agrees that reimbursement of such disallowed costs shall be paid by Contractor from funds which were not provided or otherwise made available to Contractor under this Contract.

ARTICLE IV. WARRANTY, AFFIRMATIONS, ASSURANCES, AND CERTIFICATIONS

4.1 WARRANTY

Contractor warrants that all Work under this Contract shall be completed in a manner consistent with standards under the terms of this Contract, in the applicable trade, profession, or industry; shall conform to or exceed the specifications set forth in the Contract; and all Deliverables shall be fit for ordinary use, of good quality, and with no material defects. If System Agency, in its sole discretion, determines Contractor has failed to complete Work timely or to perform satisfactorily under conditions required by this Contract, the System Agency may require Contractor, at its sole expense, to:

- i. Repair or replace all defective or damaged Work;
- ii. Refund any payment Contractor received from System Agency for all defective or damaged Work and, in conjunction therewith, require Contractor to accept the return of such Work; and,
- iii. Take necessary action to ensure that Contractor's future performance and Work conform to the Contract requirements.

4.2 GENERAL AFFIRMATIONS

Contractor certifies that, to the extent General Affirmations are incorporated into the Contract under the Signature Document, the Contractor has reviewed the General Affirmations and that Contractor is in compliance with all requirements.

4.3 FEDERAL ASSURANCES

Contractor certifies that, to the extent federal assurances are incorporated into the Contract under the Signature Document, the Contractor has reviewed the federal assurances and that Contractor is in compliance with all requirements.

4.4 FEDERAL CERTIFICATIONS

Contractor certifies that, to the extent federal certifications are incorporated into the Contract under the Signature Document, the Contractor has reviewed the federal certifications and that Contractor is in compliance with all requirements. In addition, Contractor certifies that it is and shall remain in compliance with all applicable federal laws, rules, and regulations, as they may pertain to this Contract.

ARTICLE V. INTELLECTUAL PROPERTY

5.1 OWNERSHIP OF WORK PRODUCT

- A. All right, title, and interest in the Work Product, including all Intellectual Property Rights therein, is exclusively owned by System Agency. Contractor and Contractor's employees will have no rights in or ownership of the Work Product or any other property of System Agency.
- B. Any and all Work Product that is copyrightable under United States copyright law is deemed to be "work made for hire" owned by System Agency, as provided by Title 17 of the United States Code. To the extent that Work Product does not qualify as a "work made for hire" under applicable federal law, Contractor hereby irrevocably assigns and transfers to System Agency, its successors and assigns, the entire right, title, and interest in and to the Work Product, including any and all Intellectual Property Rights embodied therein or associated therewith, and in and to all works based upon, derived from, or incorporating the Work Product, and in and to all income, royalties, damages, claims and payments now or hereafter due or payable with respect thereto, and in and to all causes of action, either in law or in equity for past, present or future infringement based on the copyrights, and in and to all rights corresponding to the foregoing.
- C. Contractor agrees to execute all papers and to perform such other acts as System Agency may deem necessary to secure for System Agency or its designee the rights herein assigned.
- D. In the event that Contractor has any rights in and to the Work Product that cannot be assigned to System Agency, Contractor hereby grants to System Agency an exclusive, worldwide, royalty-free, transferable, irrevocable, and perpetual license, with the right to sublicense, to reproduce, distribute, modify, create derivative works of, publicly perform and publicly display, make, have made, use, sell and offer for sale the Work Product and any products developed by practicing such rights.
- E. The foregoing does not apply to Incorporated Pre-existing Works or Third Party IP that are incorporated in the Work Product by Contractor. Contractor shall provide System

Agency access during normal business hours to all Vendor materials, premises, and computer files containing the Work Product.

5.2 CONTRACTOR'S PRE-EXISTING WORKS

- A. To the extent that Contractor incorporates into the Work Product any works of Contractor that were created by Contractor or that Contractor acquired rights in prior to the Effective Date of this Contract (“**Incorporated Pre-existing Works**”), Contractor retains ownership of such Incorporated Pre-existing Works.
- B. Contractor hereby grants to System Agency an irrevocable, perpetual, non-exclusive, royalty-free, transferable, worldwide right and license, with the right to sublicense, to use, reproduce, modify, copy, create derivative works of, publish, publicly perform and display, sell, offer to sell, make and have made, the Incorporated Pre-existing Works, in any medium, with or without the associated Work Product.
- C. Contractor represents, warrants, and covenants to System Agency that Contractor has all necessary right and authority to grant the foregoing license in the Incorporated Pre-existing Works to System Agency.

5.3 THIRD PARTY IP

- A. To the extent that any Third Party IP is included or incorporated in the Work Product by Contractor, Contractor hereby grants to System Agency, or shall obtain from the applicable third party for System Agency’s benefit, the irrevocable, perpetual, non-exclusive, worldwide, royalty-free right and license, for System Agency’s internal business purposes only,
 - i. to use, reproduce, display, perform, distribute copies of, and prepare derivative works based upon such Third Party IP and any derivative works thereof embodied in or delivered to System Agency in conjunction with the Work Product, and
 - ii. to authorize others to do any or all of the foregoing.
- B. Contractor shall obtain System Agency’s advance written approval prior to incorporating any Third Party IP into the Work Product, and Contractor shall notify System Agency on delivery of the Work Product if such materials include any Third Party IP.
- C. Contractor shall provide System Agency all supporting documentation demonstrating Contractor’s compliance with this **Section 5.3**, including without limitation documentation indicating a third party’s written approval for Contractor to use any Third Party IP that may be incorporated in the Work Product.

5.4 AGREEMENTS WITH EMPLOYEES AND SUBCONTRACTORS

Contractor shall have written, binding agreements with its employees and subcontractors that include provisions sufficient to give effect to and enable Contractor’s compliance with Contractor’s obligations under this **Article V**.

5.5 DELIVERY UPON TERMINATION OR EXPIRATION

No later than the first calendar day after the termination or expiration of the Contract or upon System Agency’s request, Contractor shall deliver to System Agency all completed, or partially completed, Work Product, including any Incorporated Pre-existing Works, and any and all versions thereof. Contractor’s failure to timely deliver such Work Product is a material breach of the Contract. Contractor will not retain any copies of the Work Product

or any documentation or other products or results of Contractor's activities under the Contract without the prior written consent of System Agency.

5.6 SURVIVAL

The provisions and obligations of this **Article V** survive any termination or expiration of the Contract.

5.7 SYSTEM AGENCY DATA

- A. As between the Parties, all data and information acquired, accessed, or made available to Performing Agency by, through, or on behalf of System Agency or System Agency contractors, including all electronic data generated, processed, transmitted, or stored by Performing Agency in the course of providing data processing services in connection with Performing Agency's performance hereunder (the "**System Agency Data**"), is owned solely by System Agency.
- B. Performing Agency has no right or license to use, analyze, aggregate, transmit, create derivatives of, copy, disclose, or process the System Agency Data except as required for Performing Agency to fulfill its obligations under the Contract or as authorized in advance in writing by System Agency.
- C. For the avoidance of doubt, Performing Agency is expressly prohibited from using, and from permitting any third party to use, System Agency Data for marketing, research, or other non-governmental or commercial purposes, without the prior written consent of System Agency.
- D. Performing Agency shall make System Agency Data available to System Agency, including to System Agency's designated vendors, as directed in writing by System Agency. The foregoing shall be at no cost to System Agency.
- E. Furthermore, the proprietary nature of Performing Agency's systems that process, store, collect, and/or transmit the System Agency Data shall not excuse Performing Agency's performance of its obligations hereunder.

ARTICLE VI. PROPERTY

6.1 USE OF STATE PROPERTY

- A. Contractor is prohibited from using State Property for any purpose other than performing Services authorized under the Contract.
- B. State Property includes, but is not limited to, System Agency's office space, identification badges, System Agency information technology equipment and networks (e.g., laptops, portable printers, cell phones, iPads or tablets, external hard drives, data storage devices, any System Agency-issued software, and the System Agency Virtual Private Network (VPN client)), and any other resources of System Agency.
- C. Contractor shall not remove State Property from the continental United States. In addition, Contractor may not use any computing device to access System Agency's network or e-mail while outside of the continental United States.
- D. Contractor shall not perform any maintenance services on State Property unless the Contract expressly authorizes such Services.
- E. During the time that State Property is in the possession of Contractor, Contractor shall be responsible for:

- i. all repair and replacement charges incurred by State Agency that are associated with loss of State Property or damage beyond normal wear and tear, and
- ii. all charges attributable to Contractor's use of State Property that exceeds the Contract scope. Contractor shall fully reimburse such charges to System Agency within ten (10) calendar days of Contractor's receipt of System Agency's notice of amount due. Use of State Property for a purpose not authorized by the Contract shall constitute breach of contract and may result in termination of the Contract and the pursuit of other remedies available to System Agency under contract, at law, or in equity.

6.2 DAMAGE TO GOVERNMENT PROPERTY

- A. In the event of loss, destruction, or damage to any System Agency or State of Texas owned, leased, or occupied property or equipment by Contractor or Contractor's employees, agents, Subcontractors, and suppliers, Contractor shall be liable to System Agency and the State of Texas for the full cost of repair, reconstruction, or replacement of the lost, destroyed, or damaged property.
- B. Contractor shall notify System Agency of the loss, destruction, or damage of equipment or property within one (1) business day. Contractor shall reimburse System Agency and the State of Texas for such property damage within 10 calendar days after Contractor's receipt of System Agency's notice of amount due.

6.3 PROPERTY RIGHTS UPON TERMINATION OR EXPIRATION OF CONTRACT

In the event the Contract is terminated for any reason, or upon its expiration State Property remains the property of the System Agency and must be returned to the System Agency by the end date of the Contract or upon System Agency's request.

ARTICLE VII. WORK ORDERS

7.1 WORK ORDERS

If the Contract is for indefinite quantities of Services, as specified in the Signature Document, all Work will be performed in accordance with properly executed Work Orders.

7.2 PROPOSALS

For Work Order contracts, the Contractor shall submit to System Agency separate proposals, including pricing and a project plan, for each Project.

7.3 RESPONSIBILITY

For each approved Project, the Contractor shall be responsible for all Work assigned under the Work Order. Multiple Work Orders may be issued during the term of this Contract, all of which will be in writing and signed by the Parties. Each Work Order will include a scope of Services; a list of tasks required; a time schedule; a list of Deliverables, if any; a detailed Project budget; and any other information or special conditions as may be necessary for the Work assigned.

7.4 TERMINATION

If this Work Order is in effect on the day the Contract would otherwise expire, the Contract will remain in effect until this Work Order is terminated or expires; and the Contract and

this Work Order may be amended after such termination or expiration to extend the performance period or add ancillary deliverables or services, only to the extent necessary.

ARTICLE VIII. RECORD RETENTION, AUDIT, AND CONFIDENTIALITY

8.1 RECORD MAINTENANCE AND RETENTION

- A. Contractor shall keep and maintain under GAAP or GASB, as applicable, full, true, and complete records necessary to fully disclose to the System Agency, the Texas State Auditor's Office, the United States Government, and their authorized representatives sufficient information to determine compliance with the terms and conditions of this Contract and all state and federal rules, regulations, and statutes.
- B. Contractor shall maintain and retain legible copies of this Contract and all records relating to the performance of the Contract including supporting fiscal documents adequate to ensure that claims for contract funds are in accordance with applicable State of Texas requirements. These records shall be maintained and retained by Contractor for a minimum of seven (7) years after the Contract expiration date or seven (7) years after the completion of all audit, claim, litigation, or dispute matters involving the Contract are resolved, whichever is later.

8.2 AGENCY'S RIGHT TO AUDIT

- A. Contractor shall make available at reasonable times and upon reasonable notice, and for reasonable periods, work papers, reports, books, records, supporting documents kept current by Contractor pertaining to the Contract for purposes of inspecting, monitoring, auditing, or evaluating by System Agency and the State of Texas.
- B. In addition to any right of access arising by operation of law, Contractor and any of Contractor's affiliate or subsidiary organizations, or Subcontractors shall permit the System Agency or any of its duly authorized representatives, as well as duly authorized federal, state or local authorities, unrestricted access to and the right to examine any site where business is conducted or Services are performed, and all records, which includes but is not limited to financial, client and patient records, books, papers or documents related to this Contract. If the Contract includes federal funds, federal agencies that shall have a right of access to records as described in this section include: the federal agency providing the funds, the Comptroller General of the United States, the General Accounting Office, the Office of the Inspector General, and any of their authorized representatives. In addition, agencies of the State of Texas that shall have a right of access to records as described in this section include: the System Agency, HHSC, HHSC's contracted examiners, the State Auditor's Office, the Texas Attorney General's Office, and any successor agencies. Each of these entities may be a duly authorized authority.
- C. If deemed necessary by the System Agency or any duly authorized authority, for the purpose of investigation or hearing, Contractor shall produce original documents related to this Contract.
- D. The System Agency and any duly authorized authority shall have the right to audit billings both before and after payment, and all documentation that substantiates the billings.

- E. Contractor shall include this provision concerning the right of access to, and examination of, sites and information related to this Contract in any Subcontract it awards.

8.3 RESPONSE/COMPLIANCE WITH AUDIT OR INSPECTION FINDINGS

- A. Contractor must act to ensure its and its Subcontractors' compliance with all corrections necessary to address any finding of noncompliance with any law, regulation, audit requirement, or generally accepted accounting principle, or any other deficiency identified in any audit, review, or inspection of the Contract and the Services and Deliverables provided. Any such correction will be at Contractor's or its Subcontractor's sole expense. Whether Contractor's action corrects the noncompliance shall be solely the decision of the System Agency.
- B. As part of the Services, Contractor must provide to System Agency upon request a copy of those portions of Contractor's and its Subcontractors' internal audit reports relating to the Services and Deliverables provided to the State under the Contract.

8.4 STATE AUDITOR'S RIGHT TO AUDIT

- A. The state auditor may conduct an audit or investigation of any entity receiving funds from the state directly under the Contract or indirectly through a subcontract under the Contract. The acceptance of funds directly under the Contract or indirectly through a subcontract under the Contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, an entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit.
- B. The Contractor shall comply with any rules and procedures of the state auditor in the implementation and enforcement of Section 2262.154 of the Texas Government Code.

8.5 CONFIDENTIALITY

Contractor shall maintain as confidential and shall not disclose to third parties without System Agency's prior written consent, any System Agency information including but not limited to System Agency Data, System Agency's business activities, practices, systems, conditions and services. This section will survive termination or expiration of this Contract. The obligations of Contractor under this section will survive termination or expiration of this Contract. This requirement must be included in all subcontracts awarded by Contractor.

ARTICLE IX. CONTRACT REMEDIES AND EARLY TERMINATION

9.1 CONTRACT REMEDIES

To ensure Contractor's full performance of the Contract and compliance with applicable law, the System Agency reserves the right to hold Contractor accountable for breach of contract or substandard performance and may take remedial or corrective actions, including, but not limited to:

- i. suspending all or part of the Contract;
- ii. requiring the Contractor to take specific actions in order to remain in compliance with the Contract;

- iii. recouping payments made by the System Agency to the Contractor found to be in error;
- iv. suspending, limiting, or placing conditions on the Contractor's continued performance of Work; or
- v. imposing any other remedies, sanctions, or penalties authorized under this Contract or permitted by federal or state law.

9.2 TERMINATION FOR CONVENIENCE

The System Agency may terminate the Contract, in whole or in part, at any time when, in its sole discretion, the System Agency determines that termination is in the best interests of the State of Texas. The termination will be effective on the date specified in the System Agency's notice of termination.

9.3 TERMINATION FOR CAUSE

Except as otherwise provided by the U.S. Bankruptcy Code, or any successor law, the System Agency may terminate the Contract, in whole or in part, upon either of the following conditions:

i. Material Breach

The System Agency will have the right to terminate the Contract in whole or in part if the System Agency determines, in its sole discretion, that Contractor has materially breached the Contract or has failed to adhere to any laws, ordinances, rules, regulations or orders of any public authority having jurisdiction and such violation prevents or substantially impairs performance of Contractor's duties under the Contract. Contractor's misrepresentation in any aspect of Contractor's Solicitation Response, if any, or Contractor's addition to the System for Award Management (SAM) exclusion list will also constitute a material breach of the Contract.

ii. Failure to Maintain Financial Viability

The System Agency may terminate the Contract if, in its sole discretion, the System Agency has a good faith belief that Contractor no longer maintains the financial viability required to complete the Work, or otherwise fully perform its responsibilities under the Contract.

9.4 CONTRACTOR RESPONSIBILITY FOR SYSTEM AGENCY'S TERMINATION COSTS

If the System Agency terminates the Contract for cause, the Contractor shall be responsible to the System Agency for all costs incurred by the System Agency and the State of Texas to replace the Contractor. These costs include, but are not limited to, the costs of procuring a substitute vendor and the cost of any claim or litigation attributable to Contractor's failure to perform any Work in accordance with the terms of the Contract.

ARTICLE X. INDEMNITY

10.1 GENERAL INDEMNITY

A. CONTRACTOR SHALL DEFEND, INDEMNIFY AND HOLD HARMLESS THE STATE OF TEXAS AND SYSTEM AGENCY, AND/OR THEIR OFFICERS, AGENTS, EMPLOYEES, REPRESENTATIVES, CONTRACTORS, ASSIGNEES, AND/OR DESIGNEES FROM ANY AND ALL

LIABILITY, ACTIONS, CLAIMS, DEMANDS, OR SUITS, AND ALL RELATED COSTS, ATTORNEY FEES, AND EXPENSES ARISING OUT OF OR RESULTING FROM ANY ACTS OR OMISSIONS OF CONTRACTOR OR ITS AGENTS, EMPLOYEES, SUBCONTRACTORS, ORDER FULFILLERS, OR SUPPLIERS OF SUBCONTRACTORS IN THE EXECUTION OR PERFORMANCE OF THE CONTRACT AND ANY PURCHASE ORDERS ISSUED UNDER THE CONTRACT.

- B. THIS PARAGRAPH IS NOT INTENDED TO AND WILL NOT BE CONSTRUED TO REQUIRE CONTRACTOR TO INDEMNIFY OR HOLD HARMLESS THE STATE OR THE SYSTEM AGENCY FOR ANY CLAIMS OR LIABILITIES RESULTING FROM THE NEGLIGENT ACTS OF OMISSIONS OF THE SYSTEM AGENCY OR ITS EMPLOYEES.**
- C. For the avoidance of doubt, System Agency shall not indemnify Contractor or any other entity under the Contract.**

10.2 INTELLECTUAL PROPERTY

CONTRACTOR SHALL DEFEND, INDEMNIFY, AND HOLD HARMLESS THE SYSTEM AGENCY AND THE STATE OF TEXAS FROM AND AGAINST ANY AND ALL CLAIMS, VIOLATIONS, MISAPPROPRIATIONS, OR INFRINGEMENT OF ANY PATENT, TRADEMARK, COPYRIGHT, TRADE SECRET, OR OTHER INTELLECTUAL PROPERTY RIGHTS AND/OR OTHER INTANGIBLE PROPERTY, PUBLICITY OR PRIVACY RIGHTS, AND/OR IN CONNECTION WITH OR ARISING FROM:

- i. THE PERFORMANCE OR ACTIONS OF CONTRACTOR PURSUANT TO THIS CONTRACT;**
- ii. ANY DELIVERABLE, WORK PRODUCT, CONFIGURED SERVICE OR OTHER SERVICE PROVIDED HEREUNDER; AND/OR**
- iii. SYSTEM AGENCY'S AND/OR CONTRACTOR'S USE OF OR ACQUISITION OF ANY REQUESTED SERVICES OR OTHER ITEMS PROVIDED TO SYSTEM AGENCY BY CONTRACTOR OR OTHERWISE TO WHICH SYSTEM AGENCY HAS ACCESS AS A RESULT OF CONTRACTOR'S PERFORMANCE UNDER THE CONTRACT.**

10.3 ADDITIONAL INDEMNITY PROVISIONS

- A. CONTRACTOR AND SYSTEM AGENCY AGREE TO FURNISH TIMELY WRITTEN NOTICE TO EACH OTHER OF ANY INDEMNITY CLAIM. CONTRACTOR SHALL BE LIABLE TO PAY ALL COSTS OF DEFENSE, INCLUDING ATTORNEYS' FEES.**
- B. THE DEFENSE SHALL BE COORDINATED BY THE CONTRACTOR WITH THE OFFICE OF THE TEXAS ATTORNEY GENERAL WHEN TEXAS STATE AGENCIES ARE NAMED DEFENDANTS IN ANY LAWSUIT AND CONTRACTOR MAY NOT AGREE TO ANY SETTLEMENT WITHOUT FIRST OBTAINING THE CONCURRENCE FROM THE OFFICE OF THE TEXAS ATTORNEY GENERAL.**
- C. CONTRACTOR SHALL REIMBURSE SYSTEM AGENCY AND THE STATE OF TEXAS FOR ANY CLAIMS, DAMAGES, COSTS, EXPENSES OR OTHER AMOUNTS, INCLUDING, BUT NOT LIMITED TO, ATTORNEYS' FEES AND**

COURT COSTS, ARISING FROM ANY SUCH CLAIM. IF THE SYSTEM AGENCY DETERMINES THAT A CONFLICT EXISTS BETWEEN ITS INTERESTS AND THOSE OF CONTRACTOR OR IF SYSTEM AGENCY IS REQUIRED BY APPLICABLE LAW TO SELECT SEPARATE COUNSEL, SYSTEM AGENCY WILL BE PERMITTED TO SELECT SEPARATE COUNSEL AND CONTRACTOR SHALL PAY ALL REASONABLE COSTS OF SYSTEM AGENCY'S COUNSEL.

ARTICLE XI. GENERAL PROVISIONS

11.1 AMENDMENT

The Contract may only be amended by an Amendment executed by both Parties.

11.2 INSURANCE

- A. Unless otherwise specified in this Contract, Contractor shall acquire and maintain, for the duration of this Contract, insurance coverage necessary to ensure proper fulfillment of this Contract and potential liabilities thereunder with financially sound and reputable insurers licensed by the Texas Department of Insurance, in the type and amount customarily carried within the industry as determined by the System Agency. Contractor shall provide evidence of insurance as required under this Contract, including a schedule of coverage or underwriter's schedules establishing to the satisfaction of the System Agency the nature and extent of coverage granted by each such policy, upon request by the System Agency. In the event that any policy is determined by the System Agency to be deficient to comply with the terms of this Contract, Contractor shall secure such additional policies or coverage as the System Agency may reasonably request or that are required by law or regulation. If coverage expires during the term of this Contract, Contractor must produce renewal certificates for each type of coverage.
- B. These and all other insurance requirements under the Contract apply to both Contractor and its Subcontractors, if any. Contractor is responsible for ensuring its Subcontractors' compliance with all requirements.

11.3 LIMITATION ON AUTHORITY

- A. The authority granted to Contractor by the System Agency is limited to the terms of the Contract.
- B. Contractor shall not have any authority to act for or on behalf of the System Agency or the State of Texas except as expressly provided for in the Contract; no other authority, power, or use is granted or implied. Contractor may not incur any debt, obligation, expense, or liability of any kind on behalf of System Agency or the State of Texas.
- C. Contractor may not rely upon implied authority and is not granted authority under the Contract to:
 - i. Make public policy on behalf of the System Agency;
 - ii. Promulgate, amend, or disregard administrative regulations or program policy decisions made by State and federal agencies responsible for administration of a System Agency program; or

- iii. Unilaterally communicate or negotiate with any federal or state agency or the Texas Legislature on behalf of the System Agency regarding System Agency programs or the Contract. However, upon System Agency request and with reasonable notice from System Agency to the Contractor, the Contractor shall assist the System Agency in communications and negotiations regarding the Work under the Contract with state and federal governments.

11.4 LEGAL OBLIGATIONS

Contractor shall comply with all applicable federal, state, and local laws, ordinances, and regulations, including all federal and state accessibility laws relating to direct and indirect use of information and communication technology. Contractor shall be deemed to have knowledge of all applicable laws and regulations and be deemed to understand them.

11.5 CHANGE IN LAWS AND COMPLIANCE WITH LAWS

Contractor shall comply with all laws, regulations, requirements and guidelines applicable to a vendor providing services and products required by the Contract to the State of Texas, as these laws, regulations, requirements and guidelines currently exist and as amended throughout the term of the Contract. System Agency reserves the right, in its sole discretion, to unilaterally amend the Contract to incorporate any modifications necessary for System Agency's compliance, as an agency of the State of Texas, with all applicable state and federal laws, regulations, requirements and guidelines.

11.6 E-VERIFY PROGRAM

Contractor certifies that for Contracts for Services, Contractor shall utilize the U.S. Department of Homeland Security's E-Verify system during the term of the Contract to determine the eligibility of:

- i. all persons employed by Contractor to perform duties within Texas; and
- ii. all persons, including subcontractors, assigned by the Contractor to perform Work pursuant to the Contract within the United States of America.

11.7 PERMITTING AND LICENSURE

At Contractor's sole expense, Contractor shall procure and maintain for the duration of this Contract any state, county, city, or federal license, authorization, insurance, waiver, permit, qualification or certification required by statute, ordinance, law, or regulation to be held by Contractor to provide the goods or Services required by this Contract. Contractor shall be responsible for payment of all taxes, assessments, fees, premiums, permits, and licenses required by law. Contractor shall be responsible for payment of any such government obligations not paid by its Subcontractors during performance of this Contract.

11.8 SUBCONTRACTORS

Contractor may not subcontract any or all of the Work and/or obligations under the Contract without prior written approval of the System Agency. Subcontracts, if any, entered into by the Contractor shall be in writing and be subject to the requirements of the Contract. Should Contractor Subcontract any of the services required in the Contract, Contractor expressly understands and acknowledges that in entering into such Subcontract(s), System Agency is in no manner liable to any subcontractor(s) of Contractor. In no event shall this provision

relieve Contractor of the responsibility for ensuring that the services performed under all Subcontracts are rendered in compliance with the Contract.

11.9 INDEPENDENT CONTRACTOR

Contractor and Contractor's employees, representatives, agents, Subcontractors, suppliers, and third-party service providers shall serve as independent contractors in providing the services under the Contract. Neither Contractor nor System Agency is an agent of the other and neither may make any commitments on the other party's behalf. Contractor shall have no claim against System Agency for vacation pay, sick leave, retirement benefits, social security, worker's compensation, health or disability benefits, unemployment insurance benefits, or employee benefits of any kind. The Contract shall not create any joint venture, partnership, agency, or employment relationship between Contractor and System Agency.

11.10 GOVERNING LAW AND VENUE

This Contract shall be governed by and construed in accordance with the laws of the State of Texas, without regard to the conflicts of law provisions. The venue of any suit arising under the Contract is fixed in any court of competent jurisdiction of Travis County, Texas, unless the specific venue is otherwise identified in a statute which directly names or otherwise identifies its applicability to the System Agency.

11.11 SEVERABILITY

If any provision of the Contract is held to be illegal, invalid or unenforceable by a court of law or equity, such construction will not affect the legality, validity or enforceability of any other provision or provisions of this Contract. It is the intent and agreement of the Parties this Contract shall be deemed amended by modifying such provision to the extent necessary to render it valid, legal and enforceable while preserving its intent or, if such modification is not possible, by substituting another provision that is valid, legal and enforceable and that achieves the same objective. All other provisions of this Contract will continue in full force and effect.

11.12 SURVIVABILITY

Expiration or termination of the Contract for any reason does not release Contractor from any liability or obligation set forth in the Contract that is expressly stated to survive any such expiration or termination, that by its nature would be intended to be applicable following any such expiration or termination, or that is necessary to fulfill the essential purpose of the Contract, including without limitation the provisions regarding warranty, indemnification, confidentiality, and rights and remedies upon termination.

11.13 FORCE MAJEURE

Neither Contractor nor System Agency shall be liable to the other for any delay in, or failure of performance of, any requirement included in the Contract caused by force majeure. The existence of such causes of delay or failure shall extend the period of performance until after the causes of delay or failure have been removed provided the non-performing party exercises all reasonable due diligence to perform. Force majeure is defined as acts of God, war, fires, explosions, hurricanes, floods, failure of transportation, or other causes that are beyond the reasonable control of either party and that by exercise of due foresight such

party could not reasonably have been expected to avoid, and which, by the exercise of all reasonable due diligence, such party is unable to overcome.

11.14 DISPUTE RESOLUTION

- A. The dispute resolution process provided for in Chapter 2260 of the Texas Government Code must be used to attempt to resolve any dispute arising under the Contract. If the Contractor's claim for breach of contract cannot be resolved informally with the System Agency, the claim shall be submitted to the negotiation process provided in Chapter 2260. To initiate the process, the Contractor shall submit written notice, as required by Chapter 2260, to the individual identified in the Contract for receipt of notices. Any informal resolution efforts shall in no way modify the requirements or toll the timing of the formal written notice of a claim for breach of contract required under §2260.051 of the Texas Government Code. Compliance by the Contractor with Chapter 2260 is a condition precedent to the filing of a contested case proceeding under Chapter 2260.
- B. The contested case process provided in Chapter 2260 is the Contractor's sole and exclusive process for seeking a remedy for an alleged breach of contract by the System Agency if the Parties are unable to resolve their disputes as described above.
- C. Notwithstanding any other provision of the Contract to the contrary, unless otherwise requested or approved in writing by the System Agency, the Contractor shall continue performance and shall not be excused from performance during the period of any breach of contract claim or while the dispute is pending. However, the Contractor may suspend performance during the pendency of such claim or dispute if the Contractor has complied with all provisions of Section 2251.051, Texas Government Code, and such suspension of performance is expressly applicable and authorized under that law.

11.15 NO IMPLIED WAIVER OF PROVISIONS

The failure of the System Agency to object to or to take affirmative action with respect to any conduct of the Contractor which is in violation or breach of the terms of the Contract shall not be construed as a waiver of the violation or breach, or of any future violation or breach.

11.16 MEDIA RELEASES

- A. Contractor shall not use System Agency's name, logo, or other likeness in any press release, marketing material, or other announcement without System Agency's prior written approval. System Agency does not endorse any vendor, commodity, or service. Contractor is not authorized to make or participate in any media releases or public announcements pertaining to this Contract or the Services to which they relate without System Agency's prior written consent, and then only in accordance with explicit written instruction from System Agency.
- B. Contractor may publish, at its sole expense, results of Contractor performance under the Contract with the System Agency's prior review and approval, which the System Agency may exercise at its sole discretion. Any publication (written, visual, or sound) will acknowledge the support received from the System Agency and any Federal agency, as appropriate.

11.17 NO MARKETING ACTIVITIES

Contractor is prohibited from using the Work for any Contractor or third-party marketing, advertising, or promotional activities, without the prior written consent of System Agency. The foregoing prohibition includes, without limitation, the placement of banners, pop-up ads, or other advertisements promoting Contractor's or a third party's products, services, workshops, trainings, or other commercial offerings on any website portal or internet-based service or software application hosted or managed by Contractor as part of the Work.

11.18 PROHIBITION ON NON-COMPETE RESTRICTIONS

Contractor shall not require any employees or Subcontractors to agree to any conditions, such as non-compete clauses or other contractual arrangements that would limit or restrict such persons or entities from employment or contracting with the State of Texas.

11.19 SOVEREIGN IMMUNITY

Nothing in the Contract shall be construed as a waiver of the System Agency's or the State's sovereign immunity. This Contract shall not constitute or be construed as a waiver of any of the privileges, rights, defenses, remedies, or immunities available to the System Agency or the State of Texas. The failure to enforce, or any delay in the enforcement of, any privileges, rights, defenses, remedies, or immunities available to the System Agency or the State of Texas under the Contract or under applicable law shall not constitute a waiver of such privileges, rights, defenses, remedies, or immunities or be considered as a basis for estoppel. System Agency does not waive any privileges, rights, defenses, or immunities available to System Agency by entering into the Contract or by its conduct prior to or subsequent to entering into the Contract.

11.20 ENTIRE CONTRACT AND MODIFICATION

This Contract constitutes the entire agreement of the Parties and is intended as a complete and exclusive statement of the promises, representations, negotiations, discussions, and other agreements that may have been made in connection with the subject matter hereof. Any additional or conflicting terms in any future document incorporated into the Contract will be harmonized with this Contract to the extent possible.

11.21 COUNTERPARTS

This Contract may be executed in any number of counterparts, each of which will be an original, and all such counterparts will together constitute but one and the same Contract.

11.22 CIVIL RIGHTS

- A. Contractor shall comply with all applicable state and federal anti-discrimination laws, including:
- i. Title VI of the Civil Rights Act of 1964 (42 U.S.C. §2000d, *et seq.*);
 - ii. Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. §794);
 - iii. Americans with Disabilities Act of 1990 (42 U.S.C. §12101, *et seq.*);
 - iv. Age Discrimination Act of 1975 (42 U.S.C. §6101, *et seq.*);
 - v. Title IX of the Education Amendments of 1972 (20 U.S.C. §1681, *et seq.*);
 - vi. Food and Nutrition Act of 2008 (7 U.S.C. §2011, *et seq.*); and

- vii. The System Agency's administrative rules, as set forth in the Texas Administrative Code, to the extent applicable to this Agreement.
- B. Contractor shall comply with all amendments to these laws, and all requirements imposed by the regulations issued pursuant to these laws. These laws provide in part that no persons in the United States may, on the grounds of race, color, national origin, sex, age, disability, political beliefs, or religion, be excluded from participation in or denied any service or other benefit provided by Federal or State funding, or otherwise be subjected to discrimination.
- C. Contractor shall comply with Title VI of the Civil Rights Act of 1964, and its implementing regulations at 45 C.F.R. Part 80 or 7 C.F.R. Part 15, prohibiting a contractor from adopting and implementing policies and procedures that exclude or have the effect of excluding or limiting the participation of clients in its programs, benefits, or activities on the basis of national origin. Civil rights laws require contractors to provide alternative methods for ensuring access to services for applicants and recipients who cannot express themselves fluently in English. Contractor shall take reasonable steps to provide services and information, both orally and in writing and electronically, in appropriate languages other than English, to ensure that persons with limited English proficiency are effectively informed and can have meaningful access to programs, benefits, and activities.

Contractor shall post applicable civil rights posters in areas open to the public informing clients of their civil rights and including contact information for the HHS Civil Rights Office. The posters are available on the HHS website at:

<http://hhscx.hhsc.texas.gov/system-support-services/civil-rights/publications>

- D. Contractor shall comply with Section 504 of the Rehabilitation Act of 1973 and its implementing regulations at 28 CFR Subpart G § 42.503, and Americans with Disabilities Act of 1990 and its implementing regulations at 28 CFR Subpart B §35.130 which includes requiring contractor to make reasonable modifications in policies, practices, or procedures when the modifications are necessary to avoid discrimination on the basis of disability, unless the contractor can demonstrate that making the modifications would fundamentally alter the nature of the service, program, or activity.
- E. Contractor shall comply with federal regulations regarding equal treatment for faith-based organizations under 45 C.F.R. Part 87 or 7 C.F.R. Part 16, as applicable. Contractor shall not discriminate against clients or prospective clients on the basis of religion or religious belief, and shall provide written notice to beneficiaries of their rights.
- F. Upon request, Contractor shall provide the HHSC Civil Rights Office with copies of the Contractor's civil rights policies and procedures.
- G. Contractor must notify HHSC's Civil Rights Office of any civil rights complaints received relating to its performance under this Contract. This notice must be delivered no more than ten (10) calendar days after receipt of a complaint. This notice must be directed to:

HHSC Civil Rights Office
701 W. 51st Street, Mail Code W206 Austin, Texas 78751
Phone Toll Free: (888) 388-6332
Phone: (512) 438-4313
Fax: (512) 438-5885.

11.23 ENTERPRISE INFORMATION MANAGEMENT STANDARDS

Contractor shall conform to HHS standards for data management as described by the policies of the HHS Chief Data and Analytics Officer. These include, but are not limited to, standards for documentation and communication of data models, metadata, and other data definition methods that are required by HHS for ongoing data governance, strategic portfolio analysis, interoperability planning, and valuation of HHS System data assets.

11.24 DISCLOSURE OF LITIGATION

- A. The Contractor must disclose in writing to the contract manager assigned to this Contract any material civil or criminal litigation or indictment either threatened or pending involving the Contractor. “Threatened litigation” as used herein shall include governmental investigations and civil investigative demands. “Litigation” as used herein shall include administrative enforcement actions brought by governmental agencies. The Contractor must also disclose any material litigation threatened or pending involving Subcontractors, consultants, and/or lobbyists. For purposes of this section, “material” refers, but is not limited, to any action or pending action that a reasonable person knowledgeable in the applicable industry would consider relevant to the Work under the Contract or any development such a person would want to be aware of in order to stay fully apprised of the total mix of information relevant to the Work, together with any litigation threatened or pending that may result in a substantial change in the Contractor’s financial condition.
- B. This is a continuing disclosure requirement; any litigation commencing after Contract Award must be disclosed in a written statement to the assigned contract manager within seven calendar days of its occurrence.

11.25 NO THIRD-PARTY BENEFICIARIES

The Contract is made solely and specifically among and for the benefit of the Parties named herein and their respective successors and assigns, and no other person shall have any right, interest, or claims hereunder or be entitled to any benefits pursuant to or on account of the Contract as a third-party beneficiary or otherwise.

11.26 BINDING EFFECT

The Contract shall inure to the benefit of, be binding upon, and be enforceable against, each Party and their respective permitted successors, assigns, transferees, and delegates.

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

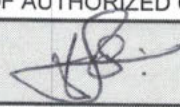
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE CO-OWNER
APPLICANT ORGANIZATION PATIENT CARE SERVICES PHARMACY CONSULTING	DATE SUBMITTED 02/10/2021

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

*** APPLICANT'S ORGANIZATION**

PATIENT CARE SERVICES PHARMACY CONSULTING

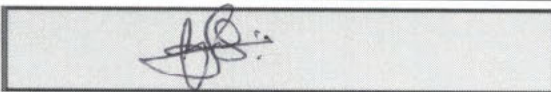
*** PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE**

Prefix: Mr. * First Name: FRANK Middle Name:

* Last Name: SAMA Suffix:

* Title: CO-OWNER

*** SIGNATURE:**



* DATE: 02/10/2021



TEXAS
Health and Human Services

TEXAS DEPARTMENT OF STATE HEALTH SERVICES

OPEN ENROLLMENT (OE)
for
COVID-19 Vaccine Administration Teams

OE No. HHS0009739

NIGP Class/Item No(s):
948/34 & 948/92

TABLE OF CONTENTS

SECTION 1. SCHEDULE OF EVENTS	1
SECTION 2. OVERVIEW	1
2.1. INTRODUCTION	1
2.2. LEGAL AUTHORITY	2
2.3. NO GUARANTEE OF VOLUME, USAGE OR COMPENSATION	2
SECTION 3. DEFINITIONS AND ACRONYMS	2
SECTION 4. GENERAL INFORMATION	4
4.1. SOLE POINT OF CONTACT	4
4.2. CHANGES, MODIFICATIONS AND CANCELLATION	4
4.2.1. ADVERTISEMENT OF CHANGES, MODIFICATIONS OR CANCELLATION	4
4.3. OFFER PERIOD	5
4.4. COSTS INCURRED	5
4.5. OE QUESTIONS OR CLARIFICATIONS	5
4.5.1. QUESTIONS AND REQUESTS FOR CLARIFICATION	5
4.5.2. QUESTION AND CLARIFICATION FORMAT	6
4.5.3. AMBIGUITY, CONFLICT, DISCREPANCY	6
SECTION 5. CONTRACT TERM	6
5.1. TERM OF CONTRACT	7
5.2. EXTENSION OPTION	7
SECTION 6. MINIMUM QUALIFICATIONS	7
6.1 Licensure and Accreditation	7
6.2 Additional Minimum Qualifications for Contractor and Contractor Personnel	8
SECTION 7. STATEMENT OF WORK	8
7.1. PROJECT OVERVIEW	8
7.2. DSHS RESPONSIBILITIES	9
7.3. Statement of Services to be Provided	9
7.3.1. Required Activities Prior to the COVID-19 Vaccination Event	9
7.3.2. The Contractor must complete the following required event site planning activities prior to the COVID-19 Vaccination Event:	10
7.3.3. Required Activities on the COVID-19 Vaccination Event Date	14
7.3.4. Required Activities After the COVID-19 Vaccination Event	17
7.3.5. Prohibition Against the Independent Submission of Health Insurance Claims for COVID-19 Vaccine Administration Fees	18
7.4. PERFORMANCE CRITERIA	18
7.4.1. SPECIFIC PERFORMANCE STANDARDS	18
7.5. CONTRACTOR PERSONNEL PERFORMANCE	19
7.6. NOTICE OF CRIMINAL ACTIVITY	19
7.7. NOTICE OF INSOLVENCY OR INDEBTEDNESS	20
7.8. INVOICE REQUIREMENTS AND PAYMENT	20
7.8.1. INVOICE REQUIREMENTS	20
7.8.2. PAYMENT	21
7.9. DATA USE AGREEMENT (DUA)	22
7.10. TERMS AND CONDITIONS	23
SECTION 8. DSHS CONTRACT ADMINISTRATION	23

SECTION 9. INSURANCE REQUIREMENTS	23
9.1. INSURANCE COVERAGE	23
SECTION 10. CONFIDENTIAL OR PROPRIETARY INFORMATION	25
10.1. PUBLIC INFORMATION ACT	25
10.2. APPLICANT WAIVER – INTELLECTUAL PROPERTY	27
SECTION 11. BINDING OFFER	27
SECTION 12. REQUIRED APPLICATION DOCUMENTS	28
SECTION 13. APPLICATION SUBMISSION REQUIREMENTS	28
13.1. E-MAIL SUBMISSION	29
13.2. RECEIPT OF APPLICATION	30
SECTION 14. SCREENING OF APPLICATIONS	30
14.1. INITIAL SCREENING OF APPLICATIONS	30
14.2. VERIFICATION OF PAST VENDOR PERFORMANCE	31
SECTION 15. AWARD PROCESS	32
15.1. CONTRACT AWARD AND EXECUTION	32
15.2. COMPLIANCE FOR PARTICIPATION IN STATE CONTRACTS	32
15.2.1. REQUIRED PRE-AWARD VERIFICATIONS	32
15.2.2. ADDITIONAL REQUIRED PRE-AWARD VERIFICATIONS	33
15.3. AWARD TO GOVERNMENTAL ENTITIES	34
SECTION 16. DISCLOSURE OF INTERESTED PARTIES	35

SECTION 1. SCHEDULE OF EVENTS

Enrollment Period Opens (Posted to HHS OE Opportunities webpage)	December 11, 2020
Enrollment Period Closes (Final date for RECEIPT of Applications)	August 31, 2021 at 5:00 P.M.
Anticipated Contract Start Date	Effective on the signature date of the latter of the Parties to sign the Contract, if any awarded, and will expire on August 31, 2021, unless earlier terminated or extended pursuant to the terms and conditions of the Contract.

Applications must be **received** by DSHS prior to the closing date as indicated in this Schedule of Events or as changed via an Addendum posted to the HHS Open Enrollment Opportunities webpage. Every Applicant is solely responsible for ensuring its Application is received before the submission period closes. DSHS is not responsible for lost, misdirected or late applications.

The dates in the Schedule of Events are tentative. DSHS reserves the right to modify these dates at any time by posting an Addendum to the HHS Open Enrollment Opportunities webpage.

By submitting an Application, the Applicant represents and warrants that any individual submitting the Application and any related documents on behalf of the Applicant is authorized to do so and to bind the Applicant under any resulting contract.

Withdrawal of Application:

Applications may be withdrawn from consideration or amended at any time prior to the "Enrollment Period Closes" date by emailing a request to the Sole Point of Contact, Section 4.1. The e-mail subject line should contain the OE number and title as indicated on the cover page. The Applicant is solely responsible for ensuring requests are received timely by DSHS. DSHS is not responsible for lost, misdirected or late emails.

SECTION 2. OVERVIEW

2.1. INTRODUCTION

The Texas Department of State Health Services (DSHS) is an agency within the Texas Health and Human Services (HHS) system.

DSHS is seeking Applications to establish Contract(s) to provide COVID-19 vaccination services to residents living in the State of Texas.

To be considered for award, Applicants must submit a comprehensive Application which meets all the requirements of this OE and includes all requested documentation.

2.2. LEGAL AUTHORITY

This OE is authorized by Texas Government Code Sections 531.039 and 2155.144, Texas Health and Safety Code Section 12.051, and Title 1, Texas Administrative Code, §391.205.

2.3. NO GUARANTEE OF VOLUME, USAGE OR COMPENSATION

DSHS does not guarantee any volume, usage, or compensation to be paid to any Contractor under any Contract resulting from this Open Enrollment. Additionally, all contracts resulting from this Open Enrollment are subject to appropriations, the availability of funds, and termination.

SECTION 3. DEFINITIONS AND ACRONYMS

Unless the context clearly indicates otherwise, throughout this Open Enrollment, the definition given to a term below applies whenever the term appears in this Open Enrollment, in any Application submitted in response to this Open Enrollment, and in any Contract awarded as a result of this Open Enrollment. All other terms have their ordinary and common meaning.

TERM	DEFINITION
Addendum	A written clarification or revision to this Open Enrollment. All Addenda will be posted to the HHS Open Enrollment Opportunities web page.
Appendix	Additional information and/or forms that are available in addition to this Open Enrollment document.
Application	All information and materials submitted by an Applicant in response to this Open Enrollment.
Applicant	Any person or entity that submits an Application in response to this Open Enrollment. May also be referred to as Respondent.
Contract	Any Contract(s) awarded resulting from this Open Enrollment.
Contractor (Provider)	Each Applicant, if any, awarded a Contract as a result of this Open Enrollment. May also be referred to as Provider. Unless the context clearly indicates otherwise, all terms and conditions of this Open Enrollment and resulting Contract that refer to Applicant apply with equal force to Contractor (Provider).

TERM	DEFINITION
Debarment	An exclusion from contracting or subcontracting with state agencies on the basis of cause set forth in Title 34, Texas Administrative Code, §20.585.
Deliverables	Goods or services contracted for delivery or performance.
Event	An approved DSHS COVID-19 vaccination clinic organized and facilitated within a DSHS Public Health Region.
HHS Agency	The Health and Human Services Commission (HHSC) and the Texas Department of Health and Human Services (DSHS) may be identified separately as a 'HHS Agency' or collectively as the 'HHS Agencies' in this Open Enrollment or any resulting Contract(s)
HHS Open Enrollment Opportunities	The HHS web page where Open Enrollments are posted: https://apps.hhs.texas.gov/pcs/openenrollment.cfm
HHS Language Line	Translation services provided by an HHS Contractor that can be utilized by HHS Enterprise Agencies.
Open Enrollment (OE)	This document, including all exhibits, attachments, and addenda, as applicable, posted on the HHS Open Enrollment Opportunities webpage.
Statement of Work	The description of services and deliverables in this Open Enrollment that the Contractor (Provider) is required to provide under the Contract.
Standing Delegation Orders (SDOs)	Written instructions, orders, rules, regulations, or procedures prepared by a physician. SDOs provide authority and a plan for use with patients presenting themselves prior to being examined or evaluated by a physician. Provides clinical authority for specific acts of immunization clinical services under authority of Title 22, Texas Administrative Code Chapter 193, Standing Delegation Orders. Additional information can be accessed here: https://www.immunize.org/catg.d/p3066.pdf .
Vaccine Administration Teams (VAT's)	Applicant or organizations with the resources required to assemble and deploy vaccine administration teams to administer the COVID-19 vaccine in community or facility settings in one or more Texas counties.

TERM	DEFINITION
Vaccine Adverse Event Reporting System (VAERS)	A national vaccine safety surveillance program run by Center for Disease Control (CDC) and the Food and Drug Administration (FDA). VAERS serves as an early warning system to detect possible safety issues with U.S. vaccines by collecting information about adverse events (possible side effects or health problems) that occur after vaccination.

Refer to Exhibit B, Health and Human Services (HHS) Uniform Terms and Conditions – Vendor, Version 3.1, for additional definitions.

SECTION 4. GENERAL INFORMATION

4.1. SOLE POINT OF CONTACT

All questions, requests for clarification, or other communication about this OE shall be made in writing only to the DSHS sole point of contact listed below.

Attempts to ask questions by phone or in person will not be allowed or recognized as valid.

Jennifer Boggs
Contract Manager
Email: Jennifer.Boggs@dshs.texas.gov

To be considered for contract award, applications must only be submitted to this address. See Section 13 for submission requirements.

Do not contact other HHS Agency personnel regarding this OE.

This restriction, as to only communicating in writing with the DSHS sole point of contact identified above, does not preclude discussions between Applicant and agency personnel for the purposes of conducting business unrelated to this OE.

4.2. CHANGES, MODIFICATIONS AND CANCELLATION

DSHS reserves the right to change, amend, modify or cancel this OE at any time.

All Applications, including those submitted after cancellation of the OE, become the property of DSHS upon receipt.

4.2.1. ADVERTISEMENT OF CHANGES, MODIFICATIONS OR CANCELLATION

If DSHS determines that the OE needs to be changed or modified, either an addendum will be posted on the OE Opportunities webpage or the OE will be canceled. The action to be taken will be determined at the sole discretion of DSHS. Furthermore, if the OE will be canceled, DSHS will determine, in its sole discretion, if a new OE will be posted.

No HHS Agency will be responsible or liable in any regard for the failure of any individual or entity to receive notification of any posting to the OE Opportunities webpage.

It is the responsibility of each Applicant to monitor the OE Opportunities webpage for any Addenda or additional information regarding this OE. Failure to monitor the OE Opportunities webpage will in no way release or relieve any Applicant or Contractor of its obligations to fulfill the requirements as posted.

4.3. OFFER PERIOD

By submitting an Application in response to this OE, Applicant agrees that its Application will remain a firm and binding offer to enter into a Contract under all terms and conditions of this OE for at least 240 days from the date applications are due, as stated in Exhibit A, HHS Solicitation Affirmations, unless withdrawn by the Applicant before the Enrollment Period closes.

An Applicant may extend the time for which its Application will be honored and include the extended period in the Application.

4.4. COSTS INCURRED

DSHS accepts no obligations for costs incurred in preparing, submitting, and screening an Application, including, but not limited to, costs or expenses related to contract execution.

Applicants understand that issuance of this OE or retention of Applications in no way constitutes a commitment by DSHS to award a Contract. All Applications shall be prepared simply and economically, providing a straightforward, concise delineation of the Applicant's capabilities to satisfy the requirements of this OE and submitted at the sole expense of the Applicant.

4.5. OE QUESTIONS OR CLARIFICATIONS

4.5.1. QUESTIONS AND REQUESTS FOR CLARIFICATION

Written questions and requests for clarification regarding this OE are permitted if submitted by e-mail to the Sole Point of Contact, Section 4.1.

Responses to questions and requests for clarification will not be posted. However, if DSHS determines, based on a question, request for clarification, or any other factor (including, but not limited to notices of ambiguity, conflict, or discrepancy as reference in Section 4.5.3, below), that the OE needs to be amended or clarified, either an addendum will be posted on the OE Opportunities webpage or the OE will be canceled. The action to be taken will be determined at the sole discretion of DSHS. Furthermore, if the OE will be canceled, DSHS will determine, in its sole discretion, if a new OE will be posted.

4.5.2. QUESTION AND CLARIFICATION FORMAT

Questions and requests for clarification must include the following information:

- a. the OE Number
- b. the question or request for clarification, providing the following information:
 - OE language, topic, section heading
 - Section, Paragraph and Page number(s) or Exhibit/Attachment

The requestor must provide the following contact information:

- Company Name
- Company Representative Name
- Phone Number
- E-Mail address

4.5.3. AMBIGUITY, CONFLICT, DISCREPANCY

Applicants must notify the Sole Point of Contact, Section 4.1, of any ambiguity, conflict, discrepancy, exclusionary specification, omission or other error in the OE. Notices must be submitted in the same manner for submitting questions.

Each Applicant submits its Application at its own risk.

If an Applicant fails to properly and timely notify the Sole Point of Contact, Section 4.1, of any ambiguity, conflict, discrepancy, exclusionary specification, omission or other error in the OE, the Applicant, whether awarded a contract or not:

- a. shall have waived any claim of error or ambiguity in the OE and any resulting contract,
- b. shall not contest the interpretation by DSHS of such provision(s), and
- c. shall not be entitled to additional compensation, relief, or time by reason of ambiguity, conflict, discrepancy, exclusionary specification, omission, or other error or its later correction.

SECTION 5. CONTRACT TERM

5.1. TERM OF CONTRACT

DSHS may award one or more Contracts under this OE.

Any Contract resulting from this OE will be effective on the signature date of the latter of the Parties to sign the agreement and will expire on August 31, 2021, unless terminated earlier pursuant to the terms and conditions of the Contract.

5.2. EXTENSION OPTION

DSHS, at its sole option and subject to availability of funding, may extend the Contract beyond the initial term for up to one year as necessary to ensure continuity of service, to process a new OE to award new contract(s), for purposes of transition, or as otherwise determined to serve the best interest of the State of Texas.

SECTION 6. MINIMUM QUALIFICATIONS

To be eligible to apply for a Contract and receive an award, Applicant(s), must be eligible, qualified and meet all requirements of this OE. Applicant requirements apply with equal force to Contractors and Providers awarded contracts under this OE.

6.1 Licensure and Accreditation

Applicant and all personnel and technicians assigned to provide services under the Contract must have all permits, licenses, and certifications required by applicable law.

Assigned personnel and technicians, who may include department directors or equivalent positions, providing services that, by law, require a professional license or certification, must hold a current, valid, and applicable Texas license and/or certification in good standing.

Contractor is responsible for ensuring all Contractor staff and subcontractors, if any, hold current, valid, and applicable licenses and/or certifications in good standing.

A copy of each permit, license, certification, registration or other evidence of authority to practice for both the Applicant and all assigned personnel, as applicable, must be submitted with the Application.

Each Contractor is required to maintain all required permits, licenses, and certifications for the business during the term of the Contract. The Contractor and Contractor's personnel and subcontractors, if any, must also maintain their individual required permits, licenses, and certifications during the term of the Contract. All required permits, licenses, and/or certifications must be included with submitted Applications. Contractor must submit all required permits, licenses, and certifications to the assigned contract manager for additional

Contractor's personnel and subcontractors, if any, added to the VAT after Contract execution. During annual contract reviews, Contractor shall provide updated licenses and/or certifications at DSHS's request.

6.2 Additional Minimum Qualifications for Contractor and Contractor Personnel

Applicants must meet the requirements below to be considered eligible to apply at the time the OE application is submitted. Applicant must continue to meet these conditions throughout the selection, contract and funding process. DSHS expressly reserves the right to review and analyze the documentation submitted and to request additional documentation to determine the entity's eligibility to execute a Contract with DSHS.

- a. An Applicant must be eligible to receive federal or state funds (e.g. not debarred or not excluded or terminated).
- b. An Applicant must not be debarred from receiving any federal or state funds at the time of the Contract award. A list of debarred vendors may be viewed at:
http://comptroller.texas.gov/procurement/prog/vendor_performance/debarred/.
- c. An Applicant must be in good standing as listed on the SAM database located at: <https://www.sam.gov/SAM/>.
- d. If the Applicant is a Texas legal or professional entity, the Applicant must be "active" or willing to become an "active" business entity authorized to do business in the state of Texas, as listed on the Texas Comptroller of Public Accounts' database located at:
<https://comptroller.texas.gov/purchasing/vendor/information.php> or
<https://comptroller.texas.gov/taxes/franchise/faq/taxable-entities.php>.
- e. Have and provide current proof of liability insurance, such as pharmacist liability insurance, professional liability insurance, malpractice insurance, professional business liability insurance or an equivalent form of insurance/coverage upon request by DSHS.
- f. A Provider must accept the terms of this OE, and all Exhibits, including the Data Use Agreement ("DUA"), without exception.

SECTION 7. STATEMENT OF WORK

7.1. PROJECT OVERVIEW

To achieve the highest level of COVID-19 vaccination coverage among the 29.68 million residents living in the State of Texas, DSHS will be utilizing the services of the vaccine administration teams (VATs) enrolled under this OE to administer the COVID-19 vaccines in community or facility settings in one or more counties across the state.

DSHS Public Health Regions will be working in conjunction with local public health entities and other community partners to secure Event locations to host COVID-19 vaccination events. DSHS Public Health Regions will also advertise COVID-19 vaccination events and provide a mechanism for individuals to pre-register for these Events.

VATs deployed under this OE to host a community or facility COVID-19 vaccination event must be comprised of unique individuals serving in the following roles:

- One on-site project manager per COVID-19 vaccination event;
- One supervising physician (licensed in the State of Texas) per COVID-19 vaccination event;
- One vaccinator per 25 clients;
- One vaccinator clinical assistant per 25 clients;
- One administrative team member responsible for greeting/patient flow per 25 clients;
- One administrative team member responsible for documentation per 25 clients;
- One crowd control team member per 100 clients; and
- One security guard per 100 clients.

The supervising physician may also serve in the capacity of a vaccinator, but all other roles must be occupied by separate individuals for the Event. In addition to appropriately assembling the individuals required for the VAT, the Applicant must be equipped to deploy the minimum required supplies and conduct the required activities outlined in section 7.3 by the COVID-19 vaccination Event date.

Once pre-registration closes for a specified COVID-19 vaccination event, Contractors enrolled under this OE will be eligible to provide vaccine administration services for COVID-19 vaccination events that occur within a county in which they are enrolled to provide services. Once activated, the entity must be prepared to provide the required activities outlined below by the Event date.

7.2. DSHS RESPONSIBILITIES

- Identifying the site;
- Establishing agreements with identified site locations;
- Advertising the Event;
- Coordination with OE Contractor through activation letter regarding established vaccine site;
- Submitting claims to insurance companies for vaccine administration fees;
- Providing the OE Contractor training and technical support; and
- Checking the vaccines in/out to the OE Contractor.

7.3. Statement of Services to be Provided

7.3.1. Required Activities Prior to the COVID-19 Vaccination Event

1. Develop Event Site Plan

7.3.2. The Contractor must complete the following required event site planning activities prior to the COVID-19 Vaccination Event:

A. Event Site Plan Development and Prior Approval

1. Coordinate with Event facility manager to develop an Event site plan which includes conducting a site survey to determine proper station layout, client flow, and address any potential security issues.
2. Submit the final Event plan to the designated DSHS Public Health Region for the Event location. This Event plan must include:
 - a) Flow diagram for the layout of the specific facility;
 - b) Site security plan;
 - c) Site parking plan (or road flow for drive-through events);
 - d) Staffing plan/roster of Event core staff, including full name, license numbers (where applicable), email addresses, and phone numbers; and
 - e) Plans for the disposal of biohazard materials and used sharps container;
 - f) Methods to encourage physical distancing and provide one-way flow through the clinic or as part of a Vaccination Event.
3. Event plans must be approved by the designated DSHS Public Health Region prior to the Event date.

B. Secure Event Staff

The Contractor must assemble the following team for the COVID-19 Vaccination Event:

- One on-site project manager per COVID-19 vaccination Event;
- One supervising physician (licensed in the State of Texas) per COVID-19 vaccination event;
- One vaccinator per 25 clients;
- One vaccinator clinical assistant per 25 clients;
- One administrative team member responsible for greeting/patient flow per 25 clients;
- One administrative team member responsible for documentation per 25 clients;
- One crowd control team member per 100 clients; and
- One security guard per 100 clients.

The supervising physician may also serve in the capacity of a vaccinator, but all other roles must be occupied by separate individuals for the Event. All other roles must be occupied by separate individuals uniquely serving in a single role on the event date.

In some communities, it may be necessary to ensure that at least one team member speaks a language other than English. OE Contractor must be prepared to address language barriers through the inclusion of team members who can speak the identified foreign language (as indicated by the designated DSHS Public Health Region) or by using the HHS Language Line (as coordinated by the DSHS Public Health Region).

C. Secure Supplies and Materials

Respondent (the Contractor) must secure sufficient supplies to meet the needs of staff and the highest anticipated number of patients (pre-registered clients + 25%). These supplies include the resources outlined below by category.

Vaccine Administration Supplies

- Alcohol Prep Pads, Sterile
- Band-Aids, Adhesive
- Cotton Balls, Sterile
- Needles (as recommended by the designated DSHS Public Health Region; some supplied vaccines may come with appropriate needles)
- Syringes (as recommended by the designated DSHS Public Health Region; some supplied vaccines may come with appropriate syringes)
- Vaccination Record Cards for Clients (as recommended by the designated DSHS Public Health Region; some supplied vaccines may come with appropriate vaccine record cards)

Clinical Supplies

- Biohazard Bucket and Bags
- Cleaning Sprays/Wipes, Sanitizing Products (Using EPA's Registered Antimicrobial Products for Use Against Novel Coronavirus SARS-Cov-2)
- Face Coverings for Clients Who Arrive Without One
- Gauze Pads
- Hand Sanitizer
- Hand Sanitizer Dispensers
- Medical Gloves
- Paper Towels
- Partition Screens
- Privacy Screens
- Sharps Containers
- Table and Chairs for Client and Vaccinator at Each Vaccinator Station
- Table Covers, Disposable (i.e. Blue Chux, allowing for changes between clients)
- Tall Trash Cans and Heavy-Duty Trash Bags
- 1 Thermometer (Touchless, Infrared) and Thermometer Battery per 25 pre-registered clients
- Tissues

Medical Emergency Supplies

- Antihistamines (diphenhydramine [Benadryl], hydroxyzine [Atarax, Vistaril], and syringes if needed)
- Automated External Defibrillator (AED)
- Cell Phone or Land Line to Call 911
- Emergency First Aid Kit
- Epinephrine in Prefilled Autoinjector Or Prefilled Syringe (various doses), prepackaged syringes, vials, or ampules (Epi-pens)

Personal Protective Equipment for ALL Event Staff

- Face Coverings/Masks
- Gloves (Multiple Sizes)
- Face Shields
- Disposable Gowns

Vaccine Storage and Handling Materials, at a minimum:

- One Digital Data Logger for Each Vaccine Storage Unit/Container
- One High-Capacity Portable Vaccine Cooler for Frozen Vaccines
- One High-Capacity Portable Vaccine Cooler for Refrigerated Vaccines
- Smaller Vaccine Storage Units for Easy Vaccinator Access at Each Vaccination Station

Event Materials

- Badges for Event Staff IDs
- Canopies (For Outdoor Events)
- Chairs for Client Post-Vaccination Waiting Area (For Indoor Events)
- Chairs for Client Waiting Area (For Indoor Events)
- Chairs for Event Staff
- Clip Boards
- Cones (To encourage physical distancing and provide one-way flow through the clinic)
- File Boxes
- Hard Plastic Barriers on Greeter Tables
- Internet Connected Laptop or Tablet
- MiFi devices for Internet Connections
- Posts, brackets, or easels for outdoor signs
- Printer
- Printer Ink
- Printer Paper
- Reflective Vests for Event Staff
- Rope Stands for Crowd Control
- Ropes for Crowd Control
- Scissors
- Stapler and Staples
- Table Drapes
- Table(s) for Documentation Area(s)
- Table(s) for Event Greeter(s)
- Table(s) for Vaccine Prep Area(s)
- Tape, Floor Tape to Mark Physically Distanced Positions in Line
- Tape, Masking Tape for Event Signage
- Walkie Talkies for Event Staff Communication

Printed Materials

- COVID-19 Screening Checklist
- DSHS-supplied Event Materials (Banners, Welcome Signs, Posters, etc.)
- Event Signage
- HIPAA Privacy Statement
- ImmTrac2 Consent Forms
- Emergency Use Authorization (EUA)/Vaccine Information Statement (VIS)
- EUA/VIS Addendum

Plan Event Communication Protocol

- Determine how communication at the event will be accomplished (verbal and/or non-verbal means)
- Establish communication plan for directing clients through the event

D. Ensure All Event Staff Complete Required Training

Infection Control Practices Training required for event staff includes the following:

- How to Use Personal Protective Equipment: How to Properly Don (Put On) And Off (Take Off) PPE, And How to Properly Dispose Of PPE
- Cardiopulmonary Resuscitation (CPR) and Basic Life Support (BLS) for all Vaccinators
- How to Use the Laptops/Tablets, Digital Thermometers, and Any Other Devices That Will Be Used During the Event
- The Supervising Physician's Plan for Medical Management of an Adverse Event; Clinical Staff Should Understand Their Roles in Implementing the Plan
- How to Use the Vaccine Storage Units Expected to Be Used During the Event
- Vaccine storage, handling, preparation, and administration for ALL the vaccine(s) being offered, using manufacturer instructions for the vaccine and CDC and Advisory Committee on Immunization Practices (ACIP) guidance found in:
 - CDC's Vaccine Storage and Handling Toolkit
 - Vaccine Administration Recommendations and Guidelines Training should include an observation component. Validate staff knowledge of and skills in vaccine administration with a skills checklist.
 - You Call the Shots training programs about specific vaccines
 - Data Logger Training
- Required Trainings as directed by the designated DSHS Public Health Region. These include:
 - Training on the DSHS system for documenting vaccine encounters during the event
 - Training on the DSHS system for collecting ImmTrac2 consent forms
 - Training on the DSHS Data Logger you will be issued on the event day by the COVID-19 Vaccine Storage Facility
 - Training on the Vaccine Adverse Event Reporting System (VAERS)
 - Training on How to Prepare All Physical Event Paperwork for Submission to the Appropriate Texas Public Health Entity

E. Prepare for Vaccine Storage & Handling

- Ensure plans are in place for maintaining vaccine at appropriate temperatures while it is stored and throughout the clinic day based on vaccine storage and handling guidance
- Identify location on the site plan where appropriate electricity outlets are available
- A contingency plan should also be in place, in case vaccines are delayed or compromised and need to be replaced
- Plan to bring any necessary surge protectors and extension cords (with labels to NOT unplug from the outlet)

F. Test and Prepare All Event Day Equipment

- Test connections and operability of any computers, tablets, and printers
- Test the operability of thermometers
- Test the operability of vaccine storage units
- If mobile vaccine storage units are not in use, they must be conditioned (powered with regulated temperatures) in anticipation of the Event date.

G. Finalize Clinic Security

- Identify options for on-site security in coordination with the Event facility manager
- Designate a space or system to secure vaccine and protect clinic staff and their valuables

H. Reference Clinic Event Checklists

- CDC Checklist of Best Practices for Vaccination Clinics Held at Satellite, Temporary, or Off-Site Locations. Retrieved from <https://www.izsummitpartners.org/content/uploads/2019/02/off-site-vaccination-clinic-checklist.pdf>
- Immunization Action Coalition Skills Checklist for Vaccine Administration. Retrieved from <https://www.immunize.org/catg.d/p7010.pdf>
- Exhibit H: Recommendations for Portable Vaccine Refrigerators and Portable Vaccine Freezers

7.3.3. Required Activities on the COVID-19 Vaccination Event Date

A. Vaccine Pick-Up at Assigned COVID-19 Vaccine Storage Facility

Contractors providing services under this OE will NOT be required to order or store COVID-19 vaccines. Instead, they will be given a pick-up location within 75 miles of the Event location for the collection of the appropriate quantity of COVID-19 vaccine. Vaccines must be picked up and dropped off on the Event date.

At the time of vaccine pick-up, Contractor will be required to:

1. Accept and set up the data logger issued by the assigned COVID-19 Vaccine Storage Facility; issued data loggers must be properly and continuously used from the time vaccines are picked up to the time they are dropped off;
2. Check the expiration dates of vaccines (and diluents, if applicable) during pick-up. (Note: If you are using multidose vials, be sure to review "beyond use dates" (BUD), along with expiration dates;
3. Ensure that vaccines are being kept in proper storage equipment that maintains the manufacturer-recommended temperature range from the time when vaccine is picked up to the time when the vaccine is dropped off (i.e., a portable vaccine refrigerator or qualified container and pack-out specifically designed and tested to maintain correct temperatures when opened and closed during the clinic);
4. Ensure that vaccines are being kept in proper storage equipment that is appropriate for the volume of vaccine being picked up; and
5. Ensure the vaccine arrives at the Event at least 1 hour before the Event start time.

B. Event Setup

To prepare for the Event, Contractor will be required to:

1. Please allow at least 2 hours prior to the Event for setup;
2. Post signage throughout the Event space;

3. Designate a clean area for vaccine preparation and set the area up prior to the clinic;
4. Designate a qualified individual to oversee infection control throughout the Event;
5. Distribute a sufficient supply of PPE to staff, including face masks, gloves, and, if appropriate, eye shields;
6. Place a sufficient supply of face coverings out for visitors and patients who may not have one;
7. Place a sufficient supply of hand sanitizer out for staff and patients to repeatedly practice hand hygiene;
8. Set up additional controls, such as counters and plastic shields, to minimize contact where patients and staff interact (e.g., registration or screening areas);
9. Post signs, barriers, and floor markers to instruct patients to remain 6 feet apart from other patients and clinic staff;
10. Distribute a sufficient supply of thermometers to check patient temperatures prior to entering the vaccination clinic and COVID symptom checklists;
11. Ensure Event staff are wearing identification cards or other identification (vests, shirts, etc.), as appropriate;
12. Designate a team member to provide the vaccinator stations updates and wait times throughout the Event;
13. Distribute cleaning supplies so workspaces can be cleaned regularly;
14. Plan to cleanse and disinfect vaccination stations at a minimum of every hour, between shifts, and if station areas become visibly soiled. Incorporate other CDC/EPA guidance as appropriate for your clinic circumstances;
15. Ensure Event staff is wearing appropriate PPE;
16. Ensure supplies such as tissues, hand sanitizer, and wastebaskets are readily accessible throughout the Event space;
17. Plan to ensure that any gloves worn by those administering vaccine, are changed, and that hand hygiene is performed between patients; and
18. Plan to provide extra cleaning and sanitizing support. Frequently clean and disinfect all patient service counters and patient contact areas, including frequently touched objects and surfaces such as workstations, keyboards, telephones, and doorknobs.

C. On-site Vaccine Storage and Handling

The purpose of the vaccine “cold chain” is to maintain product quality from the time of manufacture until the point of administration by ensuring that vaccines are stored and transported within CDC-recommended temperature ranges. See Exhibit H for Recommendations for Portable Vaccine Refrigerators and Portable Vaccine Freezers.

To ensure that the vaccine “cold-chain” is maintained, Contractor will be required to:

1. Monitor and document vaccine temperatures as required throughout the day;
2. Be familiar with CDC’s Vaccine Storage and Handling Toolkit, Exhibit I which provides guidance on safe and effective vaccine management practices for all health care providers;

3. Review and record vaccine temperatures at least once an hour to ensure they remain at correct temperatures;
4. Ensure the vaccine storage containers are being kept closed as much as possible;
5. Ensure the vaccines are being protected from light;
6. Ensure vaccines are being prepared in a clean, designated medication area, away from any potentially contaminated items;
7. If using reconstituted vaccines, ensure they are being prepared according to the manufacturer's guidelines;
8. Ensure the vaccines are being prepared at the time of administration;
9. If vaccines are pre-drawn from a multidose vial, ensure that only the contents of 1 multidose vial are being drawn up at one time by each staff member administering vaccines (the maximum number of doses per vial is described in the package insert);
10. If using single-dose or multidose vials, ensure the syringes are being labeled with the name of the vaccine; and
11. Once drawn up, ensure that vaccines are being kept in the recommended temperature range (use the manufacturer's guidelines regarding the specific time limits for being out of the recommended temperature range).

D. Vaccination Process

During the vaccination process, Contractor is required to:

1. Ensure the client was screened for eligibility, if vaccination is limited to certain populations;
2. Ensure the client was screened for contraindications and precautions;
3. Distribute Emergency Use Authorization (EUA)/Vaccine Information Statement (VIS) fact sheets prior to vaccine administration;
4. Ensure the client has provided signed consent;
5. Ensure the following happens during vaccine preparation:
 - a. Vaccine is prepared in a designated area;
 - b. The cold chain is maintained until time for administration;
 - c. Staff is safely handling and disposing of needles and syringes; and
 - d. No more than 1 multidose vial or number as indicated by the manufacturer's package insert is drawn up at one time by each vaccinator;
6. Ensure the patient flow is being monitored to avoid drawing up unnecessary doses;
7. Ensure that hand hygiene is being performed before vaccine preparation, between patients, and any time hands become soiled. If gloves are worn, they should be changed, and hand hygiene should be performed between patients;
8. Ensure that vaccinators are following manufacturer instructions and federal vaccine administration guidance related to dose, site, and route. Resources can be found at these sites:
 - a. Epidemiology and Prevention of Vaccine-Preventable Diseases; and
 - b. CDC Vaccine Administration Resource Library;
9. Ensure that each vaccination is being documented and that clients are receiving documentation for their personal records, including information about scheduling a second vaccination appointment, if needed;
10. Ensure that the client is given a record of the vaccines she/he received; and

11. Ensure that clients are observed after vaccination:
 - a. Walk-through clinics: Patients should be observed in a waiting area for 15 minutes after vaccination for syncope (fainting) or other adverse events.
 - b. Curbside or drive-through clinics: Drivers should be directed to a waiting area for 15 minutes and checked before they leave. This is critical at a drive-through immunization clinic because of the potential for injury when the vaccinated person is driving a car.

E. Shutting Down the Clinic/Event

Properly cleaning the vaccination Event space following the Event allows our community and facility partners to know how much we value our partnership with them. Contractor must ensure that/to:

1. Any remaining viable vaccine is appropriately stored and handled to protect the cold chain;
2. All remaining vaccine in syringes is discarded according to protocol;
3. Properly dispose of trash;
4. All biohazardous material is disposed of properly;
5. Wipe down all hard surface supplies & equipment prior to breaking down; and
6. Wipe down exposed skin with body wipes.

F. Documentation & Reporting

Contractor must ensure that:

1. All vaccines administered are properly documented in the DSHS system for documenting vaccine encounters during the Event;
2. Any vaccine administration errors were reported to all appropriate Contractors;
3. Any needlestick injuries were recorded in a sharps injury log and reported to all appropriate Contractors; and
4. Any paper records recorded during the vaccination event are prepared for submission to the appropriate Texas Public Health Entity.

G. Vaccine Drop-Off

Contractor must ensure that:

1. Unused vaccines must be returned on the same day;
2. Viable, unused vaccine is placed back in proper storage equipment that maintains the manufacturer-recommended temperature range at the end of the clinic day; and
3. The Vaccine Storage Facility (where you picked up the vaccines) will accept any excess vaccines not used during the COVID-19 vaccination event and will collect the data loggers that were distributed for use on the Event day;
 - Returned vaccines will be placed in quarantine (separated by mobile storage unit) until the data logger data for the mobile storage unit is read and cleared (no temperature excursions identified).

7.3.4. Required Activities After the COVID-19 Vaccination Event

OE Contractor may not retain any Event documentation and will be required to deliver Event-related documentation to the to the appropriate Texas Public Health Entity within 7 days of the Event date.

7.3.5. Prohibition Against the Independent Submission of Health Insurance Claims for COVID-19 Vaccine Administration Fees

Contractor is strictly prohibited from collecting health insurance information from Event participants with the intention of independently submitting health insurance claims for vaccine administration fees. Contractor is strictly prohibited from filing health insurance claims for Event vaccine administration fees.

7.4. PERFORMANCE CRITERIA

DSHS will look solely to the Contractor(s) for the performance of all contractual obligations resulting from an award based on this OE.

No Contractor will be relieved of its obligations for any nonperformance by its subcontractors. Contractor must ensure that its subcontractors abide by all requirements, terms, and conditions of this Contract. Unless the context clearly indicates otherwise, every requirement and every prohibition set forth in this OE and any resulting contract that applies to a Contractor applies with equal force to its employees, agents, representatives, and subcontractors.

Contractor must ensure credentialing compliance that meets all state and federal requirements for all individuals participating as a member of the VAT. Such individuals must hold, and maintain throughout the Contract term, the necessary license, permit, accreditation, and/or certification required by law to engage in the role assigned. DSHS reserves the right to release VAT members who have not met all credentialing or licensing requirements. DSHS will not be responsible to Contractor for any costs or expenses associated with released VAT members.

7.4.1. SPECIFIC PERFORMANCE STANDARDS

Contractor shall comply with all obligations and duties under the Contract. In addition, the Contractor shall adhere to the following performance standards:

DSHS will monitor the entity's performance of the requirements in the resulting Contract and compliance with the resulting Contract's terms and conditions. To determine the effectiveness of the entity providing COVID-19 vaccine administration services, DSHS will monitor how the entity completes the following performance measures:

1. Ensuring that 100% of COVID-19 vaccination events are properly staffed and equipped;
2. Ensuring that 100% of COVID-19 vaccination events begin on time;
3. Ensuring that 100% of unused vaccines are properly dropped off on the day

- of the Event with an accompanying data logger that was in use from the time the vaccines were picked up;
4. Ensuring that 100% of COVID-19 vaccine administration data is entered in the DSHS system for documenting vaccine encounters within 24 hours of the vaccine administration;
 5. Submitting any vaccine administration errors or needlestick injuries to all appropriate Contractors; and
 6. Ensuring that any paper records recorded during the vaccination event were timely submitted to the appropriate Texas Public Health Entity.

7.5. CONTRACTOR PERSONNEL PERFORMANCE

- A.** Contractor shall not employ or contract with or permit the employment of unfit or unqualified persons or persons not skilled in the tasks assigned to them.
- B.** The Contractor shall at all times employ sufficient personnel to carry out functions and services in the manner and time prescribed by the Contract.
- C.** The Contractor shall be responsible for the acts and omissions of the Contractor's employees, agents (including, but not limited to, lobbyists) and subcontractors and shall enforce strict discipline among the Contractor's employees, agents (including, but not limited to, lobbyists) and subcontractors performing the services under the Contract.
- D.** DSHS, at its sole discretion, may request in writing the immediate removal of any Contractor personnel or subcontractor personnel from the services being provided under the Contract. Upon such request, Contractor shall immediately remove the subject personnel and submit in writing to DSHS, within 10 calendar days of DSHS's request for removal, confirmation of the removal and assurance of continued, compliant Contract performance.

7.6. NOTICE OF CRIMINAL ACTIVITY

At the time of submission, Applicants shall provide confirmation that the Applicant, any person with ownership or controlling interest in Applicant, and Applicant's agents, employees, subcontractors and volunteers who will be providing the required services:

- a. have not engaged in any activity that does or could constitute a criminal offense equal to or greater than a Class A misdemeanor or grounds for disciplinary action by a state or federal regulatory authority; and
- b. have not been placed on community supervision, received deferred adjudication, or been indicted for or convicted of a criminal offense relating to involvement in any financial matter, federal or state program, or sex crime.

This is a continuing disclosure requirement; prior to Contract award, if any, Applicants must notify the DSHS Sole Point of Contact within five days of the date Applicant learns of actions set forth in subsections (a) and (b) above. Additionally, this is a continuing disclosure requirement for each Contractor, during the term of the Contract, to immediately report, in writing, to the DSHS contract manager when Contractor learns of or has any reason to believe it or any person with ownership or controlling interest in Contractor, or any of Contractor's agents, employees, subcontractors or volunteers has: engaged in any activity that does or could constitute a criminal offense equal to or greater than a Class A misdemeanor or grounds for disciplinary action by a state or federal regulatory authority; or been placed on community supervision, received deferred adjudication, or been indicted for or convicted of a criminal offense relating to the involvement in any financial matter, federal or state program, or sex crime.

Contractor shall not permit any person who engaged, or was alleged to have engaged, in any activity subject to reporting under this section to perform direct client services or have direct contact with clients, unless otherwise directed in writing by the DSHS contract manager.

Personnel with sex offender, child or adult abuse, or fraud offenses shall not be allowed to provide Contract services and shall not be allowed access to HHS Agency property, facilities, or documents.

Key personnel with misdemeanor offenses must receive prior approval by the HHS Agency before being allowed to work under this contract.

DSHS, at its sole discretion, may terminate any Contract if Contractor, its agents, employees, subcontractors, or volunteers are arrested, indicted, or convicted of any criminal activity.

7.7. NOTICE OF INSOLVENCY OR INDEBTEDNESS

At the time of submission, Applicants shall provide with the Application detailed written descriptions of any insolvency, incapacity, and outstanding unpaid obligations of Applicant owed to the Internal Revenue Service (IRS) or the State of Texas, or any agency or political subdivision of the State of Texas. This is a continuing disclosure requirement; prior to Contract award, if any, Applicants must notify the DSHS Sole Point of Contact within five days of the date Applicant learns of such financial circumstances after submission of the Application. Additionally, Contractors are under a continuing obligation to notify the DSHS contract manager, as applicable, within five days of the date Contractor learns of such financial circumstances after Contract award.

7.8. INVOICE REQUIREMENTS AND PAYMENT

7.8.1. INVOICE REQUIREMENTS

Contractor shall submit to DSHS detailed and accurate invoice(s) which include the information below. Each invoice must be submitted via e-mail in the format prescribed by DSHS, not later than 30 calendar days after completion of each Event.

Final reimbursement requests must be submitted no later than 45 calendar days following the end of the applicable term for services rendered during the term.

The e-mail address for submitting an invoice is: invoices@dshs.texas.gov, cmsinvoices@dshs.texas.gov & cc david.acosta@dshs.texas.gov or amanda.mendez@dshs.texas.gov

The invoice shall include, at a minimum:

- a. Contractor's Name;
- b. Agency Number – 537;
- c. Order Date;
- d. Document Amount;
- e. Payee Name and Address;
- f. Tax Identification Number;
- g. Contract and Purchase Order Number;
- h. Identification of services provided; and
- i. Service date(s).

No payment will be made under this Contract without submission of detailed, accurate invoices submitted as outlined.

7.8.2. PAYMENT

Contracts issued under this OE will be paid using Exhibit G - DSHS COVID-19 Vaccination Events in Texas Communities Facilities – Unit Cost v2. Please find general payment information below.

Initial Vaccination Event Rate (Events Last for 1 Day):

In the table below, the "First Event Base Rate" for each Contractor includes the expense of consumable goods, procuring vaccine storage units, other one-time purchase goods, and the expense of the minimum required staffing for the VATs. Invoicing for "Supplemental Payments" is based on the number of completed vaccine administration records entered into the DSHS system for documenting vaccine encounters.

Planned Event Size	First Event Base Rate	Supplemental Payments
25 Pre-Registered Clients	\$25,000	\$145 per client for each vaccine administration record entered above 25
50 Pre-Registered Clients	\$27,500	\$145 per client for each vaccine administration record entered above 50
75 Pre-Registered Clients	\$30,000	\$145 per client for each vaccine administration record entered above 75
100 Pre-Registered Clients	\$32,500	\$145 per client for each vaccine administration record entered above 100

Subsequent OE Entity Event Rate (Events Last for 1 Day)

In the table below, the "Subsequent Event Base Rate" for each Contractor's subsequent Event includes the expense of consumable goods and the expense of the minimum required staffing for the VATs. Invoicing for "Supplemental Payments" is based on the number of completed vaccine administration records in the DSHS system for documenting vaccine encounters.

Planned Event Size	Subsequent Event Base Rate	Supplemental Payments
25 Pre-Registered Clients	\$10,000	\$145 per client for each vaccine administration record entered above 25
50 Pre-Registered Clients	\$12,500	\$145 per client for each vaccine administration record entered above 50
75 Pre-Registered Clients	\$15,000	\$145 per client for each vaccine administration record entered above 75
100 Pre-Registered Clients	\$17,500	\$145 per client for each vaccine administration record entered above 100

7.9. DATA USE AGREEMENT (DUA)

By submitting an Application and, if applicable, signing a Contract resulting from this OE, Applicant agrees to the terms of the Data Use Agreement, Exhibit D. The Applicant must complete, sign, and return with its Application, Exhibit E, Attachment 2 (Texas HHS System - Data Use Agreement – Attachment 2, Security and Privacy Initial Inquiry (SPI)).

7.10. TERMS AND CONDITIONS

Submission of an Application in response to this OE constitutes acceptance of all Terms and Conditions attached to, referenced, or set forth in the OE. Applicant shall not submit additional or different terms and conditions.

Any term, condition, or other part of an Applicant's submitted application that has been rejected by DSHS, that is not accepted in writing by DSHS, or that conflicts with applicable law, this OE, any resulting Contract, or applicable terms and conditions will not constitute part of the Contract.

SECTION 8. DSHS CONTRACT ADMINISTRATION

DSHS will designate a Contract Manager and provide the manager's contact information to the Contractor.

After award of any Contract resulting from this OE, all communications related to the Contract will be processed through the designated Contract Manager. Additional requirements apply to legal notices which must be provided to the HHS Chief Counsel as well as the Contract Manager.

SECTION 9. INSURANCE REQUIREMENTS

Contractor must have and provide current proof of liability insurance, such as pharmacist liability insurance, professional liability insurance, malpractice insurance, professional business liability insurance or an equivalent form of insurance/coverage upon request by DSHS.

9.1. INSURANCE COVERAGE

In its Application, Applicant must provide a statement of its intent to obtain and maintain for the term of the Contract (and any renewal periods or additional extensions) the minimum insurance coverage specified or, as applicable, any bonds required. Applicant should also describe other insurance coverage maintained by Applicant in the ordinary course of business and provide proof of same in its Application. DSHS may request any form of proof of insurance or bond coverage as DSHS, in its sole discretion, deems necessary.

Contractor shall submit bond documentation and current certificates of insurance or other proof acceptable to DSHS at the time of notification of a potential award and such proof must be received by DSHS prior to execution of any contract.

DSHS may designate a deadline for submission of proof of required insurance or bonds. Failure to timely submit acceptable proof may result in DSHS's revocation of the award.

Contractor shall maintain the required insurance during the initial term and any renewal or extension period exercised. Contractor shall be responsible for ensuring its subcontractors are in compliance with all applicable insurance and bond requirements.

SPECIFIC INSURANCE REQUIREMENTS

For the full term of the Contract, including the original Contract term and all periods of renewal and all additional extensions, if any, Contractor and its Subcontractors, if any, shall obtain and maintain all insurance coverage as set forth below. Contractor is responsible for ensuring its Subcontractors' compliance with all requirements.

Commercial General Liability

Occurrence Based:

Bodily Injury and Property Damage

Each occurrence Limit: \$1,000,000

Aggregate Limit: \$2,000,000

Medical Expense Each Person: \$5,000

Personal Injury and Advertising Liability: \$1,000,000

Products / Completed Operations Aggregate Limit: \$2,000,000

Damage to Premises Rented to HHSC or Affiliated Entity: \$50,000

All required bonds and insurance shall be in a form satisfactory to DSHS and must be issued by companies or financial institutions that:

- (1) have both a Financial Strength Rating of "A" or better from A.M. Best Company, Inc.;
- (2) have a Financial Size Category Class of "VII" or better from A.M. Best Company, Inc.; and
- (3) are duly licensed, admitted and authorized to do business under the laws of the State of Texas.

DSHS shall be named as the obligee in each required bond.

All required insurance contracts must:

- (1) be written on a primary and non-contributory basis with any other insurance coverages the Contractor currently has in place; and
- (2) include a Waiver of Subrogation Clause in favor of the State of Texas and its officers, directors, and employees for bodily injury (including death), property damage or any other loss.

Each insurance policy, other than workers' compensation, employer's liability and professional liability, must name the State of Texas and its officers, directors, and employees as additional insureds on the original policy and all renewals or replacements.

The insurance shall be evidenced by delivery to DSHS of certificates of insurance executed by the insurer or its authorized agency stating coverage, limits, expiration dates, and compliance with all required provisions. Upon request, DSHS shall be entitled to receive, without expense, certified copies of the policies and all

endorsements. Except as otherwise provided herein, required coverage must remain in full force and effect throughout the term of the Contract and any extensions thereof, and provide adequate coverage for incidents discovered after termination of the Contract.

Contractor shall:

- (1) provide written notice to contract management office by email at Jennifer.Boggs@dshs.texas.gov at least 30 calendar days prior to any cancellation, non-renewal, or material change of a required policy;
- (2) ensure all insurance policies and certificates of insurance for required coverage are written to include all products, services, and locations related to Contractor's performance under the Contract; and
- (3) deliver to contract management office by email at Jennifer.Boggs@dshs.texas.gov all renewal policies at least ten (10) calendar days prior to any expiration of a required policy. All renewal policies and corresponding certificates of insurance must meet all terms set forth herein.

Contractor must submit original certificates of insurance for each required insurance contract, and any renewals thereof, within 15 days after contract execution. Renewal certificates shall be submitted prior to or at least days after expiration of the existing policy. Applicants must submit required bonds when and as provided in sections of this OE outlining bond requirements.

Contractor shall ensure that all Contract provisions concerning liability, duty, and standard of care, together with all indemnification provisions, shall be underwritten by contractual liability coverage sufficient to include the obligations under any contract awarded as a result of this OE. In addition, Contractor shall be responsible for ensuring all Subcontractors used in the performance of the Contract maintain the insurance required in this section (covering all goods and services provided by the Subcontractors) throughout the Contract term and all renewals.

SECTION 10. CONFIDENTIAL OR PROPRIETARY INFORMATION

10.1. PUBLIC INFORMATION ACT

Applicant Requirements Regarding Disclosure

Applications and contracts are subject to the Texas Public Information Act (PIA), Texas Government Code [Chapter 552](#), and may be disclosed to the public upon request. Other legal authority also requires HHSC to post certain contracts and Applications on HHSC's website and to provide such information to the Legislative Budget Board for posting on its website.

Under the PIA, certain information is protected from public release. If Applicant asserts that information provided in its Application is exempt from disclosure under the PIA, Applicant must:

- a. **Mark Original Application:**

- (1) Mark the original Application, on the top of the front page, the words "CONTAINS CONFIDENTIAL INFORMATION" in large, bold, capitalized letters (the size of, or equivalent to, 12-point Times New Roman font or larger); and
- (2) Identify, adjacent to each portion of the Application that Applicant claims is exempt from public disclosure, the claimed exemption from disclosure (NOTE: no redactions are to be made in the original Application);

b. Certify in Original Application - HHS Solicitation Affirmations

(attached as Exhibit A to this OE): certify, in the designated section of the Affirmations and Solicitation Acceptance, Applicant's confidential information assertion and the filing of its Public Information Act Copy; and

c. Submit Public Information Act Copy of Application: submit a separate "Public Information Act Copy" of the original Application (in addition to the original and all copies otherwise required under the provisions of this OE). The Public Information Act Copy must meet the following requirements:

- (1) The copy must be clearly marked as "Public Information Act Copy" on the front page in large, bold, capitalized letters (the size of, or equivalent to, 12-point Times New Roman font or larger);
- (2) Each portion Applicant claims is exempt from public disclosure must be redacted; and
- (3) Applicant must identify, adjacent to each redaction, the claimed exemption from disclosure. Each identification provided as required in subsection (c) of this section must be identical to those set forth in the original Application as required in section a.(2), above. The only difference in required markings and information between the original Application and the "Public Information Act Copy" of the Application will be redactions - which can only be included in the "Public Information Act Copy." There must be no redactions in the original Application.

By submitting an Application to this OE, Applicant agrees that, if Applicant does not mark the original Application, provide the required certification in the Affirmations and Solicitation Acceptance, and submit the Public Information Act Copy, Applicant's Application will be considered to be public information that may be released to the public in any manner including, but not limited to, in accordance with the Public Information Act, posted on HHSC's and/or DSHS's public website, and posted on the Legislative Budget Board's website.

If Applicants submit partial, but not complete, information suggesting inclusion of confidential information and failure to comply with the requirements set forth in this section, DSHS, in its sole discretion, reserves the right to (1) disqualify all Applicants that fail to fully comply with the requirements set forth in this section, or (2) to offer all Applicants that fail to

fully comply with the requirements set forth in this section additional time to comply.

Applicant should not submit a Public Information Act Copy indicating that the entire Application is exempt from disclosure. Merely making a blanket claim that the entire Application is protected from disclosure because it contains any amount of confidential, proprietary, trade secret, or privileged information is not acceptable, and may make the entire Application subject to release under the PIA.

Applications should not be marked or asserted as copyrighted material. If Applicant asserts a copyright to any portion of its Application, by submitting an Application, Applicant agrees to reproduction and posting on public websites by the State of Texas, including HHSC and all other state agencies, without cost or liability.

DSHS will strictly adhere to the requirements of the PIA regarding the disclosure of public information. As a result, by participating in this OE process, Applicant acknowledges that all information, documentation, and other materials submitted in the Application in response to this OE may be subject to public disclosure under the PIA. HHSC does not have authority to agree that any information submitted will not be subject to disclosure. Disclosure is governed by the PIA and by rulings of the Office of the Texas Attorney General. Applicants are advised to consult with their legal counsel concerning disclosure issues resulting from this process and to take precautions to safeguard trade secrets and proprietary or otherwise confidential information. DSHS assumes no obligation or responsibility relating to the disclosure or nondisclosure of information submitted by Applicants.

For more information concerning the types of information that may be withheld under the PIA or questions about the PIA, refer to the *Public Information Act Handbook* published by the Office of the Texas Attorney General, or contact the attorney general's Open Government Hotline at (512) 478-OPEN (6736) or toll-free at (877) 673-6839 (877-OPEN TEX). The *Public Information Act Handbook* may be accessed at:

<https://www.texasattorneygeneral.gov/open-government/members-public>

10.2. APPLICANT WAIVER – INTELLECTUAL PROPERTY

SUBMISSION OF ANY DOCUMENT TO ANY HHS AGENCY IN RESPONSE TO THIS OE CONSTITUTES AN IRREVOCABLE WAIVER, AND AGREEMENT BY THE SUBMITTING PARTY TO FULLY INDEMNIFY THE STATE OF TEXAS, DSHS FROM ANY CLAIM OF INFRINGEMENT BY DSHS REGARDING THE INTELLECTUAL PROPERTY RIGHTS OF THE SUBMITTING PARTY OR ANY THIRD PARTY FOR ANY MATERIALS SUBMITTED TO HHS BY THE SUBMITTING PARTY.

SECTION 11. BINDING OFFER

All Applications should be responsive to the OE as issued or amended through written and posted Addenda, not with any assumption that DSHS will negotiate any or all terms, conditions, or provisions of the OE. Furthermore, all Applications constitute binding offers. **Any Application that includes any type of disclaimer or other statement indicating that the Application submitted in response to this OE does not constitute a binding offer may be disqualified.**

SECTION 12. REQUIRED APPLICATION DOCUMENTS

<p>Documentation Required for Submission All documentation listed must be returned for a complete Application. Provide the documentation in the same sequence as outlined below by using the Item number(s) and title(s) as necessary.</p>
<p>1. Exhibit A – HHS Solicitation Affirmations – Completion and Signature Required ^{Required} Completion and Signature Required. Important Note: Applications received without the signed Exhibit A will be disqualified.</p>
<p>2. Public Information Act Copy, if applicable</p>
<p>3. OE Addenda, if applicable - Signed</p>
<p>4. Minimum Qualifications – Section 6 Licensure or Accreditation Provide current copies of all required Licensure and Accreditation for the Applicant and Applicant’s personnel as applicable.</p>
<p>5. Exhibit E - DUA, Attachment 2 (Security and Privacy Inquiry) - Completion and Signature Required</p>
<p>6. Exhibit J - Federal-Assurances-Non-Construction - Completion and Signature Required</p>
<p>7. Exhibit K - Lobbying-Certification - Completion and Signature Required</p>
<p>8. Form A - Face Page – Completion and Signature Required</p>
<p>9. Form B - Open Enrollment Submission Checklist – Completion Required</p>
<p>10. Form C - Contact Person Form- Completion Required</p>
<p>11. Form D - Enrollment Application– Completion and Signature Required</p>
<p>12. Form E - Vendor Information Form – Completion and Signature Required</p>
<p>13. Form F - Notice of Criminal Offense – Self reporting – Completion and Signature Required</p>
<p>14. Form G – List of Texas Counties - Selection Required</p>

SECTION 13. APPLICATION SUBMISSION REQUIREMENTS

The Application must be submitted in accordance with this section and Section 12.

The complete Application must be submitted to:

Contract Management Section (CMS)

Email: Jennifer.Boggs@dshs.texas.gov & cc Lucia.Kelley@dshs.texas.gov

Each Applicant is solely responsible for ensuring its Application is submitted in accordance with all OE requirements and ensuring timely receipt by DSHS.

In no event will DSHS be responsible or liable for any delay or error in

submission or delivery.

The Application must be submitted by e-mail.

13.1. E-MAIL SUBMISSION

Each Applicant is solely responsible for ensuring its Application is submitted in accordance with all OE requirements, including, but not limited to, the Appendix A, Checklist for Submission and ensuring timely e-mail receipt by DSHS.

The Application, including all documentation outlined in Appendix A, Checklist for Submission, must be sent in its entirety in one or more e-mails.

In no event will DSHS be responsible or liable for any delay or error in delivery. Applications must be RECEIVED by DSHS before the OE period closes as identified in Schedule of Events, Section 1, or subsequent Addenda.

The e-mail subject line should contain the OE number, title as indicated on the cover page and number of e-mails if more than one (e.g., E-mail 1 of #, etc.). The Applicant is solely responsible for ensuring that Applicant's complete electronic Application is sent to, and actually RECEIVED by DSHS at the proper destination server before the submission deadline.

IMPORTANT NOTE: DSHS recommends a 10MB limit on each attachment. This may require Applicants to send multiple e-mails to DSHS at e-mail address to ensure all documentation contained in an Application is received.

All documents should be submitted in Microsoft office® formats (Word® and Excel®, as applicable) or in a form that may be read by Microsoft office® software. Any documents with signatures shall be submitted as an Adobe® portable document format (pdf) file. DSHS is not responsible for documents that cannot be read or converted. Unreadable applications may be, in DSHS'S sole discretion, rejected as nonresponsive.

Please be aware Internet Service Providers may limit file sizes on outgoing emails; therefore, it is recommended Applications not contain graphics, pictures, letterheads, etc., which consume a lot of space. These typically include *.tif/*.tiff, *.gif, & *.bmp file extensions, but may use others, as well. DSHS's firewall virus protection runs at all times, so during times of new active virus alerts, incoming traffic may be delayed while virus software scans emails with attachments. DSHS takes no responsibility for e-mailed Applications that are captured, blocked, filtered, quarantined or otherwise prevented from reaching the proper destination server by any DSHS anti-virus or other security software.

Applicants may email the Sole Point of Contact, Section 4.1 to request confirmation of receipt.

13.2. RECEIPT OF APPLICATION

All Applications become the property of [DSHS upon receipt and will not be returned to Applicants.

DSHS will NOT be held responsible for any Application that is mishandled by the Applicant, any Applicant's delivery or mail service or for Applications sent by e-mail that are captured, blocked, filtered, quarantined or otherwise prevented from reaching the proper destination server by any DSHS anti-virus or other security software.

Applications received after the OE Period closes will not be considered.

SECTION 14. SCREENING OF APPLICATIONS

Neither issuance of this OE nor retention of Applications constitutes a commitment on the part of DSHS to award a Contract. DSHS maintains the right to reject any or all Applications and to cancel this OE if DSHS, in its sole discretion, considers it to be in the best interests of DSHS to do so.

Submission and retention of Applications by DSHS confers no legal rights upon any Applicant.

DSHS reserves the right to select qualified Applicants to this OE with or without discussion of the Applications with Applicants. It is understood by Applicant that all Applications, contracts, and related documents are subject to the Texas Public Information Act.

14.1. INITIAL SCREENING OF APPLICATIONS

An initial screening of Applications will be conducted by DSHS to determine which Applications are deemed to be responsive and qualified for further consideration for award. This screening includes a review to determine that each Applicant meets the minimum requirements, qualifications and each Application includes all required documentation.

DSHS reserves the right to:

- a. Ask questions or request clarification from any Applicant at any time during the OE and screening process, and
- b. Conduct studies and other investigations as necessary to evaluate any Application.

Informalities:

DSHS reserves the right to waive minor informalities in an Application. A "minor informality" is an omission or error that, in DSHS's determination if waived or modified when screening Applications, would not give an Applicant an unfair

advantage over other Applicants or result in a material change in the Application or OE requirements.

DSHS, at its sole discretion, may give an Applicant the opportunity to submit missing information or make corrections. The missing information or corrections must be submitted to the Sole Point of Contact e-mail address in Section 4.1 by the deadline set by DSHS. Failure to respond before the deadline may result in DSHS' rejecting the Application and the Applicant not being considered for award.

Note: Any disqualifying factor set forth in this OE does not constitute an informality (e.g., Exhibit A, HHS Solicitation Affirmations, and Exhibit E, Data Use Agreement, Attachment 2, which must be signed and submitted with the Application).

14.2. VERIFICATION OF PAST VENDOR PERFORMANCE

DSHS reserves the right to conduct studies and other investigations as necessary to evaluate any Application. By submitting an Application, the Applicant generally releases from liability and waives all claims against any party providing information about the Applicant at the request of DSHS.

Applicants may be rejected as a result of unsatisfactory past performance under any contract(s) as reflected in vendor performance reports, reference checks, or other sources.

An Applicant's past performance may be considered in the initial screening process and prior to making an award determination.

Reasons for which an Applicant may be denied a contract include, but are not limited to:

- a. Applicant has an unfavorable report or grade on the CPA Vendor Performance Tracking System (VPTS).
VPTS may be accessed at:
<https://comptroller.texas.gov/purchasing/programs/vendor-performance-tracking/>
OR,
- b. Applicant is currently under a corrective action plan through DSHS, OR,
- c. Applicant has had repeated, negative vendor performance reports for the same reason, OR,
- d. Applicant has a record of repeated non-responsiveness to vendor performance issues, OR,
- e. Applicant has contracts or purchase orders that have been cancelled in the previous 12 months for non-performance or sub-standard performance.

In addition, DSHS may examine other sources of vendor performance which may include information provided by any governmental entity, whether an agency or

political subdivision of the State of Texas, another state, or the Federal government.

The performance information may include, but is not limited to:

- Notices of termination,
- Cure notices,
- Assessments of liquidated damages,
- Litigation,
- Audit reports, and
- Non-renewals of contracts.

Further, DSHS, at its sole discretion, may initiate investigations or examinations of vendor performance based upon media reports. Any negative findings, as determined by DSHS in its sole discretion, may result in DSHS's removing the Applicant from further consideration for award.

SECTION 15. AWARD PROCESS

15.1. CONTRACT AWARD AND EXECUTION

DSHS, at its sole discretion, reserves the right to cancel this OE at any time or decline to award any contracts as a result of this OE.

DSHS intends to award one or more contracts as a result of this OE.

All awards are contingent upon approval of the HHSC Executive Commissioner or the HHSC Executive Commissioner's designee.

15.2. COMPLIANCE FOR PARTICIPATION IN STATE CONTRACTS

15.2.1. REQUIRED PRE-AWARD VERIFICATIONS

In addition to the initial screening process, the following verification checks are required to be conducted for each Applicant to determine compliance for participating in State contracts.

The Applicant's Legal Name and, if applicable, Assumed Business Name (D.B.A.) will be used to conduct these checks.

Applicants found to be barred, prohibited, or otherwise excluded from contract award will be disqualified from further consideration.

A. State of Texas Debarment

Must not be debarred from doing business with the State of Texas through the Comptroller of Public Accounts (CPA):

<https://comptroller.texas.gov/purchasing/programs/vendor-performance-tracking/debarred-vendors.php>

B. System of Award Management (SAM) Exclusions List - Federal

Must not be excluded from contract participation at the federal level. This verification is conducted through SAM, official website of the U.S. Government which may be accessed at this link:

<https://www.sam.gov/SAM/pages/public/searchRecords/search.jsf>

Note: If the link does not work, copy/paste the link into browser bar.

C. Divestment Statute Lists

Must not be listed on the Divestment Statute Lists provided by CPA which may be accessed at:

<https://comptroller.texas.gov/purchasing/publications/divestment.php>

1. Companies that boycott Israel;
2. Scrutinized Companies with Ties to Sudan;
3. Scrutinized Companies with Ties to Iran;
4. Designated Foreign Terrorist Organizations; and
5. Scrutinized Companies with Ties to Foreign Terrorist Organizations.

D. HHS Office of Inspector General

Must not be listed on the HHS Office of Inspector General Texas Exclusions List for people or businesses excluded from participating as provider: <https://oig.hhsc.texas.gov/exclusions>

E. U.S. Department of Health and Human Services

Must not be listed on the U.S. Department of Health and Human Services Office of Inspector General's List of Excluded Individuals/Entities (LEIE), excluded participation as provider, unless a valid waiver is currently in effect: <https://exclusions.oig.hhs.gov/>

15.2.2. ADDITIONAL REQUIRED PRE-AWARD VERIFICATIONS

After the checks performed in Section 15.2.1, the following verifications will be conducted for each Applicant. The verifications will be based on the legal name and, if applicable, the Assumed Business Name (D.B.A.),

and/or the Secretary of State (SOS) charter number, the Federal ID or Texas Payee ID numbers, or the CPA Franchise Tax number provided, as applicable, on Exhibit A, HHS Solicitation Affirmations.

The results of the checks below will be used to further consider an Applicant for award and may result in disqualification.

A. Texas Franchise Tax Status

The Texas franchise tax is a privilege tax imposed on each taxable entity formed or organized in Texas or doing business in Texas. Although not all entities are required to file or pay franchise taxes, DSHS will process a search of the Applicant through the CPA Franchise Tax system to verify the Applicant is in good standing.

Franchise tax checks may reveal as to applicable entities (1) debts or delinquencies owed to the state (implicating contracting limitations) and (2) forfeiture of the right to transact business in Texas.

B. Texas Warrant Hold Status

The check for warrant holds through the CPA is required to determine if an Applicant is on hold for any reason. [Texas Government Code Section 2252.903](#) requires agencies to verify the warrant hold status no earlier than the seventh day before and no later than the day of contract execution for transactions involving a written contract. In accordance with Section 3.3 of Exhibit C, Uniform Terms and Conditions, payments under any contract resulting from this OE will be applied directly toward eliminating the Applicant's debt or delinquency regardless of when it arises.

C. Texas Secretary of State

Must be registered, if required by law, with the Texas Secretary of State as a public or private entity eligible to do business in Texas: <https://direct.sos.state.tx.us/acct/acct-login.asp>

15.3. AWARD TO GOVERNMENTAL ENTITIES

If Applicant is a governmental entity, responding to this OE in its capacity as a governmental entity, certain terms and conditions may not be applicable including, but not limited to, any HSP requirement. Furthermore, to the extent permitted by law, if an Application is received from a governmental entity, DSHS reserves the right to enter into an interagency or interlocal agreement with the governmental entity.

SECTION 16. DISCLOSURE OF INTERESTED PARTIES

Subject to certain specified exceptions, Section 2252.908 of the TEX. GOV'T CODE ANN., Disclosure of Interested Parties, applies to a contract of a state agency that has a value of at least \$1 million or a contract that requires an action or vote by the governing body of the agency before the contract may be signed. One of the requirements of Section 2252.908 is that a business entity (defined as "any entity recognized by law through which business is conducted, including a sole proprietorship, partnership, or corporation") must submit a Form 1295, Certificate of Interested Parties, to the state agency at the time the business entity submits the signed contract to the agency.

Applicant represents and warrants that, if selected for award of a contract, as a result of this OE, Applicant will submit to DSHS a Certificate of Interested Parties at the time Applicant submits the signed contract. Form 1295 involves an electronic process through the Texas Ethics Commission (TEC).

Information regarding the on-line process for completing Form 1295 is available at:

https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm

For further information:

Reference Section 2252.908 of the Texas Government Code which can be accessed at:

<https://statutes.capitol.texas.gov/Docs/GV/htm/GV.2252.htm#2252.908>

Title 1, Chapter 46, Disclosure of Interested Parties of the Texas Administrative Code which can be accessed at:

[https://texreg.sos.state.tx.us/public/readtac\\$ext.ViewTAC?tac_view=4&ti=1&pt=2&ch=46&rl=Y](https://texreg.sos.state.tx.us/public/readtac$ext.ViewTAC?tac_view=4&ti=1&pt=2&ch=46&rl=Y)

If the potential awardee does not timely submit a completed, certified and signed TEC Form 1295 to DSHS with the signed Contract, DSHS is prohibited by law from executing a contract, even if the potential awardee is otherwise eligible for award. Each qualified Applicant will be subject to this procedure.

Solicitation No. HHS0009739

Exhibit A. HHS SOLICITATION AFFIRMATIONS

In this document, HHS includes both the Health and Human Services Commission (HHSC) and the Department of State Health Services (DSHS). System Agency refers to HHSC, DSHS, or both, that will be a party to any contract resulting from the Solicitation. In this document, the terms Respondent, Contractor, Applicant, and Vendor, when referring to the following affirmations (whether framed as certifications, representations, warranties, or in other terms) refer to Respondent, and the affirmations apply to all Respondents regardless of their business form (e.g., individual, partnership, corporation).

Respondent must provide information, as applicable, and affirms, without exception, as follows:

1. Respondent represents and warrants that all certifications, representations, warranties, and other provisions in this Affirmations and Solicitation Acceptance apply to Respondent and all of Respondent's principals, officers, directors, shareholders, partners, owners, agents, employees, subcontractors, independent contractors, and any other representatives who may provide services under, who have a financial interest in, or otherwise are interested in this Solicitation or any contract resulting from this Solicitation.
2. **Complete and Accurate Information.** Respondent represents and warrants that all statements and information provided to HHS are current, complete, and accurate. This includes all statements and information in this Solicitation Response.
3. **Public Information Act.** Respondent understands that HHS will comply with the Texas Public Information Act (Chapter 552 of the Texas Government Code) as interpreted by judicial rulings and opinions of the Attorney General of the State of Texas. Information, documentation, and other material prepared and submitted in connection with this Solicitation or any resulting contract may be subject to public disclosure pursuant to the Texas Public Information Act. In accordance with Section 2252.907 of the Texas Government Code, Respondent is required to make any information created or exchanged with the State pursuant to the contract, and not otherwise excepted from disclosure under the Texas Public Information Act, available in a format that is accessible by the public at no additional charge to the State.
4. **Contracting Information Requirements.** Respondent represents and warrants that it will comply with the requirements of Section 552.372(a) of the Texas Government Code. Except as provided by Section 552.374(c) of the Texas Government Code, the requirements of Subchapter J (Additional Provisions Related to Contracting Information), Chapter 552 of the Government Code, may apply to the contract and the Respondent agrees that the contract can be terminated if the Respondent knowingly or intentionally fails to comply with a requirement of that subchapter.
5. **Confidential or Proprietary Information.** Respondent acknowledges its obligation to specifically identify information it contends to be confidential or proprietary and, if Respondent designated substantial portions of its Solicitation Response or its entire Solicitation Response as confidential or proprietary, the Solicitation Response is subject to being disqualified.
6. **Binding Offer.** Respondent's Solicitation Response will remain a firm and binding offer for 240 days from the date the Solicitation Response is due.

7. **Assignment.** Respondent shall not assign its rights under the contract or delegate the performance of its duties under the contract without prior written approval from System Agency. Any attempted assignment in violation of this provision is void and without effect.
8. **Terms and Conditions.** Respondent accepts the Solicitation terms and conditions unless specifically noted by exceptions advanced in the form and manner directed in the Solicitation. No exceptions, terms, or conditions will be considered if not advanced in the form and manner directed in the Solicitation. Respondent agrees that all exceptions to the Solicitation as well as terms and conditions advanced by Respondent that differ in any manner from HHS' terms and conditions are rejected unless expressly accepted by System Agency in writing in a fully executed contract.
9. **HHS Right to Use.** Respondent agrees that HHS has the right to use, produce, and distribute copies of and to disclose to HHS employees, agents, and contractors and other governmental entities all or part of Respondent's Solicitation Response as HHS deems necessary to complete the procurement process or comply with state or federal laws.
10. **Release from Liability.** Respondent generally releases from liability and waives all claims against any party providing information about the Respondent at the request of HHS.
11. **Addenda and Amendments to Solicitation.** Respondent acknowledges all addenda and amendments to the Solicitation.
12. **Texas Bidder.** Respondent certifies that if a Texas address is shown as the address of Respondent on this Response, Respondent qualifies as a Texas Bidder as defined in Section 2155.444(c) of the Texas Government Code.
13. **Preferences.** Respondent represents and warrants that it qualifies for all preferences claimed under 34 Texas Administrative Code, Section 20.306 or Chapter 2155, Subchapter H of the Texas Government Code as indicated below (check applicable boxes):
 - Goods produced or offered by a Texas bidder that is owned by a Texas resident service-disabled veteran
 - Goods produced in Texas or offered by a Texas bidder that is not owned by a Texas resident service-disabled veteran
 - Agricultural products grown in Texas
 - Agricultural products offered by a Texas bidder
 - Services offered by a Texas bidder that is owned by a Texas resident service-disabled veteran
 - Services offered by a Texas bidder that is not owned by a Texas resident service-disabled veteran
 - Texas Vegetation Native to the Region
 - USA-produced supplies, materials or equipment
 - Products of persons with mental or physical disabilities
 - Products made of recycled, remanufactured, or environmentally sensitive materials including recycled steel
 - Energy efficient products
 - Rubberized asphalt paving material

- DocuSign Envelope ID: 32E75F6C-5EB9-4B1D-9695-10E8F06352B2
- Recycled motor oil and lubricants
 - Products produced at facilities located on formerly contaminated property
 - Products and services from economically depressed or blighted areas
 - Vendors that meet or exceed air quality standards
 - Recycled or reused computer equipment of other manufacturers
 - Foods of higher nutritional value
 - Commercial production company or advertising agency located in Texas

14. **Dealings with Public Servants.** Respondent has not given, has not offered to give, and does not intend to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with this Solicitation Response, this Solicitation, or any contract resulting from this Solicitation.
15. **Financial Participation Prohibited.** Under Section 2155.004, Texas Government Code (relating to financial participation in preparing solicitations), Respondent certifies that the individual or business entity named in this Response or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.
16. **Prior Disaster Relief Contract Violation.** Under Sections 2155.006 and 2261.053 of the Texas Government Code (relating to convictions and penalties regarding Hurricane Rita, Hurricane Katrina, and other disasters), the Respondent certifies that the individual or business entity named in this bid or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.
17. **Child Support Obligation.** Under Section 231.006(d) of the Texas Family Code regarding child support, Respondent certifies that the individual or business entity named in this Response is not ineligible to receive the specified payment and acknowledges that the contract may be terminated and payment may be withheld if this certification is inaccurate. Furthermore, any Respondent subject to Section 231.006 of the Texas Family Code must include in the Response the names and social security numbers (SSNs) of each person with at least 25% ownership of the business entity submitting the Response:

Name:	FRANK SAMA	SSN:	441-21-6740
Name:	PRISCILIA TSONGWAIN	SSN:	636-88-1487
Name:		SSN:	
Name:		SSN:	

FEDERAL PRIVACY ACT NOTICE: This notice is given pursuant to the Federal Privacy Act. Disclosure of requested SSNs is required under Section 231.006(c) and Section 231.302(c)(2), Texas Family Code. The SSNs will be used to identify persons that may owe child support. The SSNs will be kept confidential to the fullest extent permitted by law.

If submitted by email, Responses containing SSNs must be encrypted. Failure by a Respondent to provide or encrypt the SSNs as required may result in disqualification of the Respondent's Response.

18. **Suspension and Debarment.** Respondent certifies that it and its principals are not suspended or debarred from doing business with the state or federal government as listed on the *State of Texas Debarred Vendor List* maintained by the Texas Comptroller of Public Accounts and the *System for Award Management (SAM)* maintained by the General Services Administration. This certification is made pursuant to the regulations implementing Executive Order 12549 and Executive Order 12689, Debarment and Suspension, 2 C.F.R. Part 376, and any relevant regulations promulgated by the Department or Agency funding this project. This provision shall be included in its entirety in Respondent's subcontracts, if any, if payment in whole or in part is from federal funds.
19. **Excluded Parties.** Respondent certifies that it is not listed in the prohibited vendors list authorized by Executive Order 13224, "*Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism*," published by the United States Department of the Treasury, Office of Foreign Assets Control.
20. **Foreign Terrorist Organizations.** Respondent represents and warrants that it is not engaged in business with Iran, Sudan, or a foreign terrorist organization, as prohibited by Section 2252.152 of the Texas Government Code.
21. **Executive Head of a State Agency.** In accordance with Section 669.003 of the Texas Government Code, relating to contracting with the executive head of a state agency, Respondent certifies that it is not (1) the executive head of an HHS agency, (2) a person who at any time during the four years before the date of the contract was the executive head of an HHS agency, or (3) a person who employs a current or former executive head of an HHS agency.
22. **Human Trafficking Prohibition.** Under Section 2155.0061 of the Texas Government Code, the Respondent certifies that the individual or business entity named in this Response or contract is not ineligible to receive the specified contract and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate.
23. **Franchise Tax Status.** Respondent represents and warrants that it is not currently delinquent in the payment of any franchise taxes owed the State of Texas under Chapter 171 of the Texas Tax Code.
24. **Debts and Delinquencies.** Respondent agrees that any payments due under any contract resulting from this Solicitation shall be applied towards any debt or delinquency that is owed to the State of Texas.
25. **Lobbying Prohibition.** Respondent represents and warrants that payments to Respondent and Respondent's receipt of appropriated or other funds under any contract resulting from this Solicitation are not prohibited by Sections 556.005, 556.0055, or 556.008 of the Texas Government Code (relating to use of appropriated money or state funds to employ or pay lobbyists, lobbying expenses, or influence legislation).
26. **Buy Texas.** Respondent agrees to comply with Section 2155.4441 of the Texas Government Code, requiring the purchase of products and materials produced in the State of Texas in performing service contracts.
27. **Disaster Recovery Plan.** Respondent agrees that upon request of HHS, Respondent shall provide copies of its most recent business continuity and disaster recovery plans.

28. **Computer Equipment Recycling Program.** If Respondent is submitting a Response for the purchase or lease of computer equipment, then Respondent certifies that it is in compliance with Subchapter Y, Chapter 361 of the Texas Health and Safety Code related to the Computer Equipment Recycling Program and the Texas Commission on Environmental Quality rules in 30 TAC Chapter 328.
29. **Television Equipment Recycling Program.** If Respondent is submitting a Response for the purchase or lease of covered television equipment, then Respondent certifies that it is compliance with Subchapter Z, Chapter 361 of the Texas Health and Safety Code related to the Television Equipment Recycling Program.
30. **Cybersecurity Training.** Respondent represents and warrants that it will comply with the requirements of Section 2054.5192 of the Texas Government Code relating to cybersecurity training and required verification of completion of the training program.
31. **Restricted Employment for Certain State Personnel.** Respondent acknowledges that, pursuant to Section 572.069 of the Texas Government Code, a former state officer or employee of a state agency who during the period of state service or employment participated on behalf of a state agency in a procurement or contract negotiation involving Respondent may not accept employment from Respondent before the second anniversary of the date the contract is signed or the procurement is terminated or withdrawn.
32. **No Conflicts of Interest.** Respondent represents and warrants that it has no actual or potential conflicts of interest in providing the requested goods or services to System Agency under this Solicitation and any resulting contract and that Respondent's provision of the requested goods and/or services under this Solicitation and any resulting contract will not constitute an actual or potential conflict of interest or reasonably create an appearance of impropriety.
33. **Fraud, Waste, and Abuse.** Respondent understands that HHS does not tolerate any type of fraud, waste, or abuse. Violations of law, agency policies, or standards of ethical conduct will be investigated, and appropriate actions will be taken. Pursuant to Texas Government Code, Section 321.022, if the administrative head of a department or entity that is subject to audit by the state auditor has reasonable cause to believe that money received from the state by the department or entity or by a client or contractor of the department or entity may have been lost, misappropriated, or misused, or that other fraudulent or unlawful conduct has occurred in relation to the operation of the department or entity, the administrative head shall report the reason and basis for the belief to the Texas State Auditor's Office (SAO). All employees or contractors who have reasonable cause to believe that fraud, waste, or abuse has occurred (including misconduct by any HHS employee, Grantee officer, agent, employee, or subcontractor that would constitute fraud, waste, or abuse) are required to immediately report the questionable activity to the Health and Human Services Commission's Office of Inspector General. Respondent agrees to comply with all applicable laws, rules, regulations, and System Agency policies regarding fraud, waste, and abuse including, but not limited to, HHS Circular C-027.

A report to the SAO must be made through one of the following avenues:

- SAO Toll Free Hotline: 1-800-TX-AUDIT
- SAO website: <http://sao.fraud.state.tx.us/>

All reports made to the OIG must be made through one of the following avenues:

- OIG Toll Free Hotline 1-800-436-6184

- **OIG Website:** ReportTexasFraud.com
- **Internal Affairs Email:** InternalAffairsReferral@hhsc.state.tx.us
- **OIG Hotline Email:** OIGFraudHotline@hhsc.state.tx.us.
- **OIG Mailing Address:** Office of Inspector General
Attn: Fraud Hotline
MC 1300
P.O. Box 85200
Austin, Texas 78708-5200

34. **Antitrust.** The undersigned affirms under penalty of perjury of the laws of the State of Texas that (a) in connection with this Response, neither I nor any representative of the Respondent has violated any provision of the Texas Free Enterprise and Antitrust Act, Tex. Bus. & Comm. Code Chapter 15; (b) in connection with this Response, neither I nor any representative of the Respondent has violated any federal antitrust law; and (c) neither I nor any representative of the Respondent has directly or indirectly communicated any of the contents of this Response to a competitor of the Respondent or any other company, corporation, firm, partnership or individual engaged in the same line of business as the Respondent.
35. **Legal and Regulatory Actions.** Respondent represents and warrants that it is not aware of and has received no notice of any court or governmental agency proceeding, investigation, or other action pending or threatened against Respondent or any of the individuals or entities included in numbered paragraph 1 of this Affirmations and Solicitation Acceptance within the five (5) calendar years immediately preceding the submission of this Solicitation response that would or could impair Respondent's performance under any contract resulting from this Solicitation, relate to the contracted or similar goods or services, or otherwise be relevant to System Agency's consideration of entering into a contract. If Respondent is unable to make the preceding representation and warranty, then Respondent instead represents and warrants that it has included as a detailed attachment to this Solicitation Affirmations document a complete, detailed disclosure of any such court or governmental agency proceeding, investigation, or other action that would or could impair Respondent's performance under a contract awarded as a result of this Solicitation, relate to the contracted or similar goods or services, or otherwise be relevant to System Agency's consideration of entering into a contract. Respondent must identify here how many pages, if any, are attached: . Respondent acknowledges this is a continuing disclosure requirement. In addition, Respondent represents and warrants that, if awarded a contract as a result of this Solicitation, Respondent shall notify System Agency in writing within five (5) business days of any changes to the representations or warranties in this clause and understands that failure to so timely update System Agency shall constitute breach of contract and may result in immediate contract termination.
36. **E-Verify.** Respondent certifies that for contracts for services, Respondent shall utilize the U.S. Department of Homeland Security's E-Verify system during the term of the contract to determine the eligibility of:
- A. all persons employed by Respondent to perform duties within Texas; and
 - B. all persons, including subcontractors, assigned by Respondent to perform work pursuant to the contract within the United States of America.

- 37. Former Agency Employees – Certain Contracts.** If this Solicitation is for an employment contract, a professional services contract under Chapter 2254 of the Texas Government Code, or a consulting services contract under Chapter 2254 of the Texas Government Code, Respondent represents and warrants that neither Respondent nor any of Respondent's employees including, but not limited to, those authorized to provide services under the contract, were former employees of an HHS Agency during the twelve (12) month period immediately prior to the date of the execution of the contract.
- 38. Disclosure of Prior State Employment – Consulting Services.** If this Solicitation is for consulting services,
- A. In accordance with Section 2254.033 of the Texas Government Code, a Respondent offering to provide consulting services in response to this solicitation who has been employed by, or employs an individual who has been employed by, System Agency or another State of Texas agency at any time during the two years preceding the submission of Respondent's Solicitation Response must disclose the following information in its Solicitation Response and hereby certifies that this information is true, correct, and complete:

(1) Name of individual(s) (Respondent or employee(s)):

(2) Status (check one): Respondent Employee

(3) The nature of the previous employment with System Agency or the other State of Texas agency:

(4) The date the employment was terminated and the reason for the termination:

(5) The annual rate of compensation for the employment at the time of its termination:

If more than one individual is identified in A(1) above, Respondent must provide responses to A(2)-(5) as to each identified individual. To satisfy this requirement, Respondent must attach a separate page or pages, as necessary, and include the information required in Section A, including subsections (1)-(5). Respondent must identify here how many pages, if any, are attached: . Respondent acknowledges, agrees, and certifies that all information provided is true, correct, and complete on this and all attached pages.

- B. If no information is provided in response to Section A above, Respondent certifies that neither Respondent nor any individual employed by Respondent was employed by System Agency or any other State of Texas agency at any time during the two years preceding the submission of Respondent's Solicitation Response.

the Texas Government Code, Respondent certifies that either (1) it meets an exemption criteria under Section 2271.002; or (2) it does not boycott Israel and will not boycott Israel during the term of the contract resulting from this Solicitation. If Respondent refuses to make that certification, Respondent shall state here any facts that make it exempt from the boycott certification:

40. **Abortion Funding Limitation.** Respondent understands, acknowledges, and agrees that, pursuant to Article IX, Section 6.25 of the General Appropriations Act (the Act), to the extent allowed by federal and state law, money appropriated by the Texas Legislature may not be distributed to any individual or entity that, during the period for which funds are appropriated under the Act: (1) performs an abortion procedure that is not reimbursable under the state's Medicaid program; (2) is commonly owned, managed, or controlled by an entity that performs an abortion procedure that is not reimbursable under the state's Medicaid program; or (3) is a franchise or affiliate of an entity that performs an abortion procedure that is not reimbursable under the state's Medicaid program. The provision does not apply to a hospital licensed under Chapter 241, Health and Safety Code, or an office exempt under Section 245.004(2), Health and Safety Code. Respondent represents and warrants that it is not ineligible, nor will it be ineligible during the term of the contract resulting from this Solicitation, to receive appropriated funding pursuant to Article IX, Section 6.25.

41. **Funding Eligibility.** Respondent understands, acknowledges, and agrees that, pursuant to Chapter 2272 of the Texas Government Code, except as exempted under that Chapter, System Agency cannot contract with an abortion provider or an affiliate of an abortion provider. Respondent certifies that it is not ineligible to contract with System Agency under the terms of Chapter 2272 of the Texas Government Code. If Respondent refuses to make that certification, Respondent shall state here any facts that make it exempt from the certification:

42. **Prohibition on Certain Telecommunications and Video Surveillance Services or Equipment (2 CFR 200.216).** Respondent certifies that the individual or business entity named in this Response or contract is not ineligible to receive the specified contract or funding pursuant to 2 CFR 200.216.

43. **Public Information Act Copy.** Respondent understands, acknowledges, and agrees, that solicitation responses and contracts are subject to the Texas Public Information Act (PIA), Texas Government Code Chapter 552, and may be disclosed to the public upon request or through posting on the System Agency's website, the LBB's website, or as otherwise required by law. Respondent certifies that it:
- asserts that information provided in its response is exempt from disclosure under the PIA, and Respondent, therefore, has submitted a "Public Information Act Copy" as required under the solicitation; or
 - asserts that there is no information provided in its response that is exempt from disclosure under the PIA, and Respondent, therefore, has not submitted a "Public Information Act Copy."
44. **False Representation.** Respondent understands, acknowledges, and agrees that any false representation or any failure to comply with a representation, warranty, or certification made by Respondent is subject to all civil and criminal consequences provided at law or in equity including, but not limited to, immediate termination of any contract resulting from this Solicitation.
45. **Permits and Licenses.** Respondent represents and warrants that it will comply with all applicable laws and maintain all permits and licenses required by applicable city, county, state, and federal rules, regulations, statutes, codes, and other laws that pertain to any contract resulting from this Solicitation.
46. **False Statements.** Respondent represents and warrants that all statements and information prepared and submitted in this document are current, complete, true, and accurate. Submitting a Response with a false statement or material misrepresentations made during the performance of a contract is a material breach of contract and may void the submitted Response and any resulting contract.
47. **Signature Authority.** By submitting this Response, Respondent represents and warrants that the individual submitting this document and the documents made part of this Response is authorized to sign such documents on behalf of the Respondent and to bind the Respondent under any contract that may result from the submission of this Response.

Signature Page Follows

Authorized representative on behalf of Respondent must complete and sign the following:

PATIENT CARE SERVICES PHARMACY CONSULTING, LLC.

Legal Name of Respondent

PCS RX CONSULTING

Assumed Business Name of Respondent, if applicable (d/b/a or 'doing business as')

ALL COUNTIES

Texas County(s) for Assumed Business Name (d/b/a or 'doing business as')

Attach Assumed Name Certificate(s) filed with the Texas Secretary of State and Assumed Name Certificate(s), if any, for each Texas County Where Assumed Name Certificate(s) has been filed



Signature of Authorized Representative

FRANK T. SAMA

**Printed Name of Authorized Representative
First, Middle Name or Initial, and Last Name**

2000 N. CENTRAL EXPY STE 214

Physical Street Address

Mailing Address, if different

214-440-2689

Phone Number

PATIENTCARESERVICES@PCSRXCONSULTING.COM

Email Address

85-2032296

Federal Employer Identification Number

XT120981

Texas Franchise Tax Number

02/10/2021

Date Signed

CO-OWNER

Title of Authorized Representative

PLANO, TX 75074

City, State, Zip Code

City, State, Zip Code

972-474-8634

Fax Number

117906538

DUNS Number

32074923601

Texas Payee ID No. – 11 digits

0803673697

Texas Secretary of State Filing Number